

1 **An emerging lineage of uropathogenic extended spectrum beta-lactamase *Escherichia coli***
2 **ST127**

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12
13 **Abstract**

14
15 Uropathogenic *Escherichia coli* (UPEC) is one of the most common causes of urinary tract infections.
16 Here we report for the first time the whole genome sequencing (WGS) and analysis of four extended-
17 spectrum beta-lactamase (ESBL) UPEC sequence type (ST) 127 isolates recovered from patients in five
18 hospitals in Armenia between January to August 2019. Phylogenetic comparison revealed that our
19 isolates were closely related to each other by the core and accessory genomes despite being isolated
20 from different regions and hospitals in Armenia. We identified unique genes in our isolates and in a
21 closely related isolate recovered in France. The unique genes (hemolysin E virulence gene, lactate
22 utilisation operon *lutABC* and endonuclease restriction modification operon *hsdMSR*) were identified
23 in three separate genomic regions adjacent to prophage genes, including one region containing the
24 TonB-dependent iron siderophore receptor gene *ireA*, which was only found in 5 other ST127 isolates
25 from the European Nucleotide Archive (ENA). We further identified that these isolates possessed
26 unique virulence and metabolic genes and harboured antibiotic resistance genes, including ESBL genes
27 *bla*_{CTX-M-3} (n=3), *bla*_{CTX-M-236} (n=1) and *bla*_{TEM-1} (n=1), in addition to a quinolone resistance protein gene
28 *qnrDI* (n=1), which was absent in ST127 isolates obtained from ENA. Moreover, a plasmid replicon
29 gene *IncI2* (n=1) was unique to ARM88 of the Armenian isolates. Our findings demonstrate that at the
30 time of this study *E. coli* ST127 was a cause of urinary tract infections in patients in different regions
31 of Armenia, with a possibility of cross-country transmission between Armenia and France.

32
33 **Importance**

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35 Whole genome sequencing studies of pathogens causing infectious diseases are seriously lacking in
36 Armenia, hampering global efforts to track, trace and contain infectious disease outbreaks. In this study,
37 we report for the first-time whole genome sequencing and analysis of ESBL UPEC ST127 isolates
38 recovered from hospitalised patients in Armenia and compare them with other *E. coli* ST127 retrieved
39 from the ENA.

40 We found close genetic similarities of the Armenian isolates indicating that *E. coli* ST127 was
41 potentially a dominant lineage causing urinary tract infections in Armenia. Furthermore, we identified
42 unique genes horizontally acquired in the Armenian and French isolates clusters that were absent in
43 other ST127 isolates obtained from the ENA.

44 Our findings highlight a possible cross-country transmission between Armenia and France and that
45 implementation of the WGS surveillance could contribute to the global efforts in tackling antibiotic
46 resistance as bacteria carrying AMR genes do not recognise borders.

47
48 **Introduction**

49

50 *Escherichia coli* is one of the most common causes of urinary tract infections, estimated to affect about
51 150 million people globally (1). New emerging lineages present a major challenge for healthcare
52 settings due to increased resistance to multiple antibiotics (Kot, 2019), contributing to high number of
53 hospitalisations and associated costs. Uropathogenic *E. coli* (UPEC) pathotype is the primary cause of
54 UTI infections and is a part of the broader pathotype designated as Extraintestinal Pathogenic *E. coli*
55 (ExPEC), known to cause infections in the bloodstream and other non-intestinal sites (3). Further
56 members of the ExPEC pathotype include neonatal meningitis *E. coli* (NMEC), sepsis-associated *E.*
57 *coli* (SEPEC), and avian pathogenic *E. coli* (APEC) (4). One of the new emerging ExPEC pandemic
58 genotypes of interest is sequence type (ST) 127, known for its high virulence but low antibiotic
59 resistance potential compared to other pandemic ExPEC sequence types (5–7). The acquisition of many
60 virulence and antibiotic resistance determinants by UPEC isolates is through horizontal transfer of
61 plasmids and prophages that can integrate into the bacterial chromosome (8–10). These acquired genes
62 are only maintained long-term if they demonstrate positive evolutionary adaptation with little fitness cost
63 under nonselective conditions (11). An example of this is the plasmid-borne CTX-M-type genes that
64 encode for an extended-spectrum β -lactamases (ESBL), which gives the bacteria resistance towards
65 expanded spectrum cephalosporins and monobactams (12). Over the past decade the prevalence of
66 ESBL producing *E. coli* has increased globally (13, 13–15). However, ESBL producing UPEC ST127
67 isolates are rarely reported in the literature (5, 16, 17)

68

69 Our understanding of UPEC infections has greatly improved due to the power of whole genome
70 sequencing (WGS) analysis to trace the source of infection, their transmission routes, as well as
71 identifying genes involved in virulence and antibiotic resistance for implementing improved infection
72 control practices (6, 18–20). However, studies reporting WGS are limited to some regions of the world
73 (i.e. UK, EU, North America), whereas studies from low- middle-income countries are fragmented (21,
74 22). There have been very limited studies utilising WGS in Armenia, an upper-middle-income country
75 (23) (according to the World Bank in 2022), with none reported for *E. coli*. Previously, we reported
76 the occurrence of diverse MRSA genotypes (24) and provided insights into the genomic background
77 and phylogenetic origins of MRSA isolates in Armenia (25). In this study, we report for the first time
78 the genetic features of ESBL UPEC ST127 isolates recovered from hospitalised patients in Armenia
79 using WGS analysis and compare them with 168 other *E. coli* ST127 recovered from multiple sources
80 (available at the European Nucleotide Archive (ENA)) and identify the mode of horizontal transfer of
81 the unique genes found only in the Armenian isolates.

82

83 **Methods**

84

85 **Bacterial isolation and identification**

86 Twelve *E. coli* isolates have been received from Medical Microbiology laboratories of five hospitals in
87 Armenia between January and August 2019. All isolates were recovered from urine specimen of
88 hospitalised patients. The isolates were speciated as *E. coli* using a matrix-assisted laser desorption
89 ionization time flight mass-spectroscopy (MALDI-TOF-MS) as described previously (26). Four out of
90 12 isolates that have been identified as *E. coli* ST127 were selected for the purposes of this study.

91

92 **Antibiotic Susceptibility Testing**

93 All twelve isolates were tested for their antibiotic susceptibility to a panel of eleven antibiotics,
94 including Ampicillin (10mg), Piperacillin/Tazobactam (30/6mg), Amoxicillin and Clavulanic Acid
95 (20/10mg), Ceftazidime (10mg), Cefepime (30mg), Norfloxacin (10mg), Levofloxacin (5mg),
96 Amikacin (30mg), Imipenem (10mg), Meropenem (10mg), Chloramphenicol (30mg) (Mast Group,

97 Merseyside, UK) using a disk diffusion method according to the European Committee on Antimicrobial
98 Susceptibility Testing protocol (27). Antibiotics chosen were the most frequently used in clinical
99 settings in Armenia. *E. coli* isolates were identified as 'ESBL producing' upon confirming their
100 resistance to cefepime and ceftazidime antibiotics.

101

102 **Genome sequencing and Assembly**

103 All *E. coli* isolates were whole genome sequenced using the Illumina HiSeq platform. However, for the
104 purposes of this study WGS analysis were conducted for only four isolates belonging to ST127.
105 Genomic DNA was extracted using the TIANamp Bacteria DNA kit (Tiangen, China) and paired-end
106 sequencing libraries were constructed using Nextera XT DNA Sample Preparation kits or TruSeq DNA
107 HT Sample Prep Kit (Illumina, USA) following the manufacturer's instruction.

108 The quality of short-reads was analysed using fastQC, and low quality reads were trimmed using the
109 software Trimmomatic (28). The trimmed reads were De-novo assembled using SPAdes (29).

110

111 **Genome selection for phylogenetic and genomic comparison**

112

113 To conduct a comparative genomic analysis of *E. coli* ST127 isolates recovered in this study, 168 draft
114 *E. coli* ST127 genomes (Table S1) were obtained from the ENA database (accessed January 2022). The
115 selection criteria of the isolates included: date and source of isolation and country of origin. To select
116 the ST127 genomes only among all 12 *E. coli* isolates recovered in this study and those retrieved from
117 ENA database, we screened the draft *E. coli* genomes using mlst (<https://github.com/tseemann/mlst>
118 accessed July 2021) using the Achtman typing scheme from pubMLST (accessed January 2022).

119

120 **Phylogenetic analysis**

121

122 To construct a core SNP maximum-likelihood (ML) phylogenetic tree of *E. coli* ST127 isolates, we
123 first aligned all isolates against the reference *E. coli* ST127 genome EC536 (accession no.
124 NC_008253.1) using the Parsnps alignment software from the Harvest suite (30). Then, recombination
125 was removed from aligned sequences using Gubbins, and a phylogenetic tree was constructed from the
126 recombination-free alignment using IQtree v2.1.2 (31). To select the best model for phylogenetic tree
127 construction, we used ModelFinder and set ultrafast bootstrap replication to 1,000 (32, 33). Finally, the
128 phylogenetic tree was visualised using iTOL (34). The SNP -distance between isolates core genome
129 was worked out from the recombination-free alignment using SNP-dist software
130 (<https://github.com/tseemann/snp-dists>).

131

132 A Bayesian dated maximum clade credibility (MCC) tree was reconstructed using BEAST v1.10.4 (35).
133 A GTR empirical substitution model as determined by ModelFinder was used for the Bayesian analysis
134 set with a Bayesian skyline strict clock model with the MCC chain set to 100 million. Two independent
135 runs trees were combined using LogCombiner with a 10% burnin and an MCC tree constructed from
136 combined trees using TreeAnnotator. MCC tree was visualised using the software FigTree
137 (<http://tree.bio.ed.ac.uk/software/figtree/>).

138

139 **Genome annotation**

140

141 *E. coli* ST127 isolates genomes were annotated using Prokka (36). Virulent gene marker and plasmid
142 replicons were screened using Abricate software (<https://github.com/tseemann/abricate>) in conjunction

143 with the Virulencefinder and VFDB database combined for virulence detection and Plasmidfinder
144 database to identify plasmid replicons (accessed January 2022) (37–39). Antibiotic resistance
145 gene/mutation was screened using the Resistance Gene Identifier software in conjunction with the
146 Comprehensive Resistant Antibiotic Resistance Database (accessed January 2022) (40). Isolates were
147 serotyped by ECtyper (41).

148

149 **Pangenome and unique gene analysis**

150

151 The pangenome combining both the Armenian and ENA *E. coli* ST127 isolates was constructed using
152 Roary with the blastp percentage identity cutoff set at 90% (42). Unique genes within different *E. coli*
153 ST127 groups were identified using Scoary (43). Further annotation of unique genes and genes within
154 their loci was further annotated by blasting against the uniprot and NCBI nucleotide collection. MAFFT
155 was used to identify individual protein percentage similarities (44). Genomic region comparison was
156 visualised using mauve v 2.4.0 (45).

157

158 MOB-suit was used to reconstruct plasmid sequence from draft genome to determine if unique genes
159 were plasmid-borne (46). Integrative and conjugative element genomic regions were detected using
160 ICEfinder using the ICEberg 2.0 database (47) Prophage hunter was used to identify the prophage region in
161 the draft genome to determine if unique genes were within the prophage insertion region (48). The
162 horizontal gene transfer regions containing these unique genes were compared to other *E. coli* isolates
163 by sequence similarity search using NCBI blast against the ENA sequence archive (49).

164

165 **R programs**

166

167 Grouping isolates into subclusters within the SNP core whole genome alignment was conducted by the
168 hierarchical clustering R package "RhierBAPS" (50). In addition, a hierarchy cluster heatmap was
169 constructed of antibiotic resistant gene/mutation, accessory genes and plasmid replicon using the R
170 package pheatmap (<https://cran.r-project.org/web/packages/pheatmap/index.html>)

171

172 **Data Availability**

173

174 The short-read data were deposited in the ENA, under the study PRJEB51925. Individual sequence file
175 accession numbers are included in the Supplementary Table 2 (Table S2).

176

177 **Results**

178

179 **Isolates and Antibiotic Susceptibility testing**

180

181 4 out of the twelve sequenced *E. coli* isolates (Table S3) belonged to ST127 (Table 1) and were resistant
182 to 7 (n=1), 6 (n=1) and 5 (n=2) of antibiotics tested. All four isolates were resistant to cephalosporin
183 antibiotics cefepime, ceftazidime and aminopenicillins antibiotic ampicillin. In addition, 3 isolates
184 (ARM64, ARM 75 and ARM88) were resistant to the β -lactam antibiotic amoxicillin-clavulanic acid,
185 2 isolates (ARM64 and ARM66) had intermediate resistance to the β -lactam antibiotic piperacillin-
186 tazobactam, one isolate (ARM88) was resistant to the aminoglycoside antibiotic amikacin
187 fluoroquinolone antibiotics norfloxacin, and levofloxacin (ARM75) and one isolate had intermediate
188 resistant to the carbapenems antibiotic imipenem (ARM66). All four isolates were sensitive to β -lactam
189 antibiotics meropenem and were also sensitive to chloramphenicol.

190

191 **Phylogenetic analysis of *E. coli* ST127**

192

193 The short reads of four *E. coli* ST127 in this study were aligned against 168 *E. coli* ST127 genomes
194 obtained from the ENA archive that were previously recovered from 15 countries and 11 different
195 animal sources and belonged to three different serotypes (O6:H31 (n=161), O75:H31 (n=3), -
196 :H321(n=8)) (Table 2). A maximum-likelihood phylogenetic tree of the core genome revealed that
197 ST127 could be split into 3 main clades with no particular clade having isolates that are only found
198 from one particular country or source (Figure 1A). All O75:H31 serotype isolates cluster together in
199 clade B. Further detailed analyses of the clusters (using heirBAPS) revealed that ST127 isolates could
200 be further grouped into 5 distinct clusters. *E. coli* ST127 isolates recovered in our study belonged to
201 clade C BAP2 and were phylogenetically closely related to each other, with a maximum of 33 SNP
202 differences between the isolates (Table S4). Moreover, all Armenian ST127 isolates were
203 phylogenetically closely related to a French isolate (DABGLY01) that was recovered from a patient
204 (urine sample) in 2015.

205

206 Maximum clade credibility (MCC) time-calibrated phylogeny tree of 171 *E. coli* ST127 isolates
207 (excluding EC536 isolate due to lack of its date of isolation) was constructed using BEAST to determine
208 the inferred date of divergence of the Armenian isolates and the French isolate (DABGLY01) obtained
209 from the ENA archive to other ST127 isolates (Figure 2). The ST127 had a rate estimate of 9.18×10^{-5}
210 substitution per site per year and inferred tree root date of 1503 (date confidence interval (CI) 1415 to
211 1605). The inferred divergence date of these isolates to their closest phylogenetically related isolate
212 (The UK isolate DABBWT01 recovered from blood) was 1966 (date CI: 1956 to 1977). The most recent
213 divergence date which both the Armenian and French isolate shared was 2000 (date CI: 1995 to 2006)
214 and the most recent divergence date between ARM75 and the closely phylogenetically related French
215 isolate DABGLY01 was 2005 (date CI: 2000 to 2009).

216

217 **Comparison of *E. coli* ST127 virulence genes**

218

219 Overall, we identified 153 virulence genes in the *E. coli* ST127 isolates we analysed, of which 41 were
220 ubiquitous (Figure 1B). The Armenian isolates harboured 96 (ARM64), 95 (ARM75), 94 (ARM66) and
221 92 (ARM88) virulence genes, which are less than the mean average number (mean average=100, range
222 63 to 109) of virulence genes compared to other ST127 isolates analysed.

223

224 All four isolates in this study and a phylogenetically closely related French isolate DABGLY01 (<25
225 SNP difference between Armenian isolates within the core genomes), harboured the hemolysin E gene
226 (*hlyE*), which was not present in any of the other ST127 isolates. In addition, they also carried TonB-
227 dependent iron siderophore receptor gene *ireA*, which was also found in five other ST127 isolates
228 recovered from a dog (AATKBA01); a cat (AATMZD02), and human urine and faecal swabs
229 (DABDVM01, DABMUI01, DABXBZ01); however, these isolates did not show any phylogenetic or
230 geographical relationship to each other.

231

232 **Unique genes and origin of horizontal gene transfer (HGT)**

233

234 To further determine whether any of the genes were unique to the Armenian isolates as well as to the
235 French isolate obtained from the ENA archive, we conducted a pangenome analysis of all *E. coli* ST127
236 isolates. The pangenome of the *E. coli* ST127 isolates consisted of 14,720 genes, of which 3,529
237 belonged to the core genome, whereas 11,191 to the accessory genome. The accessory genome

238 hierarchy clustering heatmap (Figure 3). Showed that the Armenian isolates shared many accessory
239 genes with the DABGLY01.

240

241 Using Scoary, we identified 16 genes that were unique to all *E. coli* ST127 Armenian isolates but also
242 to DABGLY01, recovered in France. 11 out of 16 genes identified had a known function (Table 3),
243 including the hemolysin E toxin (*hlyE*), lactate utilisation protein operon (*lutABC*), Peptide ABC
244 transporter substrate-binding protein, transposase *insD* for insertion element IS2, Inovirus Gp2 family
245 protein, and the endonuclease restriction system operon (*hsdMSR*). We identified that 12 of these genes
246 were located within the same locus next to a prophage integrase *intA* gene and included the *lutABC*
247 operon gene and *oppA* gene. In addition, two genes located near the *ireA* gene were unique to the
248 Armenian and DABGLY01 isolates were the *InsD* and the Inovirus Gp2 family protein gene.
249 Moreover, we found one additional uncharacterised gene unique to Armenian isolates adjacent to the
250 *hlyE* virulent gene.

251

252 To determine the origin of horizontal gene transfer of these unique genes found in Armenian isolates,
253 we looked for insertion via transposable elements such as plasmids, integrated and conjugated plasmids
254 within the chromosome and phage insertions. Using MOB-suite to reconstruct plasmids from WGS data,
255 we determined that all these genes were chromosomal and not plasmid-borne. Further using ICEfinder,
256 we determined that these unique genes did not belong to integrative and conjugative elements (ICEs).
257 Using Prophage hunter, we identified that the *hlyE* gene found in the Armenian isolates was located
258 within the active prophage region (Figure 4A) with the closest match to Stx2-converting phage
259 Stx2a_F349 (96% homology 9% coverage of prophage gene region). The *ireA* gene region (Figure 4B)
260 and the *lutABCP/ hsdMSR* operons gene region (Figure 4C) was not predicted by prophage hunter as
261 prophage insertion region. However, based on genes found within these loci (the unique Inovirus Gp2
262 family protein gene found both in the isolates of this study and in DABGLY01 (recovered in France),
263 adjacent to *ireA* gene and the *lutABC* and *hsdMSR* operons adjacent to the prophage integrase gene *intA*
264 near and tRNA-phe), we hypothesise that a possible horizontal transfer of genes could have occurred
265 in these regions via prophages.

266

267 The *ireA* virulent gene was present in other *E. coli* ST127 isolates, but the adjacent *incD* and the
268 Inovirus Gp2 protein unique to the Armenian and DABGLY01 isolates were absent. To investigate
269 further, we conducted a comparative analysis of the *ireA* gene loci of all the *E. coli* ST127 isolates. The
270 main difference in *ireA* gene loci was that all isolates recovered in our study and DABGLY01 harboured
271 two additional insertion sequence transposases instead of a hypothetical protein gene. In addition, the
272 Inovirus gtp2 protein family gene found in the *ireA* locus shared 87.24% protein similarity (Figure 5).

273

274 To determine whether the unique genes genomic regions detected in the Armenian (ARM64, ARM66,
275 ARM75 and ARM88) and French DABGLY01 isolates were also present in other *E. coli* isolates from
276 different sequence types, we conducted a sequence similarity search against the entire ENA archive
277 (Tables S5, S6 and S7). We found that each unique genomic region had 100% homology with *E. coli*
278 isolates belonging to other sequence types. In addition, the *ireA* (Table S5) and *hlyE* (Table S6) gene
279 regions had 100% homology with those found in *E. coli* ST761 and ST93 isolates recovered from pig's
280 faeces in Canada and chicken meat in South Korea respectively. For the *lutABC/hsdMS* genomic region
281 (Table S7), we found multiple *E. coli* isolates from the ENA archive that had 100% homology with the
282 Armenian ST127 isolates that were sequence types ST1485, ST40, ST117, ST1266, ST95 and ST117,
283 isolated from China, Japan, USA, France, Switzerland and the United Kingdom; and sources including
284 mammalian, avian, human and wastewater. However, we did not find isolates $\geq 99.99\%$ homology
285 containing all three genomic regions; instead, we detected multiple isolates that harboured *ireA* and
286 *hlyA* genomic region and the *ireA* and *lutABC/hsdMSR* genomic region. However, all three genome
287 regions were identified in various isolates belonging to ST117 sequence type.

288

289 **Antibiotic resistance genotype**

290

291 The antimicrobial resistance genes and mutations of all Armenian *E. coli* ST127 isolates were compared
292 to 168 WGS data of *E. coli* ST127 isolates obtained from the ENA archive. In total, we identified 120
293 different antimicrobial genes/mutations within the resistome of these isolates (Figure 6). Forty-seven
294 of these genes/mutations were present in all 172 *E. coli* ST127 isolates we analysed. These core
295 antibiotic resistance genes/mutations are known to induce resistance to antibiotics, including those
296 belonging to classes of aminocoumarin, aminoglycoside, carbapenem, cephalosporin, cephamycin,
297 diaminopyrimidine, fluoroquinolone, fosfomycin, glycylicline, macrolide, monobactam,
298 nitroimidazole, nucleoside, penam, peptide, phenicol, rifamycin and tetracycline.

299

300 All four isolates recovered in this study possessed various degrees of antibiotic resistance
301 gene/mutations, including one isolate (ARM64) possessing 59 antibiotic resistance gene/mutations; one
302 isolate (ARM88) 57 antibiotic resistance gene/mutations and two isolates (ARM66 and ARM75) 56
303 antibiotic resistance gene/mutations. This is more than the mean average of antibiotic resistance genes
304 compared to *E. coli* ST127 isolates obtained from the ENA archive (mean average 55 range 52 to 67
305 genes) that have been reported previously. In addition to this, a plasmid mediated quinolone resistance
306 protein gene *qnrD1* was unique to the ARM88 isolate recovered in this study.

307

308 60 out of 172 (34.88%) of the ST127 isolates, and all 4 Armenian isolates which were recovered in this
309 study, possessed genes that encode for ESBL, including but not limited to CTX (n=17), TEM (n=48)
310 and OXA-1(n=3). The most common CTX gene was *bla*_{CTX-M-3} (n=16), also found in three of the
311 Armenian isolates (ARM66, ARM75 and ARM88), *bla*_{CTX-M-15} (n=15), *bla*_{CTX-M-211} (n=6), *bla*_{CTX-M-55}
312 (n=4), *bla*_{CTX-M-216} and *bla*_{CTX-M-236} (n=2) which was found in one of the Armenian isolate (ARM64) and
313 *bla*_{CTX-M-14} (n=2). The most common TEM gene was *bla*_{TEM-1} (n=45) also found in ARM64, *bla*_{TEM-196}
314 (n=4), *bla*_{TEM-112} (n=2), *bla*_{TEM-160} (n=1), *bla*_{TEM-234} (n=1), *bla*_{TEM-34} (n=1) and *bla*_{TEM-91} (n=1). In addition,
315 carbapenem-resistance genes *bla*_{NMD-1} (n=1) and *bla*_{IPM-4} (n=1) were detected in one of each *E. coli*
316 ST127 isolates, however only in those obtained from the ENA archive. We also found the antibiotic
317 resistance gene/mutations, which were found in nearly all *E. coli* ST127 isolates from the ENA archive
318 and in the all Armenian *E. coli* ST127, including multidrug efflux *mdtE*, *mdtF*, the *gadW* and *gadX*;
319 the pmr phosphoethanolamine transferase gene *eptA* (found in 171/172 isolates except for *gadX* which
320 was found in 170/172 of isolates), the elfamycin antibiotic resistance EF-Tu mutation (169/172 of the
321 *E. coli* ST127 isolates) and the tetracycline efflux pump genes *tet(B)* and *tetR* (32/172 of the *E. coli*
322 ST127 isolates). In addition, the aminoglycoside acetyltransferase gene *AAC(3)-IId* was identified in
323 ARM64 (3/172 *E. coli* ST127 isolates).

324

325 **Plasmid typing**

326

327 Plasmid replicon was identified in 72% (124/172) *E. coli* ST127 isolates, including in two Armenian
328 isolates (ARM64 and ARM66). 36 different plasmid replicons were identified, of which IncFIB
329 (AP001918) 1 was the most common (n=74), followed by Col156_1 (n=71) and IncFII (29) 1_pUTI89
330 (n=48) (Figure 7). Most number of plasmid replicons identified was 6 (n=2), followed by 5 (n=6), 4
331 (n=17), 3 (n=48), 2 (n=20) and 1 (n=31). ARM64 isolates harboured 3 plasmid replicons, including
332 IncFII_1(found in 8.14% of ST127 isolates), IncI1_1_Alpha (found in 5.23% of ST127 isolates) and
333 IncX1 (4.07% of ST127 isolates). Moreover, ARM66 harboured one plasmid replicon, IncI2_1, that
334 was unique to it. Overall, we found that isolates based on the hierarchy cluster heatmap of their plasmid
335 replicons do not group with their BAP cluster groups. We did not detect any known plasmid replicon
336 for the ARM75 and ARM88 isolates despite MOB-suite identifying part of the genome sequence as
337 plasmid associated.

338

339 **Discussion**

340

341 Studies investigating the potential of DNA sequencing of pathogens causing infectious diseases are
342 seriously lacking in Armenia, hampering global efforts to track, trace and contain infectious disease
343 outbreaks. In this study, we report for the first-time whole genome analysis of ESBL UPEC ST127
344 isolates recovered from hospitalised patients in Armenia and compare them with other *E. coli* ST127
345 retrieved from the ENA (recovered from multiple sources) and identify the mode of horizontal transfer
346 of the unique genes found only in Armenian isolates. Interestingly, all Armenian *E. coli* ST127 isolates

347 were identified as UPEC ESBL and belonged to the same genetic lineage. Despite these isolates were
348 recovered from patients in five different hospitals, their inferred divergence date of the most recent
349 common ancestor was around 2000 (95% CI date: 1995 to 2006). The close genetic similarities of the
350 Armenian isolates indicated that ST127 was potentially a dominant lineage causing urinary tract
351 infections in Armenia at the time of this study (January to September 2019). In addition, we found that
352 a French UPEC ESBL isolate was the only other isolate from the ENA archive that was part of the same
353 genetic lineage and shared the most recent common ancestor with the Armenian isolates. Furthermore,
354 we identified unique genes near to known prophage genes in both the Armenian and French isolate
355 clusters suggesting that these genes were horizontally transferred via a prophage. These unique genes
356 and the associated genomic regions were novel to the ST127 lineage but were present in other *E. coli*
357 sequence types recovered from different human (including human urine samples) and animal sources.
358 However, none of these isolates was shown to harbour all three genome regions, suggesting a unique
359 combination of genes that are only found in the Armenian and French UPEC ST127 isolate clusters. As
360 there is a large Armenian community in France with relevant family links in Armenia, it seems plausible
361 that the *E. coli* ST127 was transmitted between countries.

362

363 The most notable gene found in the Armenian and French ESBL *E. coli* ST127 lineage was the pore
364 forming toxin hemolysin E gene *hlyE* (also known as *clyA* and *sheA*). Hemolysin E has been identified
365 across different species, including *Salmonella typhi* and *Shigella flexneri*, with a haemolytic action
366 towards mammalian cells in anaerobic conditions (51). Moreover, the *hlyE* gene has been identified in
367 APEC, UPEC isolates recovered from companion animals, and ExPEC isolates recovered from humans
368 but has never been described as a virulence factor associated cause of urinary tract infections from *in*
369 *vivo* studies (4, 52–54, 54, 55). Moreover, we found the haemolysin A gene *hlyA*, which is unrelated to
370 *hlyE* was ubiquitous in the Armenian *E. coli* ST127 isolates and has previously been described as an
371 important virulence factor in causing pyelonephritis (51, 56, 57). Therefore, it is plausible that both
372 genes can act synergistically to cause haemolysis in urinary tract infections; however, no such data have
373 been reported up to date due to the rarity of isolates harbouring both *hlyA* and *hlyE* genes (53, 54). The
374 *hlyE* gene region was determined to be a part of a prophage insertion region using Prophage Hunter
375 software (48). We found that the small portion of the prophage region was relatively similar to an Stx2-
376 converting phage Stx2a_F349; a phage well known for horizontal transfer of the Shiga toxin (Stx) in
377 Stx-producing *E. coli* (STEC). However, scientific evidence obtained in laboratory conditions has
378 shown that stx2-phages can also infect ExPEC isolates, although no evidence has ever been speculated
379 of the prophage inserting the Shiga toxin gene into ExPEC isolates (58). Nevertheless, the blast search
380 analysis of these genes in the *hlyE* prophage region identified two genes associated with prophages that
381 were not originated from an Stx2-converting phage. These genes have shown homology to Inovirus-
382 type Gp2 family protein and the transcriptional regulator gene *alpA* gene associated with cryptic P4-
383 like prophage CP4-57. Therefore, we can hypothesise that the prophage insertion region of *hlyE*
384 originated from an unknown prophage species.

385

386 Further analysis of other unique gene regions found in the Armenian and French cluster isolates
387 identified one of the unique genes as a prophage gene (unique if they were less than <90% protein
388 homology) or unique genes adjacent to prophage genes in the bacterial chromosome. For example, we
389 identified another gene that had homology to an Inovirus Gp2 family protein unique to our isolates
390 along with IS3 family transposase IS2 near TonB-dependent siderophore receptor gene *ireA* involved
391 in the increased uptake of iron within an iron restricted environment such as human urine (59). The *ireA*
392 was found in five other isolates retrieved from ENA that were recovered from companion animals and
393 human urine samples but showed no direct phylogenetic or geographical linkage to each other.
394 Moreover, we also found that other *ireA* ST127 isolates had an Inovirus Gp2 family protein gene;

395 however, these genes only shared 87.24% homology at a protein level. The difference in homology may
396 be linked to high mutation rates within filamentous phages due to being single-stranded DNA genome
397 (60). We also found a difference in the genome region of the Armenian and French isolates cluster as
398 they had an insertion sequence IS3 family transposase IS2 elements between *ireA* and Inovirus Gp2
399 family protein instead of a hypothetical protein found within the other ST127 *ireA* isolates. Moreover,
400 a truncated version of IS255 family transposase ISod4 was not truncated in the other ST127 *ireA*
401 isolates. The insertion sequence acquisition and changes within the Armenian and French isolates
402 cluster may have a role in the immobilisation and stability of the prophage region, which may suggest
403 why we did not find other *E. coli* ST127 clusters containing the *ireA* gene (61, 62).
404

405 Furthermore, the Armenian and French isolates cluster had a genomic region that contained 11 unique
406 genes, which were adjacent to a prophage integrase gene *intA* and tRNA-phe gene. Downstream of
407 these genes were the unique genes to the Armenian and French cluster, including a peptide ABC
408 transporter substrate-binding protein, lactate utilisation protein operon *lutABC* (also known as *ykgEFG*
409 in *E. coli*) and the endonuclease restriction modification operon *hsdMSR*. To our knowledge, there have
410 been no reports of involvement of these genes in UPEC growth and survival in the urinary tract.
411 Nonetheless, we can hypothesise that the peptide ABC transporter substrate-binding protein gene,
412 *lutABC* operon, along with *lutP* permease, may have a role in utilising the lactate as an energy source
413 along with other metabolites found in the urine; however, further studies are required (63–65). In
414 addition, the *hsdMSR* operon may have acted initially as a super-infection exclusion mechanism to
415 prevent secondary bacteriophage infection (66). Moreover, *hsdMSR* operon may act as a mechanism in
416 gene regulation within the urinary tract by DNA methylation (67, 68).
417

418 Although we were not able to find a complete arsenal of prophage gene integration within all these
419 genomic regions, we hypothesise that the most likely mode of horizontal transfer of these genomic
420 regions was through a prophage and that the prophage genes have decayed over time due to point
421 mutations and deletions to remove unwanted and toxic genomic features, leaving behind remnants of
422 prophage genes (69). Furthermore, based on the date of divergence (1966 date CI: 1956 to 1977); of
423 the UK isolates recovered from blood culture in 2011, which was the closest related isolate to Armenian
424 and French cluster, we cannot determine when these genomic regions integrated into Armenian and
425 French *E. coli* ST127 lineage; whether it had happened before or after diverging from the UK isolates.
426

427 Although we did not find antibiotic resistance gene mutations and plasmid replicons that were ubiquitous
428 and unique to the Armenia isolates, we did identify that the quinolone resistance protein gene *qnrD1*
429 was unique to ARM88 and the plasmid replicon genes and IncI2_1 were unique to ARM66. The *qnrD1*
430 is generally rare in *E. coli* isolates and is more commonly identified in *Proteus* and *Providencia* species;
431 whereas the IncX3_1 and IncI2_1 are generally associated with the spread of carbapenems and colistin
432 antibiotic resistance genes (70–75). However, we could not link it to any plasmid because we could not
433 identify a known plasmid replicon with an ARM88 plasmid sequence. In addition, we did not detect
434 any antibiotic resistance gene associated with carbapenems and colistin antibiotic resistance in ARM64
435 and ARM66. In correlation with phenotypic data, we determined that ARM88 *qnrD1* showed known
436 resistance to fluoroquinolone antibiotics (norofloxacin and levofloxacin). Intriguingly, we found 46
437 antibiotic resistance determinants that were ubiquitous in all *E. coli* ST127 isolates we studied, which
438 coincides with Goldstone and Smith, 2017 analysis that described 50 antibiotic resistance genes as
439 being part of the core resistome (=>95% of the isolates) and may have a role in providing basal-level
440 resistance towards a diverse number of antimicrobial compounds. However, Goldstone and Smith, 2017
441 also pointed out that some of these core antibiotic resistance genes may not be involved in antibiotic
442 resistance in *E. coli* due to a lack of evidence in the literature but have been involved in antibiotic

443 resistance in other bacterial species. Our findings indicate that beta-lactam and cephalosporin antibiotic
444 resistance phenotype in the Armenian isolates is attributed by the ESBL associated genes *bla*_{CTX-M-3},
445 *bla*_{CTX-M-236} and *bla*_{TEM-1}. However, we were unable to determine the antibiotic resistance genotype that
446 infers phenotypic resistance to aminoglycoside antibiotic amikacin in ARM88 and resistance to
447 fluoroquinolone antibiotics in norfloxacin and levofloxacin in ARM75, intermediate resistance to the
448 carbapenem antibiotics and imipenem resistance in ARM66. Moreover, the antibiotic resistance
449 phenotypes observed in our study were consistent with previous studies reporting that ST127 were
450 sensitive towards a wide range of antibiotics compared to other UPEC sequence types and that
451 aminoglycoside and fluoroquinolones antibiotic resistances were not commonly found in ST127
452 isolates along with ESBL production.(5, 77, 78).

453

454 The main limitation of this study is the small sample size; however, this is the first genomic analysis of
455 ESBL UPEC ST127 isolates recovered from patients in Armenia. These isolates were recovered from
456 different hospitals in different regions of Armenia but belonged to the same genetic lineage and have a
457 recent common shared ancestor. In addition, all isolates shared virulence and metabolic genes acquired
458 via horizontal transfer that were not found in other *E. coli* ST127 isolates except in one phylogenetically
459 closely related UPEC isolate from France. Further whole genome sequencing surveillance is necessary
460 to better understand the molecular epidemiology of the Armenian isolates, the role of some of these
461 genes and to determine whether ST127 is the dominate lineage causing urinary tract infections in
462 Armenia. Such surveillance studies will contribute to global efforts to tackle antibiotic resistance as
463 bacteria carrying AMR genes do not recognise borders.

464

465 **Conflict of Interest**

466 The authors declare no potential conflicts of interest.

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469

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723 **Tables and Figures**

724

725 **Table 1:** Antibiotic susceptibility profiles of *E. coli* ST127 isolates recovered from urine specimen.

726

727 **Table 2:** Isolation source, country, and BAP cluster of *E. coli* ST127 used in phylogenetic analysis.

728

729 **Table 3:** Unique genes (with known functions) detected in ARM64 and ARM66, ARM75 and
730 ARM88 and DABGLY01

731

732 **Figure 1:** ST127 core maximum likelihood phylogenetic tree and virulence gene heatmap A)
733 phylogenetic tree and virulence gene heatmap, B) correlation matrix of virulent genes and their
734 association to different sources and clusters. Red circle in phylogenetic tree represents Armenian
735 isolates.

736

737 **Figure 2:** MCC time-calibrated phylogenetic tree of ST127 *E. coli*. Blue bars on nodes represent date
738 of divergence 95% CI. A) Full dated MCC dated tree B) Partial : MCC time-calibrated phylogenetic
739 tree showing most recent divergence.

740

741 **Figure 3:** Hierarchy cluster heatmap of accessory genome of ST127 *E. coli* isolates.

742

743 **Figure 4:** Genome loci of unique genes found within Armenian isolates ARM64, ARM66, ARM75,
744 ARM88 and French isolate DABGLY01 A) *hlyE* loci B) *ireA* loci C) *lutABC/hsdMSR* operon loci.
745 Red star unique genes to these isolates, green triangle prophage genes, red block prophage region
746 identified by prophage hunter.

747

748 **Figure 5:** Mauve output of genome loci comparison of *ireA* gene. Red block indicate genome
749 similarities between the two loci.

750

751 **Figure 6:** Hierarchy cluster heatmap of ST127 *E. coli* antibiotic resistant gene/mutation.

752

753 **Figure 7:** Hierarchy cluster heatmap of ST127 *E. coli* plasmid replicon.
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