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#### Using Ground-Penetrating Radar Methods to Investigate Reinforced Concrete Structures

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## 3 Fabio TOSTI<sup>1\*</sup> and Chiara FERRANTE<sup>2</sup>

<sup>1</sup>School of Computing and Engineering, University of West London (UWL), St Mary's Road, Ealing, London W5 5RF,

6 UK. e-mail: <u>Fabio.Tosti@uwl.ac.uk</u>; Tel: +44 (0) 20 8231 2984; (\*Corresponding Author); ORCID Id: 0000-0003-0291-

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9 <sup>2</sup>Department of Engineering, Roma Tre University, Via Vito Volterra 62, 00146, Rome, Italy. e-mail:
10 <u>chiara.ferrante@uniroma3.it</u>

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#### 13 Abstract

14 This paper provides an overview of the existing literature on the subject of Ground-Penetrating Radar (GPR) methods for 15 the investigation of reinforced concrete structures. An overview of the use of concrete and reinforced concrete in civil 16 engineering infrastructures is given. A review of the main destructive and non-destructive testing (NDT) methods in the 17 field is presented, and an increase in the use of GPR to reinforced concrete structures is highlighted. It was also observed 18 that research in some application areas has been predominantly or exclusively carried out at a laboratory scale, and that 19 similarly, other more application-oriented research has been developed only on real-life structures. The effectiveness of 20 GPR in these areas is demonstrated. Furthermore, a case study is presented on a new methodological and data processing 21 approach for the assessment of reinforced concrete structures using a high-frequency dual-polarised antenna system. 22 Results have proven the advantages of using the proposed methodology and GPR system in order to improve the 23 detectability of rebars, including secondary bottom lines of reinforcement. The horizontal polarisation was proven to be 24 more stable compared to the vertical. Finally, it has been demonstrated that a more accurate location of the rebars in a 25 high-density grid mesh arrangement can be obtained by means of data migration processing with a scan spacing of 5 cm 26 and wave velocity information through the use of the hyperbola fitting method from at least the 30% of targets.

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Keywords: ground-penetrating radar (GPR); reinforced concrete structures; non-destructive assessment; standard test
 methods in concrete; data sampling methodology for migration; rebar location

#### 30 1. INTRODUCTION

Reinforced concrete is a dominant structural material in construction engineering in many countries. The extensive use of reinforced concrete structures depends on a number of factors, such as i) a wide availability of reinforcing bars and concrete constituents (gravel or crushed rock, sand, water, and cement); ii) a relative ease in concrete construction; and iii) the economy of reinforced concrete compared with other construction materials.

Reinforced concrete is used in many types of building, in underground structures, water tanks, wind turbine foundations
and towers, offshore oil exploration and production structures, dams, bridges, and also ships (Wight and MacGregor,
2012).

38 A kind of concrete was first used for the construction of structures in Crete during the Minoan civilisation, about 2000
39 B.C. This concrete-like material was not water resistant and, therefore, could not be used for exposed or underwater
40 structures. Thousands of years later, the Pantheon's concrete dome was completed by the Romans in A.D. 126. The
41 building still stands as the largest unsupported dome in the world with a diameter of 49 m, and is built with concrete made
42 with broken bricks as aggregates.

43 In 1824, Portland cement was developed by Joseph Aspdin (Sharp, 2006) who mixed ground limestone and clay from 44 different quarries and used a kiln to produce the cement. However, the material currently known as Portland cement was 45 cretaed by I. C. Johnson in 1845. He found that the best cement resulted from the grinding of a hard clinker, produced 46 when the mixture was overheated. In 1854, William Boutland Wilkinson of Newcastle patented a reinforced concrete 47 floor (Moussard et al., 2017). The introduction of reinforcing bars allowed to cover the structural gaps of non-reinforced 48 concrete, which is strong in terms of compression but weak in terms of tension. In fact, it is known that tensile stresses 49 caused by loads, restrained shrinkage, or temperature changes create cracks when the tensile stress of a material is 50 exceeded. In addition, an unreinforced beam can collapse very rapidly at the formation of first cracks. Conversely, 51 reinforcing bars embedded in the concrete allow tension forces to form for moment equilibrium after the concrete is 52 cracked. Prestressed concrete was introduced by Eugène Freyssinet more recently, in 1928, by putting reinforcement (i.e. 53 pre-stressing tendons) into tension and concrete into compression (Billington, 2004). This allowed to delay the formation 54 of cracks in the beam.

The construction of reinforced concrete members must follow a dedicated manufacturing process (Neville and Brooks, 1987). Reinforced concrete structures consist of a series of "members" that interact to support the loads placed on the structure. An overview of the various types of configuration and load transmission modes for these structures has been given by Wight and MacGregor (2012). The advantages of using reinforced concrete in place of other construction materials such as steel, masonry, or timber have been a subject of debate. The main benefits and disadvantages of using concrete structures are summarised in Tables 1 and 2 respectively.

#### Table 1 Advantages of concrete structures

| Consideration   | Assessment   |  |  |
|-----------------|--|--|--|
| Availability    | The foremost consideration is the wide availability of<br>reinforcing bars and of the concrete constituents (gravel or<br>crushed rock, sand, water, and cement) that can be easily<br>found and transported to the construction site and, hence, it<br>is preferred as a construction material in remote areas. |  |  |
| Economy         | From an overall structural cost point of view, reinforced<br>concrete constructions are cheaper compared to other<br>construction materials in terms of cost of materials and the<br>relative ease in concrete construction.   |  |  |
| Suitability     | From a shape point of view, concrete has the advantage that<br>it is placed in plastic conditions and it allows to give the<br>desired shape according to the structural function.   |  |  |
| Fire resistance | Concrete buildings are fire resistant, with 1- to 3-hour fire rating without special fireproofing.   |  |  |
| Rigidity        | Concrete buildings have greater stiffness and mass that prevent the formation of oscillations and vibrations.  |  |  |
| Low maintenance | Concrete buildings require less maintenance than buildings made of structural steel or timber members.   |  |  |

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#### Table 2 Drawbacks of concrete structures

| Consideration                             | Assessment   |  |  |  |
|---|--|--|--|--|
| Low tensile strength                      | Concrete is strong in compression and weak in tension. The tensile strength is about 1/10 of the compressive strength, therefore reinforcements are required when concrete is subject to tensile stresses.   |  |  |  |
| Low strength per unit of weight or volume | Concrete has a compressive strength and a unit density of<br>about 10% and 30% the steel, respectively. Therefore, a<br>concrete structure requires a larger volume and a greater<br>weight than a steel structure.  |  |  |  |
| Forms                                     | The construction process of concrete structures involves<br>labour and time due to the use of more complex formworks<br>and finishes compared to other types of structures.  |  |  |  |
| Volume changes                            | At the curing stage, concrete is subject to a drying shrinkage that may cause cracking or unwanted deflections.  |  |  |  |
| Energy used in manufacture                | Cement used for concrete production is a high-energy material that results in high costs.  |  |  |  |
| Environmental impact                      | <ul> <li>The high levels of CO<sub>2</sub> emissions at the produstage cover the 5% of the worldwide generation CO<sub>2</sub>.</li> <li>Loss of agricultural lands as well as visual in caused by major concrete structures on some are outstanding natural beauty are elements of reconcern.</li> <li>Care is necessary for the extraction of natural material components to avoid damage to landscape.</li> </ul> |  |  |  |

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66 A number of key processes may damage the integrity of a concrete structure, especially during the material's service life.

67 Corrosion associated with reinforcing bars is the most significant factor contributing to structural damage (Beena et al.,

68 2017). It is usually caused by excessive moisture content and chloride ions in the parts of the concrete adjacent to the

69 reinforcing steel. The early stage of corrosion is generally known as the initial passivity stage. Reinforcing bars are rapidly 70 attacked by acidic, wet corrosion, yield expansive, hydrated corrosion products. These can in turn accelerate the formation 71 of cracks in the surrounding concrete. The oxidation products occupy a larger volume than the original intact steel and so 72 the internal expansive stresses lead to cracking and debonding. This occurrence is commonly known as the delamination 73 of concrete cover material from the reinforcing layer (Neville and Brooks, 1987; Meng et al., 2020).

Within this framework, a comprehensive assessment and monitoring of concrete structures is required in order to properly identify the type and stage of decay. Traditional methods for investigating reinforced structures rely mostly on the use of destructive techniques (Tosti and Slob, 2015). In this regard, the most frequently used methods are coring, drilling or otherwise removing part of the structure to allow inner visual inspections of rebar conditions. Although destructive techniques provide accurate information, they are expensive, time-consuming and significant only at the point of acquisition. In addition, local damage at the sampling point may spread and can accelerate the process of decay.

80 In view of the above, non-destructive testing (NDT) methods are gaining momentum in the assessment of civil 81 engineering structures and infrastructures as fundamental tools for providing a more comprehensive survey programme 82 (Loizos and Plati, 2007; Plati et al., 2014; Fontul et al., 2018). NDT methods have taken hold in the field of concrete 83 structures in view of the increasing accuracy and effectiveness of their measurements.

84 There exists a wide spectrum of NDT techniques based on different theoretical principles and the production of various 85 sets of information regarding the physical properties of a structure. In general, it is worth considering five major factors 86 for the planning of a non-destructive survey (McCann and Forde, 2001). These are i) the required depth of penetration 87 into the structure; ii) the vertical and lateral resolution required for the anticipated targets; iii) the contrast in the physical 88 properties between the target and its surroundings; iv) the signal-to-noise ratio for the physical property measured on the 89 structure under investigation; v) the historic information concerning the methods used in the construction of the structure. 90 The major NDT methods used for the investigation of reinforced concrete structures are the sonic/ultrasonic methods, the 91 infrared thermography, radiography and the electromagnetic (EM) methods (e.g. radar-based methods).

92 Non-destructive sonic/ultrasonic testing methods have been used for the past thirty years for the assessment of civil 93 engineering structures and materials (McCann and Forde, 2001). These methods are based on the transmission and 94 reflection of mechanical stress waves at sonic and ultrasonic frequencies through a medium. In more detail, a sonic 95 transmission method involves the passing of a compression wave at frequencies between 500 Hz and 10 kHz, generated 96 by the impact of a force hammer with the structure. Effects of the wave are received by an accelerometer located on the 97 opposite side of the force hammer. An improvement of the sonic method is sonic tomography, where tests are not 98 restricted to being carried out along a path perpendicular to the surface of the medium. In the sonic reflection method, 99 both the initiation and the reception stage of a wave are performed on the same face of the medium's surface. Finally,

100 ultrasonic methods rely on the use of ultrasonic waves at frequencies above 20 kHz. This method was the first non-101 destructive technique developed for the testing of concrete. Nevertheless, the ultrasonic method is less practical in 102 concrete structures due to the higher attenuation characteristics of concrete and hence lower frequency signals are needed 103 to obtain a reasonable penetration. Another major issue with using ultrasonic methods is the scattering of both incident 104 and reflected waves, due to the different types of materials used in the mix.

105 Infrared thermography is a technique that allows heat at any temperature to be converted into a thermal image using a 106 specific scanning camera (Stanley and Balendran, 1995). With this technology, it is possible to assess buildings or 107 structures with defects (e.g. debonding render and mosaic or delaminating concrete) with regards to different infrared 108 radiations. A concrete surface that is free of defects appears as relatively uniform in a thermal camera. On the other hand, 109 cracks or other surface defects are displayed in red as they heat up faster under solar irradiation.

Radiography is another NDT method that uses x-rays, gamma rays and neutron rays, which are types of very short wavelength EM radiations. It is based on the detection and recording of the wave radiation penetrating through a medium. The amount of absorption depends on the density and thickness of the material as well as on the characteristics of the radiation. The radiation passing through the medium is recorded on a film or a sensitised paper and viewed on a screen. The sensitivity of the radiography depends on several factors, as the thickness and the direction of the radiation with respect to the defect: the best results can be obtained when a defect is significantly thick in a parallel direction to the radiation beam. The main application of radiographic techniques is the welds and castings inspection (Mishin, 1997).

EM methods are based on electromagnetic theory, described by the Maxwell's equations, and the material properties, quantified by constitutive relationships. These methods use the radar technology that is being spread quickly among NDT methods in the field of structural engineering, including applications on reinforced concrete structures.

In more detail, ground-penetrating radar (GPR) is a geophysical inspection method used to scan the subsurface in many application areas (Lai et al., 2009), including concrete structures such as buildings, dams (Rhim, 2001) and bridges (Kohl, 2006). GPR is a relatively new geophysical technique that has seen major advances in the last decade (Gizzi and Leucci, 2018), especially for civil engineering applications (Annan, 2004; Daniels, 2004). The working principle of the GPR technique is based on sending a short-duration EM wave and recording arrival time, amplitude and phase of the backreflected signal. These outputs are dependent on the GPR characteristics and the properties of the host material.

According to Burgey et al. (2003), GPR has several key practical advantages as opposed to other geophysical NDT methods. It is in fact a piece of light and portable equipment where no coupling medium or special safety precautions are required, in terms of emission of microwaves. In addition, GPR allows some of the most critical features in reinforced

129 concrete structures to be detected.

The present work reports an overview of the use of GPR methods for the investigation of reinforced concrete structures. Section 2 discusses the main international standard test methods and guidelines. The theoretical background and working principles of the GPR technology with an overview of the main applications in reinforced concrete structures are given in Section 3. Section 4 reports an historical review of research methods on the use of GPR in this subject area. Section 5 presents a case study on a new methodological and data processing approach for the assessment of reinforced concrete structures. Conclusions are presented in the last section of the paper.

136

#### 137 2. STANDARD TEST METHODS AND GUIDELINES

138 This Section reports a selection of principal destructive, partially-destructive and non-destructive standard test methods 139 for concrete and reinforced concrete assessment. Discussed standards have been released by the American Society for 140 Testing and Materials (ASTM), the American Concrete Institute (ACI) and the British Standards Institution (BSI).

Destructive testing methods require samples of the investigated structural element to be collected, usually in the form of cores. These are assessed in the laboratory for strength analyses and other physical tests as well as visual, petrographic and chemical investigations. Partially-destructive testing methods include tests requiring a minor level of damage to the material or structural member investigated.

145 NDT methods are generally defined as those methods which do not impair the intended performance of an element or a 146 structural member under test conditions. In regard to concrete, NDT techniques are intended to include also methods 147 causing localised surface damage. The main advantage of these methods is that they can be performed on the concrete 148 directly on site without the need to collect samples.

149

#### **150 2.1. Destructive and Partially-Destructive Methods**

In this Section, use of cores is discussed as the major destructive testing method for concrete. In regard to the use of partially-destructive methods, surface hardness methods are presented for the assessment of the quality of concrete. In addition to these, the penetration resistance method, the pull-out and pull-off and the break-off testing methods are discussed in regard to the evaluation of the strength of concrete.

155

#### 156 2.1.1. Destructive Testing Methods

157 Coring is the most popular and established destructive way of testing concrete, as it allows visual inspections of the inner 158 regions of a member to be coupled with strength estimations. Standards recommending procedures for cutting, testing 159 and interpretation of results are available worldwide (e.g., BS EN 12504-1, 2009; ASTM C42, 2018); ACI 318, 2014). The equipment usually employed for coring is a rotary cutting tool with diamond bits (Fig 1). To avoid drilling of distorted
or broken cores, the equipment must be firmly supported and braced against the concrete to prevent relative movements.
It is also necessary to provide a water supply in order to lubricate the cutter.

163 It is important to plan core location e size before testing. Core location is primarily linked to the basic purpose of the 164 testing. Regarding the core size, standards require a diameter of minimum three times the nominal maximum aggregate 165 size. In general, the accuracy decreases as the ratio of the aggregate size to the core diameter increases. 100 mm diameter 166 cores should not be used if the maximum aggregate size exceeds 25 mm, whereas 75 mm cores should be used preferably 167 for aggregate size of less than 20 mm. r.

Two basic classes of factors affecting measured core compressive strength can be mentioned. These are related to the concrete characteristics and the testing variables. Regarding the concrete characteristics, the moisture condition of the core affects the measured strength. Therefore, it is very important that the relative moisture conditions of the core and the in-situ concrete are considered for the estimation of the actual concrete strengths. Voids in the core are also an important factor capable to reduce the measured strength.

In regard to the testing variables, many different factors have been observed to affect the measured strength, i.e., i)
length/diameter ration of the core; ii) diameter of the core; iii) direction of drilling; iv) method of capping; v)
reinforcement.



176

## **Fig. 1** A core cutting drill

178

- 179 2.1.2. Partially-Destructive Methods
- 180 Surface Hardness Methods

181 Hardness is a key factor to test within the context of assessing the quality of concrete. Surface hardness methods can be

used in several application areas in order to assess the uniformity of concrete quality and compare the outcomes with

specific requirements. Furthermore, these methods can provide a reliable estimate of the concrete strength and resistance.
First applications of measuring the surface hardness of a mass of concrete were recorded in the 1930s. They involved measurements of the size of indentation caused by a steel ball either fixed to a pendulum or a spring hammer, or fired from a standardised testing pistol. The height of rebound of the mass from the surface was then measured at a later stage.
The purpose of these methods is not to establish a theoretical relationship between the values measured from any of these methods and the strength of concrete, but rather to define empirical relationships between test results and the quality of the surface layer.

The equipment is a rebound test hammer developed by the Swiss engineer Ernst Schmidt in the late 1940s (Fig. 2). The spring-controlled hammer mass slides on a plunger within a tubular housing. The plunger is pressed strongly and steadily against the concrete at right angles to its surface, until the spring-loaded mass is triggered from its locked position. After the impact, the scale index is read while the hammer is still in the test position. To avoid local variations of the values in the concrete due to irregularity of the surface, it is necessary to collect several readings for each test, typically nine spaced 25 mm from each other in an area not exceeding 300 mm<sup>2</sup>. Therefore, the surface must be smooth, clean and dry.

According to Bungey et al. (2003) several factors can affect the results. Some of these factors are related to the mix characteristics, i.e., i) cement type, ii) cement content, and iii) coarse aggregate type; whereas other factors relates to the member characteristics, i.e., i) mass, ii) compaction, iii) surface type, iv) age, v) surface carbonation, vi) moisture condition, vii) stress and temperature. Use of the rebound method is regulated by BS EN 12504-2 (BS EN 12504-2 2013) and ASTM C805 (ASTM C805 2018).

201



202

**203** Fig. 2 The surface hardness test method (Bungey et al. 2006)

- 204
- 205 Penetration Resistance Testing

Penetration resistance testing (Fig. 3) is based on the principle that the depth of penetration is influenced by the strengthof the concrete. A first application, known as the Windsor probe test, was developed in the US during the mid-1960s.

Although it is difficult to provide a theoretical relationship between the depth of penetration of the bolt and the concretestrength, robust empirical relationships can be found that are virtually not affected by the operator technique.

210 The test equipment consists in probes, generally of 6.35 mm in diameter and 79.5 mm in length, that can work alone or

211 in groups of three. A system of triangular measuring plates is used to provide one averaged reading of the exposed length

for the group of probes. The test procedure recommends to perform at least three tests and to provide an averaged outcome.

213 In case the range of a group of three tests exceeds 5 mm, a further test should be performed and the extreme value

- discarded.
- The principal physical limitation of this method is on the need of providing an adequate edge distance and a member thickness of at least twice the size of the anticipated penetration. Recommendations on the use of penetration resistance testing are given in BS 1881-207 (BS 1881-207 1992) and ASTM C803 (ASTM C803 2018).
- 218



219

Fig. 3 Penetration resistance testing. a Penetration resistance test probe (Bungey et al. 2006), b layout of test procedure

**222** *Pull-Out and Pull-Off Testing Methods* 

Pull-out testing methods are based on measuring the force required to pull a bolt or other similar metal bars from a concrete surface. Tests fall into two classes, i.e., the cast-in and the drilled-hole methods. Cast-in methods involve an insert casted into the concrete, whereas drilled-hole methods provide an insert fixed into a hole which is drilled into the hardened concrete. In both cases, the value of the test is dependent on the ability to relate the pull-out force to the concrete strength. It has also been observed that this relationship is relatively independent from concrete mix features and curing history (Carino, 2004). An example of cast-in methods, i.e., the Lok-test, is illustrated in Fig. 4.

Pull-off methods (Fig. 5) have been developed to measure the in-situ tensile strength of concrete by applying a direct

tensile force. Procedures are covered by BS 1881-207 (BS 1881-207 1992), ASTM C1583 (ASTM C1583 2013) (in-situ

applications) and BS EN 1542 (BS EN 1542 1999) (laboratory applications on specimens) standards to assess the bond

properties of repairing materials. These methods were developed initially in the early 1970s. Working principle provides

- 233 a disk to be glued to the concrete surface with an epoxy resin. The disk is jacked off to measure the force necessary to
- 234 pull a piece of concrete away from the surface. A nominal tensile strength for the concrete is calculated based on the disk
- 235 diameter. The compressive strength can be also calculated using a calibration chart appropriate to the concrete.
- 236



241 Fig. 5 The pull-off method. a Surface, b partially cored

242

#### 243 Break-Off Testing Methods

244 The break-off technique has been developed following three different procedures. The procedure developed in Norway 245 is known as "Norwegian method" (Ottosen, 1981) and it allows to determine directly the flexural strength in a plane 246 parallel to and spaced from the concrete surface. The configuration of the test provides a tubular disposable form inserted 247 into the fresh concrete. A transverse force is applied at the top surface in order to break the core left after the removal of 248 the insert (Fig. 6). Specially developed portable equipment are used in order to exert hydraulically the breaking force. A 249 linear correlation has been found between the break-off strength and the rupture modulus measured on prism specimens. 250 The entire procedure and recommendations for this testing method are detailed in ASTM C1150 (ASTM C1150 2002). 251 Another procedure known as the Stoll tork test (Stoll, 1985), was proposed later on in order to improve upon the variability 252 of the existing similar techniques and to allow for testing at deeper depths than the pull-out, the pull-off and the penetration 253 resistance methods. Accuracy of this testing method is comparable with that of the major partially-destructive methods,

254 with results based on the average of at least three tests. The main value of the method is in the pre-planned monitoring of

- 255 the internal in-situ strength development, although further investigations are still required to foster a commercial use of
- 256 the method.
- 257 A further test procedure for precast concrete quality control purposes has been used in the former Soviet Union, as
- 258 discussed by Leshchinsky et al. (1990). However, this technique has not become an established international method for
- 259 testing.



#### 261 Fig. 6 The break-off method

262

#### 263 2.2. Non-Destructive Methods

264 Several applications of NDT methods to concrete and reinforced concrete materials and structures can be found in the 265 literature. Although research in this subject area is continuously in progress and use has not become established for many 266 of these methods, promising results have been achieved to date. Table 3 reports an overview of the main NDT techniques 267 used for concrete and reinforced concrete investigation purposes, highlighting their main advantages, limitations, areas 268 of future research, and potential future challenges and prospects. 269

#### 270 Table 3 Main NDT methods for investigation of concrete and reinforced concrete materials and structures

| Method | Standard/G<br>uideline      | Characteristic  | Theoretical<br>frequency<br>range | Application  | Advantage  | Limitation  | Future research<br>challenge and prospect   |
|--------|-----------------------------|---|-----------------------------------|--|--|---|---|
| Sonic  | • ASTM<br>C597-16<br>(2016) | <ul> <li>Contact</li> <li>Partially-<br/>destructive</li> </ul> | 500 Hz ÷ 10<br>KHz                | <ul> <li>Evaluation of delamination<br/>(McCann and Forde, 2001)</li> <li>Voids detection (Fegen et al.,<br/>1979)</li> <li>Assessment of material<br/>uniformity (McCann and<br/>Forde, 2001; Guida et al.,<br/>2012)</li> <li>Assessment of the average<br/>compressive strength of<br/>structures/materials (McCann<br/>and Forde, 2001)</li> </ul> | <ul> <li>Reliability of results<br/>(McCann and Forde, 2001)</li> <li>High-resolution imaging<br/>(Hollema and Olson, 2003)</li> <li>Suitability for outdoor<br/>surveys (McCann and Forde,<br/>2001)</li> <li>Useful information on major<br/>structural elements (Guida et<br/>al., 2012)</li> </ul> | <ul> <li>Invasiveness if electrical probes are needed (ACI 228.2R-13, 2013)</li> <li>Complexity of result interpretation (McCann and Forde, 2001; ACI 228.2R-13, 2013)</li> <li>High signal attenuation for high-resolution imaging (McCann and Forde, 2001)</li> <li>Time-consuming data collection (ACI 228.2R-13, 2013)</li> <li>Moderately high costs (McCann and Forde, 2001)</li> </ul> | <ul> <li>Development of<br/>more user-friendly<br/>devices for data<br/>acquisition</li> <li>Use of an array of<br/>transducers to limit<br/>data acquisition time</li> </ul> |

| Ultrasonic               | <ul> <li>ASTM<br/>C597-16<br/>(2016)</li> <li>ASTM<br/>D6760-<br/>16<br/>(2016)</li> <li>BS EN<br/>12504<br/>(2004)</li> </ul>                | <ul> <li>Contact</li> <li>Partially-<br/>destructive</li> </ul>                  | 20 ÷ 200 KHz  | <ul> <li>Reinforcement detection<br/>(Pucinotti et al, 2007)</li> <li>Location of a variety of<br/>defects within concrete<br/>elements (e.g.,<br/>delaminations, voids, honey-<br/>combing) (ACI 228.2R-13,<br/>2013)</li> <li>Thickness evaluation of<br/>structural elements (ACI<br/>228.2R-13, 2013)</li> <li>Assessment of the relative<br/>condition or uniformity of<br/>concrete (ACI 228.2R-13,<br/>2013)</li> </ul>   | <ul> <li>Portable equipment is<br/>available (ACI 228.2R-13,<br/>2013)</li> <li>Relatively easy to use (ACI<br/>228.2R-13, 2013)</li> <li>High speed of tests (Bungey<br/>et al., 2006)</li> <li>Reliability of results (Bungey<br/>et al., 2006)</li> </ul>  | <ul> <li>No information on major<br/>elements (Bungey et al.,<br/>2006)</li> <li>Applicable to limited<br/>member thickness (ACI<br/>228.2R-13, 2013)</li> <li>Experienced operators<br/>required (ACI 228.2R-13,<br/>2013)</li> </ul>  | <ul> <li>Possibility to reduce<br/>signal attenuation<br/>effects</li> </ul>  |
|--------------------------|---|--|---|--|---|---|---|
| GPR                      | <ul> <li>ASTM<br/>D4748-<br/>15<br/>(2015)</li> <li>ASTM<br/>D6432-<br/>11<br/>(2011)</li> <li>ASTM<br/>D6087-<br/>08e1<br/>(2015)</li> </ul> | <ul> <li>Contact/No<br/>n-contact</li> <li>Fully non-<br/>destructive</li> </ul> | 500 MHz ÷ 2.5<br>GHz  | <ul> <li>Defect and decay detection<br/>(Wang et al., 2011; Martino et<br/>al., 2014; Miramini et al.<br/>2018)</li> <li>Location of rebars (Alvarez et<br/>al., 2017; Kien et al., 2018;<br/>Lachowicz and Rucka, 2018)</li> <li>Estimation of rebar size (Utsi<br/>and Utsi, 2004; Chang et al.,<br/>2009; Zhou et al., 2018;<br/>Giannakis et al., 2019)</li> <li>Measurement of dielectric<br/>properties (Louzli et al., 2002;<br/>Laurens et al., 2005; Sbartai<br/>et al., 2009b)</li> <li>Industrial quality control<br/>(Wiwatrojanagul et al., 2017;<br/>Burgey et al., 2003)</li> </ul> | <ul> <li>Totally non-destructive (Zhou et al., 2018)</li> <li>Portable equipment (Daniels, 2004)</li> <li>Use of different frequencies for different types of targets (Bungey et al., 2006)</li> <li>Real-time continuous displaying of collected results (Miramini et al. 2018)</li> <li>Rapid investigations of large areas (Bungey et al., 2003)</li> <li>Very sensitive to presence of embedded metal objects (ACI 228.2R-13, 2013)</li> <li>Sensitive to the presence of moisture and chlorides (ACI 228.2R-13, 2013)</li> </ul> | <ul> <li>Skills required to interpret<br/>data (Burgey et al., 2003)</li> <li>Congested reinforcement can<br/>prevent penetration beyond<br/>the reinforcement (ACI<br/>228.2R-13, 2013)</li> <li>Difficulty in detecting early-<br/>stage decay (Burgey et al.,<br/>2003)</li> <li>Cracks and delaminations not<br/>easy to detect unless moisture<br/>is present in the cracks or in<br/>the region of the<br/>delamination (ACI 228.2R-<br/>13, 2013)</li> <li>Limited depth of penetration<br/>of pulses from high-<br/>resolution antennas (300 to<br/>500 mm) (ACI 228.2R-13,<br/>2013)</li> </ul>  | <ul> <li>Improving existing<br/>knowledge on the<br/>behaviour of the EM<br/>pulses through<br/>reinforced concrete<br/>structures</li> <li>Use of higher central<br/>frequencies for<br/>detection of early<br/>decay</li> <li>Development of<br/>real-time algorithms<br/>for concrete<br/>investigation</li> </ul> |
| Microwaves               | /   | Non-<br>contact<br>Fully non-<br>destructive                                     | 250 MHz ÷<br>300 GHz  | <ul> <li>Concrete decay (Patriarca et<br/>al., 2011; Hashemi, 2016)</li> <li>Moisture distribution (Rhim<br/>and Buyukozturk, 1998;<br/>Bouichou et al., 2018)</li> </ul>  | <ul> <li>Small size of the antennas<br/>(Hashemi, 2016)</li> <li>High-resolution<br/>measurements (Bouichou et<br/>al., 2018)</li> </ul>  | <ul> <li>Available hardwires not<br/>suitable for outdoor surveys<br/>(Hashemi, 2016)</li> <li>Difficulty to identify the<br/>nature of decay (Hashemi,<br/>2016)</li> </ul>  | <ul> <li>Better understanding<br/>of the physical<br/>properties of decays</li> <li>Additional research<br/>required with<br/>computer analysis<br/>and sensor<br/>technology</li> <li>Need for more<br/>quantitative results</li> </ul>  |
| Infrared<br>Thermography | <ul> <li>ASTM<br/>D4788-<br/>03,<br/>(2013)</li> </ul>  | <ul> <li>Non-<br/>contact</li> <li>Fully non-<br/>destructive</li> </ul>         | 3 THz ÷ 428<br>THz  | <ul> <li>Voids and delamination<br/>detection (McCann and<br/>Forde, 2001)</li> <li>Defect evaluation (Holt and<br/>Eales, 1987)</li> <li>Assessment of concrete<br/>moisture conditions<br/>(Grinzato et al., 2011)</li> <li>Construction details (Titman,<br/>2001)</li> <li>Detection of reinforcing bars<br/>(Maierhofer et al., 2006)</li> </ul>  | <ul> <li>Reliability of results (Stanley<br/>and Balendran, 1995)</li> <li>Suitability for rapid<br/>assessment of large or high-<br/>rise buildings (Stanley and<br/>Balendran, 1995)</li> <li>Remote use without direct<br/>coupling with<br/>structure/material (ACI<br/>228.2R-13, 2013)</li> <li>Good overview of decay<br/>areas in the survey region<br/>(ACI 228.2R-13, 2013)</li> <li>Real-time displaying of<br/>collected results (Rocha et<br/>al., 2019)</li> </ul>  | <ul> <li>Variations in the test<br/>response with varying<br/>environmental conditions<br/>(McCann and Forde, 2001;<br/>ACI 228.2R-13, 2013)</li> <li>Limitations in detecting deep<br/>defects (Stanley and<br/>Balendran, 1995; ACI<br/>228.2R-13, 2013)</li> <li>Difficulty in decay detection<br/>for low-quality concrete<br/>(Rocha et al., 2019)</li> <li>Expensive equipment (ACI<br/>228.2R-13, 2013)</li> <li>Experienced operator<br/>required (ACI 228.2R-13,<br/>2013)</li> </ul>  | <ul> <li>Investigation of<br/>deeper layers</li> <li>Use of special filters<br/>to reduce the effects<br/>of variable<br/>environmental<br/>conditions</li> </ul>   |
| Radiography              | <ul> <li>BS<br/>1881-<br/>205:198</li> <li>6 (1986)</li> <li>ASTM<br/>C1040 /<br/>C1040M</li> <li>-16a</li> <li>(2016)</li> </ul>             | <ul> <li>Contact</li> <li>Fully non-<br/>destructive</li> </ul>                  | 1×10 <sup>4</sup> THz ÷<br>3×10 <sup>6</sup> THz (X-<br>rays)<br>3×10 <sup>6</sup> THz ÷<br>3×10 <sup>10</sup> THz (Y-<br>rays) | <ul> <li>Providing view of the internal structure of the test object (Mishin, 1997; ACI 228.2R-13, 2013)</li> <li>Use of image plates to extract more information about the internal structure of the test object (ACI 228.2R-13, 2013)</li> <li>Checking of reinforced bars (Mitchell, 1991)</li> <li>Use of portable iridium-192 (Ir) sources in holes drilled in concrete to provide tomography of reinforcement in large columns and beams (ACI 228.2R-13, 2013)</li> </ul>  | <ul> <li>Equipment can be turned off<br/>when not in use (X-rays)<br/>(ACI 228.2R-13, 2013)</li> <li>Equipment reasonably<br/>portable and cost-effective<br/>(Y-rays) (ACI 228.2R-13,<br/>2013)</li> <li>Minimal operator skills<br/>required for data collection<br/>(Y-rays) (ACI 228.2R-13,<br/>2013)</li> <li>Reliability of results for large<br/>datasets (Bungey et al., 2006)</li> </ul>   | <ul> <li>Safety concerns due to the<br/>emission of hazardous<br/>radiations (ACI 228.2R-13,<br/>2013)</li> <li>Operators must be licensed<br/>and highly skilled.</li> <li>Bulky and expensive<br/>equipment (X-rays) (Bungey<br/>et al., 2006; ACI 228.2R-13,<br/>2013)</li> <li>Y-ray penetration limited to<br/>20 in. (500 mm) within<br/>concrete materials (ACI<br/>228.2R-13, 2013)</li> <li>Access to opposing faces<br/>required (ACI 228.2R-13,<br/>2013)</li> <li>Large differences more<br/>readily detected than small<br/>differences (Mishin, 1997)</li> <li>Difficulty to identify cracks<br/>perpendicular to the radiation<br/>beam (ACI 228.2R-13, 2013)</li> </ul> | <ul> <li>Additional research<br/>required to deal with<br/>large data samples</li> </ul>  |

In regard to the existing standards for use of NDT techniques in concrete investigations, four classes of NDT methods are
presented in this Section which have been sorted by the main inspection task, i.e., (1) ultrasonic pulse velocity methods

for concrete quality control purposes; (2) durability tests for concrete decay assessment due to weathering action and

chemical attack; (3) performance and integrity tests and (4) load testing methods.

277 2.2.1. Quality Control Tests: Ultrasonic Pulse Velocity Methods

The first application of pulse velocity methods in concrete dates back to the mid-1940s, USA. Methods were considered reliable in view of a strong dependence of the velocity upon the elastic properties of the material. A few years later, a repetitive mechanical pulse equipment was developed in France to overcome measurement problems. Nowadays, modern ultrasonic methods, employing pulses in a frequency range of 20–150 kHz, are the most diffused.

In terms of working principles, a source pulse is transmitted to the concrete surface and a recorded pulse is received and amplified. This allows to measure the time elapsed with an accuracy of  $\pm 1\%$ . The wave velocity within the medium depends on the elastic properties and the mass of the medium. Hence, it is possible to assess the medium elastic properties if its mass and the wave propagation velocity are known (Trtnik et al., 2009).

The ultrasonic pulse velocity method can be used in both laboratory (Popovics et al., 1990; Bogas et al., 2012) and onsite testing conditions (Lee et al., 2004). Main applications in the laboratory environment consist in the monitoring of material design characteristics and structural behaviour, e.g., strength development or deterioration in specimens subject to varying curing conditions or aggressive environments. Testing on the site allows to (i) measure the material uniformity (ii), detect cracking, (iii) have an estimation of the strength of the concrete, (iv) assess concrete deterioration (v) measure the thickness of concrete layers and (vi) to estimate the material elastic modulus.

Testing in the vicinity of reinforcement should be avoided as considerable uncertainty is introduced by the dominant influence of steel over concrete. The case of reinforcement parallel to the pulse path (Fig. 7) and transverse to the pulse path should be considered in order to apply corrections to the actual value of velocity of propagation in the concrete. Specifications on the use of the ultrasonic pulse velocity method are given in BS EN 12504 (BS EN 12504 2004) and ASTM C597 (ASTM C597 2016).

297



Fig. 7 The ultrasonic pulse velocity method for reinforcing bars: case of reinforcement parallel to the pulse path



302 Durability tests aim to assess the material characteristics affecting this particular feature as well as to identify the problem 303 source. Deterioration of structural concrete may be due either to the action of chemical and weathering agents, and 304 corrosion of embedded reinforcement steel is an element of major concern. Within this framework, durability tests involve 305 different types of assessment such as the corrosion detection, moisture measurements and the absorption and permeability 306 tests.

307

#### 308 Corrosion of Reinforcement

309 Corrosion of reinforcement bars is an electro-chemical process that requires the contribution of moisture and oxygen. 310 This process is often acknowledged as the major cause of decay in concrete structures. This occurrence may lead to a 311 structural weakness due to the loss of the steel cross-section, surface staining and cracking or spalling. In some instances, 312 internal delamination may also occur and, to this effect, EM methods are nowadays the most used for purpose. In this 313 regard, battery-operated devices known as covermeters are employed (BS 1881-204, 1988). The fundamental working 314 principle relies on the fact that steel affects the field of an electromagnet (i.e., an iron-cored inductor). An alternating 315 current is passed through one of the coils, while the current induced in the others is amplified and measured. The reliability 316 of this technique depends on factors affecting the magnetic field within the metre range. Among these, the presence of 317 multiple reinforcing bars, laps or transverse steel, metal tie wires, variations in the iron content of the cement and use of 318 aggregates with magnetic properties are worthy of mention.

More recently, the half-cell or rest-potential measurement method has been applied to assess areas of potential reinforcement corrosion. The method measures the potential of embedded reinforcing steel relative to a reference halfcell placed on the concrete surface (Fig. 8) (ASTM C876, 2009). It is also worth to mention that the half-cell potential method can be used in combination with resistivity measurements. The resistivity method works upon the ability of corrosion currents to flow through the concrete that can be assessed in terms of the electrolytic resistivity of the material.

324



- 326 Fig. 8 Measurement framework for the reinforcement potential method
- 327

#### 328 Moisture Detection

Electrical methods (Fig. 9) are the most widespread within this assessment area as electrical resistivity measurements are highly sensitive to moisture. The dielectric properties of concrete are highly affected by the content of water, and factors such as the dielectric permittivity of the material and the dissipation factor can provide robust indications on moisture presence. It is known that the properties of a capacitor formed by two parallel conductive plates depend upon the characteristics of the separating medium. To this effect, the ratio between capacitances of the same plates when separated by the tested medium and by a vacuum identifies the dielectric permittivity.



#### 335

- **Fig. 9** A humidity meter for detection of moisture (Bungey et al., 2006)
- 337

#### 338 Absorption and Permeability Tests

The absorption and permeability tests are relevant for the assessment of concrete in water retaining structures or watertight basements, as well as to have an estimation of the material durability. Among the existing methods within this assessment area, the most utilised is the initial surface absorption method (Fig. 10) (BS 1881-208, 1996). Levitt (1969) has discussed the theory and the application of this technique and further analytical studies (Wilson et al., 1998) present the working mechanisms in detail.



- **Fig. 10** The initial surface absorption test
- 347

#### 348 2.2.3. Performance and Integrity Tests

349 *Infrared Thermography* 

350 The infrared thermography test is based on the analysis of images collected using a special camera (Fig. 11) during the 351 cooling of a heated structure. Use of this technique has many potential advantages over physical methods for the detection 352 of structural delamination, as it was discussed by Manning and Holt (1980). The detection of laminations or voids by 353 infrared thermography is based on the difference in surface temperature between sound and unsound concrete under 354 certain atmospheric conditions. First unsuccessful attempts were performed with a camera held by an operator standing 355 on a bridge deck. The limited field of view and the oblique alignment made this method as impracticable. Therefore, the 356 technique was improved using an elevated mobile platform to scan the deck from a height of up to 20 m, provided that 357 the surface temperature differentials were greater than 2°C.

Procedures for use of infrared thermography in the investigation of bridge deck delamination are given in ASTM D4788-03 (ASTM D4788-03, 2013). Other applications to concrete structures involve the assessment of concrete moisture conditions influencing thermal gradients, and the location of hidden voids, ducts and services. The infrared thermography technique has nowadays reached a level of sensitivity to temperature differences of  $\pm 0.1$  °C and allows high-definition imaging and accurate temperature measurement.

363



- 364
- **Fig. 11** Infrared thermography apparatus (ACI 228.2R-13, 2013)

366

367 Ground-Penetrating Radar

368 The use of GPR systems for the investigation of concrete structures has increased over the last 20 years (Cantor, 1984).

369 GPR working principles rely on the propagation of EM waves through the material, with the speed and attenuation of the

370 signal being affected by its electrical properties. Since radar wave reflections occur at the interface between materials

with different dielectric properties, the back-reflected signal can be interpreted to provide an evaluation of the properties
and the geometry of the subsurface. Within this context, a typical frequency range for applications to concrete is 500
MHz–2.5 GHz.

374 Three different approaches can be listed in regard to the use of GPR systems for the investigation of concrete structures: 375 (1) frequency modulation with continuous frequency of the transmitted radar within a default frequency range; (2) 376 synthetic pulses with varied frequency of the transmitted radar signal over a series of discontinuous steps; (3) impulse 377 radar-based systems working with a series of discrete sinusoidal pulses within a specified broad-frequency band and a 378 signal repetition rate of 50 kHz. A typical radar equipment configuration consists of an antenna in contact with the 379 concrete surface to produce a diverging beam of radiation. An alternative to this is to use a focused beam horn antenna 380 with an air gap of about 300 mm between the horn and the concrete surface. This latter technique is well-established and 381 operational details are provided in ASTM D4748-15 (ASTM D4748, 2015). An overview of the equipment, the field 382 procedures and the interpretation methods for the assessment of subsurface materials using GPR are provided in the 383 ASTM D6432-11 standard (ASTM D6432-11, 2011). More specifically, on concrete, the ASTM D6087-08 standard 384 (ASTM D6087-08, 2015) covers in detail a number of GPR procedures for the assessment of the concrete bridge deck 385 overlaid conditions with asphaltic concrete wearing surfaces. Guidance is provided for identifying the concrete or rebar 386 deterioration at or above the level of the top layer of reinforcing bars using GPR systems equipped with air-launched horn 387 antennas or ground-coupled antennas of central frequencies of 1 GHz and greater.



388

- 389 Fig. 12 Investigation of a subsurface anomaly using a GPR ground-coupled antenna system
- 390

#### 391 2.2.4. Load Testing

Load testing may be necessary in case the strength of structural members cannot be adequately assessed using other available tests. Two classes of load tests can be primarily identified, i.e., the static tests (most common) and the dynamic tests, where variable loading are predominantly applied. An additional classification sorts load tests into non-destructive, generally carried out in-situ, and destructive, generally applied on members removed from a structure. The principal aim of these tests is to prove satisfactory structural performance under the effects of an overload above the design working value. This is usually tested by measuring deflections sustained for a certain period of time under the action of the overload. In-situ load tests require a minimum age of the concrete of 56 days in order to be carried out.

According to BS 8110 (BS 8110, 2003), loads must be added and removed incrementally, with the test load being applied

400 twice minimum at a time interval of 1 hour between two consecutive ones. An example of load test for light roof purlins

401 is shown in Fig. 13.

402 Reliability of in-situ load tests depends upon a number of key factors, such as a suitable preparatory work to avoid 403 potential restraints, the accuracy provided in the application of the load, the provision of an accurate datum for deflection 404 measurement and a careful allowance for temperature effects. Compliance of these requirements provides a reliable 405 indication of the behaviour of the member or the structure under test for short-term tests.

406



#### 407

- 408 Fig. 13 The load test for light roof purlins
- 409

#### 410 **3.** THE GPR TECHNOLOGY

#### 411 **3.1. Theoretical Background**

412 GPR principles feed into the EM theory where the physics of the EM field propagation is described by the Maxwell's

413 equations and material properties are quantified by constitutive relationships. As a combination of these two factors, the

- 414 GPR signal is the output that provides information on the subsurface properties and configuration.
- 415 The propagation of the EM waves depends on the three main EM properties of the host material (Benedetto et al., 2017),
- 416 i.e., the dielectric permittivity  $\varepsilon$ , the electric conductivity  $\sigma$  and the magnetic permeability  $\mu$ .
- 417 The dielectric permittivity and the electric conductivity are strictly related to the EM wave features.  $\varepsilon$  affects the wave
- 418 velocity, and  $\sigma$  controls the wave attenuation. On the contrary, the magnetic permeability  $\mu$  does not relate with the
- 419 propagation of the wave for all the non-magnetic materials, as it is equal to the free-space magnetic permeability  $\mu_0$ .

420 On the other hand, the main factors affecting the penetration depth are the frequency of the emitted signal (for structural

421 inspections, antennas with central frequencies above 1 GHz are used) and the type of material investigated.

422 From a theoretical point of view, the physics of EM fields is described by the Maxwell's equations as follows:

423 
$$\nabla \times \vec{E} = -\frac{\partial (\vec{B})}{\partial t}$$
(1)

424 
$$\nabla \times \vec{H} = \vec{J} + \frac{\partial (\vec{D})}{\partial t}$$
(2)

$$\nabla \cdot \vec{D} = q \tag{3}$$

$$\nabla \cdot \vec{B} = 0 \tag{4}$$

427 where:

428  $\vec{E}$  = strength vector of the electric field (V m<sup>-1</sup>); q = electric charge density (C m<sup>-3</sup>);  $\vec{B}$  = density vector of the magnetic flux (T);  $\vec{J}$  = 429 density vector of the electric current (A m <sup>-2</sup>);  $\vec{D}$  = electric displacement vector (C m<sup>-2</sup>); t = time (s);  $\vec{H}$  = intensity vector of the magnetic 430 field (A m<sup>-1</sup>).

431

432 Material properties are instead quantified by the following constitutive relationships:

$$\vec{I} = \sigma \vec{E} \tag{5}$$

$$\vec{D} = \varepsilon \vec{E}$$
(6)

$$\vec{B} = \mu \vec{H} \tag{7}$$

436 Combination of the EM fields' theory and the material properties allows to describe comprehensively a GPR signal.

437

#### 438 3.2. Working Principles

#### 439 *3.2.1. Overview*

440 GPR is a geophysical inspection technique used for the non-destructive investigation of the subsurface. Fig. 14 represents 441 a GPR output from a survey layout typical of a reinforced structure. An EM wave is emitted towards the surface by a 442 radar with a fixed central frequency using one or more antennas. The signal is then received as a function of the material 443 properties and characteristics of the receiving antenna. A GPR signal is characterised by a series of peaks with amplitude 444 values dependent on three main factors, i.e. the nature of the reflector, the nature of the travelled medium and the curve 445 of the applied amplification (Noon, 1995). Typically, three visualisation modes can be listed for a GPR signal that provide 446 three different levels of information: i) an A-scan, i.e. a single radar trace along the depth axis; ii) a B-scan, i.e. a set of 447 sequential single radar traces collected along a specific scanning direction; and iii) a C-scan, i.e. a set of B-scans 448 extrapolated at a certain spacing along the depth axis (Benedetto et al., 2017).

- 449 Various information can be collected with GPR, such as the two-way travel time distance between reflection peaks at
- 450 layer interfaces/target positions (e.g. rebars), the amplitude and the phase of a signal. To this effect, locating rebars and
- 451 estimating cover thickness are among the major applications of GPR to reinforced concrete structures.



453 Fig. 14 a Layout of a survey on a reinforced concrete structure using a ground-coupled GPR system, b corresponding
454 GPR signal output

455

#### 456 3.2.2. Configuration of the Antennas

A GPR system is configured as mono-static when a unique antenna operates as both transmitter and receiver, and bi-static in case of separated transmitter and receiver. In addition, GPR systems can be sorted as ground-coupled or air-coupled, as a function of the type of antennas. Ground-coupled antenna systems work in direct contact with the surface and allow for a higher penetration depth. A typical frequency range for these systems is from 80 MHz to 2000 MHz. Air-coupled antennas work attached to an inspection vehicle and allow for a faster acquisition. The radar apparatus are usually set up at 0.15 m÷0.50 m above the surface and the central frequency ranges typically from 1000 MHz to 2000 MHz.

463 For concrete structure inspections, ground-coupled antennas with central frequencies above 1 GHz are usually employed

- to provide a trade-off between accuracy and depth of inspection (Hugenschmidt, 2002; Burgey, 2004).
- 465

#### 466 3.3. GPR Applications in Reinforced Concrete Materials and Structures

GPR is a fundamental method for quality control and routine inspections of reinforced concrete in civil engineering infrastructures (Wiwatrojanagul et al., 2017). The suitability of GPR is related to the presence of concrete (low-conductive material) and rebars (high-conductive materials), which allow for effective detection in the composite material. The very first application of a radar system in detecting metal objects dates back to 1904 (Ulricksen, 1982), whereas the use of GPR in structures started to gain momentum in 1980s (Forde, 2004). Nowadays, GPR is used for many applications in the area of reinforced concrete materials and structures, although the location of reinforcing bars is one of the mostwidespread (Pucinotti and De Lorenzo, 2006).

The main applications in the literature within this subject area are: i) the location of reinforcing bars, ii) the detection of rebar corrosion, iii) the estimation of the rebar size, iv) the evaluation of the concrete cover, v) the structural detailing of anchorages and joints in major structures/infrastructures, and v) the assessment of concrete characteristics (i.e. uniformity, voids, cracks, strength and durability) by analysing the material dielectric properties.

In regard to the assessment of reinforcing bars, damage to these elements is the most serious source of structural decay in
concrete structures, with many economic implications leading to repair or, eventually, replacement of the main structural
element.

481 Locating reinforcing bars is of primary importance in both quality control inspections of new structures and infrastructures 482 as well as in the structural detailing of existing ones (Benedetto et al., 2012; Stryk et al., 2013; Stryk et al. 2018). In 483 addition, it is known that corrosion of rebars, usually due to moisture ingress and chloride ion exposure (Shi et al. 2012), 484 can cause subsurface cracking and spalling of the concrete cover. This occurrence promotes water and chloride 485 infiltration, which in turn can generate delamination around the reinforcing bars. Within this framework, the GPR 486 technique has proven its viability to detect corrosion in rebars due to signal attenuation effects from moisture and chloride 487 ingress (Laurens et al., 2000; Kim et al., 2003; Hugenschmidt and Loser, 2008). Estimating the size of rebars is another 488 topic of major interest. Although several promising approaches and numerical-based applications have been reported in 489 the literature, further research is still required in order to make GPR a reliable and established technique for the purpose 490 (Utsi and Utsi, 2004; Zanzi, 2012; Giannakis et al., 2019). GPR has been extensively used for the assessment of concrete 491 covers as both a self-reliant equipment (Klysz et al., 2004; Al-Qadi and Lahouar, 2005; Hasan and Yazdani, 2014) and in 492 combination with other NDT methods (Dèrobert et al., 2008).

The assessment and the health monitoring of major reinforced-concrete structures and infrastructures is another important application area for the GPR techniques. To this effect, an early diagnosis of decay at key areas, such as anchorages in building foundations and pre-stressed beams, joints in bridge decks (Benedetto et al., 2012) and tunnel linings (Alani and Tosti, 2018), can limit the risk of known consequences such as accidents, closures and ultimately devastation. The assessment of concrete characteristics is another research area of major development. In this regard, the detection of cracks (Benedetto, 2013) and voids (Cassidy et al., 2011) as well as the assessment of concrete strength and durability features (Sbartai et al., 2009; Villain et al., 2010) have been widely investigated in the literature.

500

# 4. RESEARCH METHODS ON THE USE OF GPR IN REINFORCED CONCRETE STRUCTURES: AN HISTORICAL REVIEW

503 An historical review of research within the context of the applications of GPR to reinforced concrete structures is given 504 in this Section. Discussed research methods are sorted according to the scale of investigation (i.e. laboratory and numerical 505 environments, and real-life scales of investigation) and the main application areas identified above. A chronological 506 overview of the main research findings achieved within every application area is therefore reported. Overall, it was 507 observed that not all the application areas have been covered at both the laboratory and the real-life scale of investigation. 508 A lack of case studies has been noticed in certain areas in the case of applications to real-life scenarios. This could be due 509 to practical constraints preventing full control of the boundary conditions, as well as to clear difficulties in validating 510 research outcomes by a destructive way of practice. On the contrary, these factors become easier to control and analyse 511 in a laboratory environment. It was also noticed that the use of pulsed GPR systems was preferable to the use of stepped-512 frequency continuous-wave (SFCW) radar systems. This is likely due to the higher cost of electronics, an overall major 513 complexity in data processing (i.e. high computational requirements) and a lack of dedicated commercial software 514 (Gagarin and Mekemson, 2016).

- 515
- 516

#### 4.1. Laboratory-scale Investigations and Numerical Developments

#### 517 4.1.1. Location of Reinforcing Bars

The location of reinforced bars has had more applications in real-life scenarios rather than in a laboratory environment. This may be related to the fact that this operation is relatively practical, as it requires the identification of the apex of the hyperbolic target reflections. Migration processing can help to estimate the actual propagation velocity of the EM wave through the concrete cover and, therefore, to precisely locate the rebar position.

522 Extensive research was carried out by Bungey et al. (1993). Tests were developed in a laboratory to identify the position 523 of reinforcing bars using a 1 GHz GPR antenna system. The effects of round steel reinforcing bars with diameters between 524 6 and 32 mm have been analysed at depths of up to 280 mm below the surface of the concrete. This has been facilitated 525 by the use of a timber-analogue simulation tank in which the dielectric and conductivity properties of the concrete were 526 replicated using an oil-water emulsion. In excess of 250 different configurations were analysed and results were validated 527 by way of comparison with a number of concrete samples and by site trials on members of known construction details. 528 More recently, Zhou et al. (2018) used GPR in combination with the electromagnetic induction (EMI) method to 529 accurately locate reinforcing bars. The authors utilised a number of four casted concrete specimens with 11 embedded 530 steel rebars, proving a correct estimation of the rebar size for 10 out of the 11 rebars.

531 In regard to the use of numerical modelling for rebar location, Alvarez et al. (2017) used the gprMax numerical simulator 532 tool (Giannopoulos, 2005) to generate realistic, big data sets of different concrete covers for training-driven machine 533 learning approaches. Although the approach was tested against a concrete-analogue scenario made of a sand-based 534 mixture, the authors found the simulation package to be suitable for generating realistic outputs. Lachowicz and Rucka 535 (2018) presented numerical and experimental investigations to localise reinforcing bars using GPR methodology. In more 536 detail, a new approach for the numerical modelling of GPR in complex reinforced concrete structures with the use of a 3-537 D finite-difference time-domain (FDTD) model was presented. Comparison between simulated results and real scans has 538 proven the viability of the proposed model to work on a complex reinforcement system. More recently, Kien et al. (2018) 539 presented an automated rebar-picking algorithm for GPR data of concrete bridge decks with the aim of identifying and 540 locating rebars. The algorithm is based on the Limited and Simplified Hyperbolic Summation (LSHS) technique where 541 the width of migration is limited and a counter is used to check if a hyperbolic signature exists in a sub-region of the GPR 542 image. The application of the algorithm to GPR datawas promising and in a good agreement with the existing techniques 543 based on manual rebar picking.

544

#### 545 4.1.2. Detection of Rebar Corrosion

546 The interest of using GPR for detection of steel bar corrosion is growing nowadays. An early diagnosis can support 547 decision-makers to prevent the spreading of damage throughout the structure and to containing maintenance costs. To 548 this effect, research efforts have been directed towards the identification of wet areas nearby the rebars, where the 549 corrosion process is most likely to be found. It was observed that most of the research methods developed for this 550 particular application area have been performed in a laboratory environment. This is due to more favourable conditions 551 in controlling the ingress of corrosion agents and, hence, to identify areas of potential decay. It was also noticed that 552 results obtained from a number of these research studies were implemented on the site at a later stage and combined with 553 conventional methods.

554 Narayanan et al. (1998) analysed the statistical variance of rebar reflectivity to detect corrosion using 400 MHz and 900 555 MHz antenna frequencies. Results have proven a better agreement of the 900 MHz central frequency with the ground-556 truth data. A subsequent research was carried out to identify a threshold between healthy and corroded rebars (Narayanan 557 et al., 2003). The variance simulations supported to identify the reflectivity of the corroded rebar. Laurens et al. (2000) 558 and Barnes et al. (2008) observed that lower relative reflection amplitudes and larger travel times of the GPR signal are 559 an indication of a high corrosion and deterioration of rebars. In this regard, it was observed that moisture and chlorides 560 dissolved within the concrete attenuate the signal back-reflected from the rebars. This occurrence decreases the wave 561 propagation velocity and increases the arrival times of reflection peaks. A relationship between the amplitude data of the 562 reflections from rebars and the corrosion process was confirmed by Hubbard et al. (2003). The authors used GPR and 563 electrical impedance to analyse rebar corrosion in a reinforced concrete block. Research outcomes were validated by 564 visual examination of the reinforcing bar corrosion state via destructive analysis of the experimental block. Results have

565 proven the viability of GPR in providing indication of alterations at the interface of the reinforcing bar surface and the 566 surrounding concrete. GPR was also proven to provide a higher spatial resolution than the electrical impedance. These outcomes were valuable indications that GPR can be used for an early detection of corrosion-related processes of decay. 567 568 More recently, Raju et al (2018) employed a hand-held ground-coupled GPR system equipped with an antenna frequency 569 of 2.6 GHz in order to assess reinforcing bar corrosion. A saltwater solution was used to control the corrosion process in 570 a laboratory environment. The authors related the corrosion with the maximum reflected amplitude obtained from the 571 GPR scans. Results have proven that the reinforcing bar corrosion mass loss was higher for longer corrosion periods, 572 larger reinforcing bar size, and shorter covers. It was also noticed that the GPR amplitude values increased proportionally 573 with the corrosion activity.

Few research is reported on the use of the numerical simulation for detection of corroded areas. This is due to the complexity in the physics and the chemistry of the process as well as to the effect of the corrosion on the surrounding construction elements. This complex scenario involves multiple scatterings of the signal from the rebar-concrete system and the corroded areas in rebars and/or other defects in the concrete. Bachiri et al. (2018) developed a numerical model of a bridge deck and analysed scenarios of healthy and corroded rebars. Results have shown that a proper selection of the working frequency value is crucial to increase the survey resolution and the likelihood to detect corrosion. The authors claimed that an experimental validation is however required to confirm the outcomes of the simulation.

581

#### 582 4.1.3. Estimation of Rebar Size

Estimation of rebar size is a topical and very challenging application area, and research is still in progress to provide viable and comprehensive methodologies. In this regard, it was observed that all the methods have been developed in a laboratory environment, proving that the research applicability in this area is at an early stage of development. A common approach to this problem is to use numerical modelling and to validate the observations with experimental activities carried out on samples in a laboratory environment.

588 Utsi and Utsi (2004) proposed a three-dimensional numerical model assuming one metal bar for a range of diameters and 589 cover depths. Frequencies of 2 GHz and 4 GHz were used for simulation purposes. Results showed that the amplitudes 590 of the signals back-reflected from the rebars are proportional to their size and the frequency is inversely proportional to 591 the depth of the investigation. These results were compared with measurements of rebars placed at known distances below 592 a 4 GHz GPR system with free space in between. According to the authors, an accuracy of about 20% can be reached in 593 estimating rebar size. Another issue was encountered in case of a rebar spacing lower than the wavelength of the used 594 GPR, as this may compromise the data collection for rebar size estimation purposes. 595 Chang et al. (2009) reported a physical model based on the use of digital image GPR for measuring the radius of 596 reinforcing steel bars in concrete. To this purpose, several specimen of  $120 \times 60$  cm dimensions were produced using 597 rebars of 1 cm and 1.6 cm radii. Concrete cover depths varied from 4 to 10 cm. GPR radargrams were subject to a series 598 of digital image processing stages, followed by different power reflectivity within the energy zone during the motion of 599 the GPR antenna along the reinforced concrete surface. Power reflectivity for vertically-oriented migration traces was 600 generated. The authors argued that the distance between a variant power reflectivity and the long dimension radius of an 601 energy footprint must be considered for the evaluation of a rebar radius. Results indicate an error for the estimation 602 comprised within 7%. Zanzi and Arosio (2012) used a 1 GHz and a 2 GHz GPR systems on two concrete specimen of 40 603 cm width, heights of 15 and 18 cm, and lengths of 105 and 110 cm, respectively. Rebar diameters from 6 to 40 mm, 604 spaced 20 cm each other were analysed. The experimental scenario was replicated using numerical simulations. The 605 authors identified the interference of the background signal with the wavelets scattered by the rebars as the main issue to 606 address in order to achieve quality information. Near-field and bandwidth-related issues seemed to be of less priority in 607 generating potential misfits between theoretical and real measurements. A set of three antennas with nominal frequencies 608 in the 600-MHz ÷ 2000 MHz range were indicated as a good trade-off for detection of rebars with diameters up to 40 609 mm. However, a cross-check of the results in a real-life scenario was suggested by the authors in order to consider the 610 impact of the concrete cover and the quality upon the reliability of the rebar size estimation. To this effect, use of a high-611 frequency dual-polarised antenna system was suggested along with a survey methodology aimed at collecting a robust 612 statistical population of rebar points.

613 In view of the high density distribution of rebars in a reinforced concrete structure, finding comprehensive and fast 614 inspection algorithms and methodologies for detection of rebar corrosion has been identified as a major research subject 615 area. In this regard, Shaw et al. (2003) developed a neural network approach to automate the estimation of the rebar size 616 diameter from data collected with the transducer axis parallel and orthogonal to the bar. To this effect, an emulsion 617 analogue tank simulating the properties of concrete, was used to produce training data and the resulting neural network 618 was then tested on actual reinforced concrete slabs. Results have proven a good estimate of the rebar size for both high 619 and low concrete covers. More recently, Mechbal and Khamlichi (2017), proposed a method for the estimation of the 620 rebar radius by data processing of B-scan radargrams collected orthogonally to the rebar axis. The approach relies on use 621 of the hyperbola fitting method and the information from diffracted amplitudes. Hyperbola fitting has proven to be 622 extremely sensitive to noise affecting raw data points. It was also demonstrated that use of extra information in terms of 623 maximum diffracted amplitudes enhanced the accuracy of rebar radius estimation.

Giannakis et al. (2019) proposed a near-real-time forward modelling approach for GPR based on a machine learning (ML)architecture. The method was used to determine location and size of reinforcement bars in concrete. The authors have

used simulations to train a ML-based forward solver and validated the approach with real data collected on a concrete specimen. A 1.5-GHz antenna frequency was used to investigate a rebar size range between 4 and 50 mm and a cover depth varying from 0 to 300 mm. Results have proven a good level of accuracy in the estimation of the target parameters, including a maximum error in the estimation of the rebar size of 6 mm. The authors emphasised on the viability of using a full-waveform inversion approach in near-real-time computation conditions for application to more complex scenarios.

631

#### 632 4.1.4. The Evaluation of the Concrete Cover

633 The evaluation of the concrete cover has found more applications in real-life scenarios rather than in a laboratory 634 environment. Within this framework, major laboratory-based research was carried out by Dérobert et al. (2008) where 635 capacitive and GPR techniques were used to evaluate the concrete cover. A number of 10 concrete slabs with dimensions 636 of  $60 \times 60 \times 12$  cm and compressive strengths of 25 and 40 MPa were tested using a 1.5-GHz ground-coupled antenna 637 system. The authors emphasised the importance of using the capacitive technique to provide more accurate results for the 638 concrete cover, prior to any extensive GPR investigation. Use of a higher frequency of investigation was also suggested 639 in order to allow for more effective measurements across the rebar offsets compatible with standard mesh grids. More 640 recently, Zhou et al. (2018) have proven that integration of GPR with other complementary NDT methods can be a viable 641 approach to provide more accurate information. The authors used the GPR and the EMI techniques with a maximum 642 estimation error for the cover thickness of 6.7%. On the other hand, a difficulty in providing an effective measurement 643 and an estimation of rebars in a densely-meshed net was identified as a limitation of the proposed approach.

644

#### 645 4.1.5. The Assessment of Concrete Characteristics by Dielectric Properties

646 Several research studies are discussed in the literature in regard to the assessment of concrete characteristics by analysis 647 of its dielectric properties. It is known that among the factors affecting the dielectric properties of a concrete material 648 (Knoll, 1996), water is a primary and dominant parameter affecting the relative dielectric permittivity (Soutsos et al., 649 2001). According to Lai et al. (2009), other factors influencing the dielectric properties of concrete are: i) the EM 650 frequency; ii) the water-to-cement ratio; iii) the porosity; iv) the ions in pore solution and v) the clay minerals with a wide 651 range of porosity values and specific surfaces. Factors such as the cement type, the salt impregnation, the presence of 652 pulverised fuel ash and ground granulated blast furnace slag, and the temperature during tests were reported to be of minor 653 or negligible significance. Similarly, the effects of different types of dense aggregates on the relative dielectric 654 permittivity were accounted to be negligible (Soutsos et al., 2001).

Louzli et al., (2002) developed a method to determine the complex dielectric permittivity of concrete mixes and to assess
concrete voids. A number of five bare concrete slabs and nine slabs with a simulated delamination (simulated by placing

polystyrene plastic pieces inside the slabs) were constructed. All slabs were cast at 1.5 x 1.5 x 0.127 m and five different concrete mixes were used. Effects of delamination on the signal were analysed by way of comparison between the signals from the bare concrete slabs and the signals from the slabs with simulated delamination. In this regard, modelling the reflected signals with an average complex dielectric permittivity over the full GPR frequency range was found to provide comparable outputs in terms of synthetic and real (measured) waveforms. In addition, presence of voids located at 50 mm from the surface overlap with the surface reflection were observed to distort the shape of the reflected signal.

Several studies have investigated the EM behaviour of wet concrete. Laurens et al. (2005) analysed the effect of moisture on the radar waves propagating through concrete slabs. To this purpose, a GPR system equipped with a 1.5 GHz groundcoupled antenna was used to collect data at different degrees of saturation in homogeneous moisture distribution conditions. An investigation into the amplitudes, the velocities and the frequency spectra of the collected waveforms was developed to assess the GPR capability in estimating concrete moisture. The authors found the moisture to affect the transmitter-receiver direct wave.

669 GPR was also reported to characterise pore systems of air/water cured concrete through injection of continuous water 670 over a period of 48 hours (Lai and Tsang, 2008). To this purpose, a 1 GHz radar system was used and the real part of the 671 complex permittivity and the energy attenuation were measured. As an outcome of the investigation, it was also 672 emphasised a good potential of GPR in identifying the progress rate of the water front in concrete walls or slabs.

Sbartai et al. (2009a) applied a combined analysis of radar technology and artificial neural networks for predicting water and chloride content of concrete. Four different concrete mixes were used to produce a number of 72 concrete slabs with dimensions of  $25 \times 25 \times 8$  cm. Moisture and chloride variations ranging from 0 to 16.5% and from 0 to 6.5 kg/m<sup>3</sup> were analysed, respectively. Input data to the neural networks were extracted from time domain signals of direct and backreflected radar waves. Results highlighted the viability of using optimised statistical models to predict water and chloride content of laboratory slabs with maximum absolute errors of about 2% and 0.5 kg/m<sup>3</sup>, respectively.

Sbartai et al. (2009b) assessed water content in concrete samples at several degrees of saturation using a frequency analysis of the direct wave signal collected from a 1.5 GHz ground-coupled antenna system. A Fast Fourier Transform algorithm was used to extract the frequency spectra of each signal and, based on the experimental results, an empirical model was proposed to relate the frequency attenuation and the concrete water content. By way of comparison between the results achieved in the time and in the frequency domains, the frequency-attenuation analysis was found to be very effective for concrete moisture assessment purposes.

685 Villain et al. (2010) used GPR and capacitive probes to test a number of 81 slabs made of 9 different concrete mixes.
686 Concrete porosity ranged between 12.5 and 18% and five different water contents were reproduced. A cross-correlation
687 model was developed and durability-related parameters such as the porosity, the water content and the chloride were

successfully predicted. The authors also observed that the viability of the proposed methodology is maximum if the cross-correlation model is calibrated in laboratory using core samples from a real-life concrete structure.

690 Kalogeropoulos et al. (2013) proposed a multi-stage approach for the calculation of the EM parameters in concrete slabs. 691 A GPR dataset was collected using 1.2 GHz horn antennas on concrete specimens with different chloride gradients. A 692 first approach was developed to estimate the relative dielectric permittivity  $\varepsilon_r$  and the conductivity  $\sigma$  of the concrete. 693 Results demonstrated an accuracy of ±0.15 for  $\varepsilon_r$  and ±10mS/m for  $\sigma$ . A second approach, based on the use of an extended 694 full-waveform inversion forward model, enabled the creation of conductivity gradients for multi-layered media in 695 agreement with literature outcomes.

696

#### 697 4.2. Real-life Investigations

## 698 4.2.1. Location of Reinforcing Bars

Many applications of GPR exist in real-life scenarios in regard to the location of reinforcing bars and the use of GPR
within this specific application area was already reported by Ulriksen (1982) a few decades ago.

According to Hamasaki et al. (2003), the working principles of EM-based methods allow for the effective detection of a wave reflected back by a reinforced bar. This is mostly due to the different dielectric properties of the two materials involved. The authors argued that location, direction, and diameters of rebars can be determined based on the variations of the EM field.

705 Barrile and Pucinotti (2005) used GPR to identify the position of principal and secondary (i.e. longitudinal steel bars and 706 stirrups) reinforcement bars located on the beams and columns of reinforced concrete structures. The aim of the research 707 was to assess the seismic vulnerability of a 40-year-old building. The survey was carried out using a ground-coupled 708 antenna system with a central frequency of 1.6 GHz. Longitudinal and transversal scanning were performed in order to 709 obtain a dimensional relief of the rebar position. The authors obtained detailed information on the number, position and 710 spacing of reinforcing bars. It was also possible to evaluate the thickness of the concrete covers. With a view to automation 711 and reduction of data processing times for the location of reinforcement, Shaw et al. (2005) proposed an integrated method 712 for the post-processing of GPR data using a neural network-based approach. The hyperbolic images from rebars were 713 collected using a multi-layer perceptron (MLP) network with a single hidden layer containing 8 nodes. This allowed 714 recognition of a more simplified hyperbolic shape and, hence, a lighter computational load for the algorithm. This 715 approach has proven viability in automating the identification and location of embedded steel reinforcing bars using GPR. 716 Soldovieri et al. (2006) presented an inverse scattering approach for the accurate location of rebars in reinforced concrete 717 structures. The benefit of this method is that the quality of the final output is less dependent upon the expertise of human 718 operators and, hence, less subject to interpretation errors.

719 Benedetto et al. (2012) reported the use of a multi-channel high-frequency array GPR system for the faster acquisition of 720 data in bridges. The authors provided details of rebar location using differently-polarised antennas. Stryk et al. (2013) 721 presented a comprehensive case study where positions of rebars were identified with a two-channel ground-coupled 722 antenna system with a central frequency of 1.6 GHz. Rebar positions were checked against standard requirements. More 723 recently, Seren and Saricicek (2017) carried out an on-site investigation for health monitoring purposes. The survey was 724 developed using a 2.7 GHz antenna system and migration techniques were applied to provide a correct location of the 725 reinforcing bars. Hyperbolic features were observed from 20 cm up to 1 m of depth. Stryk et al. (2017) reported a 726 comparative analysis for assessing the accuracy of GPR systems in rebar location. A number of GPR from different 727 manufacturers with antenna frequencies of 1.6 GHz were used for this purpose. The authors reported an accuracy of 10 728 mm and 5 mm in horizontal and vertical directions, respectively. It was also recommended to use a two-channel device 729 to measure the target location in two points within a single overrun and achieve additional information about the 730 orientation of rebars. Miramini et al. (2018) assessed the structural health of a 45-year-old pedestrian bridge deck after 731 the validation of the GPR technique on a strong concrete floor with known construction drawings. From the scanned 732 results, the location and orientation of the reinforcing bars were established and their diameters were estimated. The 733 signals demonstrated the absence of reinforcement corrosion or concrete damage in the form of delamination or cracking.

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#### 735 4.2.2. Detection of Rebar Corrosion

Research in this particular application area is not as numerous for real-life scenarios as for applications carried out in a laboratory environment. This is likely related to a larger difficulty encountered for the validation of research outcomes by a destructive way of practice. Within this framework, focus of research was observed to be primarily directed towards the development of: i) new algorithms capable to relate corrosion with specific signal features, and ii) automatic algorithms for fast detection of rebar corrosion.

Wang et al. (2011) proposed a method for detection of rebar mat signatures in concrete bridges from GPR data using partial differential equations. The aim of the research was to identify delamination within a real-life bridge deck. The proposed algorithm was tested using both synthetic and real GPR images. The experimental results have proven the algorithm accuracy and reliability, although weak image contrasts and low signal-to-noise ratios were found. A comparison between deterioration maps for the bridge deck generated by the proposed algorithm and a traditional manual method has proven the viability of the approach. The authors emphasised on the need to test the algorithm against a larger GPR image dataset.

Martino et al. (2014) developed a method to identify thresholds relating GPR signal amplitudes and rebar corrosion. The
authors used GPR and the half-cell potential (HCP) method on one bridge deck removed from service, a number of 17

750 artificially-corroded slabs, and one in-service bridge deck. The HCP method was employed to measure the amount of 751 active corrosion. A significant correlation between the two NDT methods was found for each case study. Receiver 752 operating characteristic (ROC) curves were used to systematically set a threshold for the GPR and deteriorated areas of 753 the deck were identified with an accuracy of over 87% for every scenario. Abouhamad et al (2017) proposed a technique 754 based on image analysis for interpreting GPR data with the aim to create a systematic framework. Benefit of using this 755 approach was reported to overcome potential drawbacks of the numerical analysis in interpreting surface anomalies as 756 false alarms. The proposed systematic framework was supported by various GPR profiles showing several causes of 757 signal attenuation and their interpretation in regard to deterioration or rebar corrosion conditions. The approach was 758 validated on two real bridges by way of comparison between the outcomes from field visual inspections, camera images 759 and concrete core sampling. The method has proven to be accurate in identifying corrosion-related and non-corrosion-760 related factors.

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decks.

#### 762 4.2.3. The Evaluation of the Concrete Cover

763 The evaluation of the concrete cover has found major interest within the field for the assessment of the structural integrity 764 of civil engineering infrastructures and several case studies have been reported in the literature about the use of GPR in 765 real-life scenarios.

766 Al-Qadi and Lahouar (2005) proposed a GPR-based image-processing technique for the automatic detection of the rebar 767 parabolic signature from rigid pavements with a high-frequency ground-coupled antenna system. The authors used the 768 reflected parabolic shape to develop a theoretical reflection model with the aim of estimating the pavement dielectric 769 permittivity and the rebar depth. The algorithms were validated on GPR data collected on a known continuously 770 reinforced concrete pavement section. The technique has proven to be accurate and has showed an average error of 2.6% 771 for the estimated cover depth of rebars. Alani et al. (2013) presented an application on a road bridge with the purpose of 772 providing an accurate estimate of the concrete cover conditions. The authors observed a clear similarity between areas 773 affected by the ingress of moisture and areas with damaged concrete covers. Dinh et al. (2016) reported a substantial 774 piece of experimental research carried out on twenty-four bare concrete bridge decks using a ground-coupled 1.5-GHz 775 GPR antenna system. Identified objectives were i) to comprehend the impact of rebar depth on the GPR signal loss, ii) to 776 identify a method for providing an objective comparison of the GPR data from different bridge decks, and iii) to normalise 777 depth-amplitude effects for ensuring a more consistent assessment of bridge decks. The authors observed that mechanisms 778 governing depth-amplitude effects are mostly related to the effects of dielectric and conductive losses. However, the 779 authors concluded that specific depth-amplitude functions must be created in relation to specific overlay types in bridge 780

#### 782 4.2.4. Structural Detailing of Anchorages and Joints in Major Structures/Infrastructures

Structural detailing using GPR for assessment of the integrity of reinforced concrete structures has been reported in the literature. A methodological overview on the use of GPR for surveying buildings, bridges and tunnels was given by Daniels (2004). According to the author, suitability of GPR in structural inspections is mostly related to the collection of reflection data from main structural boundaries. To this effect, the main boundaries to survey in a structure were identified to be located at the interface between: i) a solid material and a significant void; ii) good and poor quality concrete; iii) concrete and insulation materials; iv) large metal inclusions as reinforcement bars or other components; v) dry and wet materials.

790 It was observed that most of the research in this specific application area was carried out over the past decade. This is 791 likely due to the fact that GPR is nowadays an established method in civil engineering and technological advances have 792 fostered its use in many challenging inspection tasks.

793 Within this framework, Hugenschmidt et al. (2010) discussed on the viability of using a high-resolution multi-sensor and 794 multi-polarised GPR system to investigate concrete retaining walls. The authors proposed two new processing methods, 795 i.e., i) a full 3D processing followed by a data fusion approach and ii) an inverse scattering followed by a data fusion 796 approach. The methods were compared with a standard processing protocol working in the two dimensions and they were 797 tested on a retaining wall in Switzerland. Results have proven the viability of the two innovative approaches. Benedetto 798 et al. (2012) used a multi-channel dual-polarised GPR system to monitor four reinforced concrete bridges. Among the 799 various findings of the research, the radar measurements provided valuable structural information on the bridge decks at 800 the joint connection between consecutive spans. Stryk et al. (2013) used a two-channel GPR system to provide accurate 801 measurements of the dowel and tie bar positions in a concrete pavement. Analyses of the GPR outputs in terms of the 802 translations of a rebar layer observed along the longitudinal axis allowed to identify an incorrect cut at the contraction 803 joints. Alani and Tosti (2018) reported a structural detailing of an "immersed tube" major tunnel type connecting a number 804 of segments at immersion joint points. Two sets of antenna systems with frequencies of 900 MHz and 2 GHz were used 805 to establish structural details of the tunnel roof at the immersion joints. The data provided ample information confirming 806 rather doubted construction design drawings/plans originally produced. The results obtained were conclusive in terms of 807 construction materials and structural design configurations (shape and dimensions) as well as the identification of rebar 808 positions at all the inspected immersion joint locations.

#### 810 5. NEW METHODOLOGICAL AND DATA PROCESSING PROSPECTS FOR THE ASSESSMENT OF

812

## 811 **REINFORCED CONCRETE STRUCTURES USING GPR: A CASE STUDY**

- 813 A higher accuracy in rebar location and an evaluation of the concrete cover can be achieved using dedicated data 814 processing algorithms, such as migration (Chang et al. 2009), and data interpretation methods such as hyperbola fitting 815 (Tillard and Dubois, 1995; Shihab and Al-Nuaimy, 2005). Within this framework, although a suitable level of accuracy 816 can be reached with the available commercial software, higher precision could be required for quality control and routine 817 inspection purposes. To speed up the data processing stage and achieve faster results, a few velocity values of propagation 818 are usually extracted randomly from a sequence of rebar reflectors in a B-scan. This is achieved by fitting the reflections 819 from the clearest hyperbola shapes. An average velocity is then assigned to the whole area in order to obtain a map of 820 migrated points. In this regard, relating an average velocity value to an entire investigation area is a suitable approach for 821 the location of rebars in relatively small reinforced concrete areas, although it might not work for large and complex 822 environments (i.e. investigation sites with a high variation of wave propagation velocity, which is mostly unknown). The 823 process can be improved by sampling a consistent number of targets for an estimation of velocity by the hyperbola fitting 824 method. Accordingly, a more representative propagation velocity value can be assigned to the area for data migration 825 purposes. In view of this, a sequence of migrated B-scans collected using a typical acquisition grid can provide high-826 quality C-scans and contribute to a more accurate rebar location and evaluation of the concrete cover.
- 827 Within this context, the main aim of this research is to comprehend the effect of different data sampling percentages on 828 the estimation of a wave propagation velocity value in a reinforced-concrete-paved area with a high-dense grid mesh of 829 rebars.
- 830 An estimation of this parameter is therefore used for data migration purposes in order to produce a viable C-scan map for 831 a more accurate location of concrete rebars.
- 832 To achieve this aim, the following objectives are identified:

833 to create a 2-D matrix of wave propagation velocity values estimated by hyperbola fitting of reflections from rebars 834 over a whole inspected area;

- 835 to analyse the statistical distribution of the estimated propagation velocity values and calculate the data dispersion 836 throughout the area;
- 837 to develop a data sampling methodology for the identification of a value of velocity of propagation representative of 838 the entire area. This is sought by means of comparison between tomographic maps migrated at different sampling 839 percentages (combination of longitudinal (L)/transversal (T) acquisitions and horizontal (HH)/vertical (VV) 840 polarisations).

## 842 5.1. Methodology

A multi-stage methodology is proposed based on four main chronological steps. The data acquisition is first carried out. A second main stage is focused on the estimation of the wave propagation velocity values using the hyperbola fitting method. This allows to create a 2-D matrix of data, with dimensions of the number of rebars detected throughout the inspection area. A third stage is developed to analyse the statistical distribution of the above estimates as well as the data dispersion. Finally, a data sampling methodology is proposed to extract a representative wave propagation velocity value for data migration purposes.

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## 850 5.1.1. Data Acquisition

Data were collected on a reinforced-concrete-paved area (rebar density of ~ 5 rebars/m<sup>2</sup>) with dimensions of 1m×0.80m
(Fig. 15). The IDS Aladdin GPR system equipped with a dual-polarised antenna of 2 GHz central frequency was used for
testing purposes. The antenna configuration allowed to collect two different sets of data on a single scan line, as per the
HH and VV polarisations. GPR signals were acquired with a horizontal resolution of 1 cm, using a time window of 32 ns
and 512 samples. Longitudinal and transversal scans were performed with a scan spacing of 5 cm.



858 Fig. 15 Scanning grid and 2-GHz dual-polarised antenna system used for data collection purposes

<sup>859</sup> 



In view of the high-dense grid mesh of rebars and the relatively small scan spacing, the hyperbola fitting method (Shihab and Al-Nuaimy, 2005) was used to estimate the wave propagation velocity data over the whole inspected area. In this method, the wave velocity v is proportional to an angle  $\alpha$ , formed between the hyperbola asymptotes, according to the following relationship:

865

$$v = 2tg\left(\frac{\alpha}{2}\right) \tag{8}$$

866 Several studies in the literature have proven the dependency of v on the vertex coordinates, the target radius and the time 867 delay of the signal reflection. An example of application of the hyperbola fitting method to one of the rebars investigated 868 in this study is reported in Fig. 16.

869 From the analysis of the GPR data collected on site, two main layers of rebars were observed. A number of n upper lines 870 of rebar (being  $n_{max} = 5$ ) (i.e., R1, R2, R3, R4 and R5 in Fig. 16) overlying a number of l lower lines of rebar (being  $l_{max}$ 871 = 5) (i.e., RL1, RL2, RL3, RL4 and RL5 in Fig. 16) were collected throughout the inspection area. For the purposes of 872 this study, only the upper rebars were taken into account. Hence, considering a scan spacing of 5 cm, m longitudinal 873 scanning lines of 1m length (being  $m_{max} = 17$ ) were collected across the 80cm-wide transversal dimension of the inspection 874 area (Fig. 15). This turned out to provide a matrix of rebar points  $\mathbf{P}_{i,i}$  sized  $n \times m = 85$  (where *i* stands for the "scan 875 direction" (L, T), j stands for the "antenna orientation" (HH, VV)) and, hence, a matrix  $V_{i,j}$  of wave propagation velocity 876 data with an analogous size.

877



**Fig. 16** Wave propagation velocity estimation on a longitudinal scan (HH polarisation). Application of the hyperbola fitting method and the B-scan layout of rebars within the inspection area (data displayed with a default v = 10 cm/ns) (Tosti et al., 2018)

#### 883 5.1.3. Statistical Distribution Analysis

An analysis of the statistical distribution of the dataset of propagation velocity values  $v_{i,j,k}^{[m,n]}$  (where *k* stands for "the percentage of data collected within the inspection area") is carried out to evaluate the data dispersion within the investigated area. The average value of velocity of propagation of the entire population  $\overline{v_{i,j,100}}$  (value averaged over k =100% of the  $m \times n$  data in the propagation velocity matrix  $\mathbf{V}_{i,j}$ ) is taken as the benchmark for every combination of the *i*<sup>th</sup> scan direction and the *j*<sup>th</sup> antenna orientation for the calculation of the residuals. Hence, the percentage residual at a random position [*m*, *n*] in the matrix  $\mathbf{V}_{i,j}$  is calculated as follows:

890 
$$\xi_{i,j,100}^{[m,n]} = \frac{v_{i,j,100}^{[m,n]} - \overline{v_{i,j,100}}}{\overline{v_{i,j,100}}} \times 100$$
(9)

891 A matrix  $\mathbf{R}_{i,j}$  of residuals  $\xi_{i,j,100}^{[m,n]}$  sized  $m \times n$  can be therefore computed working out the values of  $v_{i,j,100}^{[m,n]}$  in Equation (8). 892 To evaluate the dispersion of propagation velocity values  $v_{i,j,100}^{[m,n]}$  against the benchmark value  $\overline{v_{i,j,100}}$ , the *m*<sup>th</sup> average 893 residual  $\overline{\xi_{i,j,100}^{[m,n]}}$  is defined along the m = 17 scanning lines as follows:

894 
$$\overline{\xi_{i,j,100}^{[m,n]}} = \sum_{n=1}^{n_{\max}} \xi_{i,j,100}^{[m,n]} / n_{\max} \qquad \forall m \in \mathbf{R}_{i,j}$$
(10)

and compared to the average residual of the entire matrix population  $\overline{\xi_{i,j,100}}$  (value averaged over k = 100% of the  $m \times n$ residuals  $\xi_{i,j,100}^{[m,n]}$  in  $\mathbf{R}_{i,j}$ ). Hence, data dispersion from this benchmark value of residuals can be interpreted as a measure of non-homogeneous distribution of the propagation velocity throughout the inspection area. It is also worthy of mention that this statistical analysis can be used to identify potential misalignments within a line of rebars. The frequency density

899 distributions of residuals for i) the entire matrix population  $\xi_{i,j,100}^{[m\times n]}$  and ii) every  $n^{\text{th}}$  rebar  $\xi_{i,j,100}^{[m,n]}$  is also defined, being this 900 latter expressed as follows:

 $\overline{\xi_{i,j,100}^{[m,n]}} = \sum_{m=1}^{m_{\text{max}}} \xi_{i,j,100}^{[m,n]} / m_{\text{max}} \qquad \forall n \in \mathbf{R}_{i,j}$ (11)

902

901

#### 903 5.1.4. Data Sampling and Migration

904 A number of different  $k^{\text{th}}$  percentages of  $v_{i,j,k}^{[m,n]}$  values (with k = 5, 10, 15, 20, 30, 50, 50 inverted) is sampled over the  $n \times$ 905 *m* data of  $\mathbf{V}_{i,j}$ . Sampling is developed to ensure an evenly distribution of data across the longitudinal and transversal

- 906 directions of the area (Fig. 17). The sampling configuration ranges from diagonal (i.e., smallest percentage case (Fig. 17a)
- 907 to a chessboard-like arrangement at k = 50 and 50 inverted (Fig. 17f-g). The optimum sampling rate of wave propagation
- 908 velocity is therefore assessed by way of comparison between the migrated maps.
- 909



**Fig. 17** Data sampling (grey cells) layout of the  $k^{\text{th}}$  percentages of  $v_{i,j,k}^{[n,m]}$  values in  $\mathbf{V}_{i,j}$  (with i = L; j = HH; k = 5 (a), 10

- 912 (b), 15 (c), 20 (d), 30 (e), 50 (f) and 50inverted (g))
- 913
- 914 5.1.5. Results and Short Discussion
- 915 Statistical Distribution Analysis

- 917 available combinations between *i* (L, T) and *j* (HH, VV). Overall, the average value of propagation velocity  $v_{i,j,100}$  is
- 918 observed to vary between 13.43 and 14.22 cm/ns across the four *i*<sup>th</sup>, *j*<sup>th</sup> combinations. The maximum values for the standard

**<sup>916</sup>** Table 4 shows the main statistics from the wave velocity of propagation data matrices  $\mathbf{V}_{i,j}$ , in regard to the full set of

919 deviation ( $\sigma_{v_{i,j,100}}^{\text{max}} = 1.03 \text{ cm/ns}$ ) and the standard error ( $\sigma_{\overline{v_{i,j,100}}}^{\text{max}} = 0.11 \text{ cm/ns}$ ) are both observed in the case of i = L and j

920 = VV.

921

**922** Table 4 Main statistics from the wave velocity of propagation data matrices  $V_{i,j}$ 

|                                   | Longitudinal Sc    | an                           | Transversal Scan   |                              |  |
|-----------------------------------|--------------------|------------------------------|--------------------|------------------------------|--|
| Statistic Parameter               | $(i = \mathbf{L})$ |                              | $(i = \mathbf{T})$ |                              |  |
| [cm/ns]                           | HH                 | VV                           | HH                 | VV                           |  |
|                                   | Polarisation       | Polarisation                 | Polarisation       | Polarisation                 |  |
|                                   | (j = HH)           | $(j = \mathbf{V}\mathbf{V})$ | (j = HH)           | $(j = \mathbf{V}\mathbf{V})$ |  |
| $\overline{V_{i,j,100}}$          | 13.54              | 13.58                        | 13.43              | 14.22                        |  |
| $\sigma_{\overline{v_{i,j,100}}}$ | 0.04               | 0.11                         | 0.10               | 0.06                         |  |
| $\sigma_{_{v_{i,j,100}}}$         | 0.33               | 1.03                         | 0.78               | 0.46                         |  |
| $\mathcal{V}_{i,j,100}^{\min}$    | 12.80              | 9.10                         | 9.90               | 13.50                        |  |
| $\mathcal{V}_{i,j,100}^{\max}$    | 14.50              | 14.80                        | 14.10              | 15.40                        |  |

923

924 In regard to the statistics for the residual distributions, data from the longitudinal scan L / HH polarisation (i = L; j = HH) 925 are reported in Table 5. Fig. 18 and Fig. 19 show the distribution of the average  $\overline{\xi_{i,j,100}^{[m,n]}}$  and the  $n^{\text{th}}$  residual  $\xi_{i,j,100}^{[m,n]}$ , 926 respectively, from the scan position m = 1 (0 cm on the Tscan axis) to m = 17 (80 cm on the Tscan axis), sorted by the  $n^{th}$ 

927 upper line of rebars.







930 **Fig. 18** Trend of the average percentage residual  $\overline{\xi_{i,j,100}^{[m,n]}}$  along the m = 17 scan lines (Tosti et al., 2018)



932

**933** Fig. 19 Trend of the *n*<sup>th</sup> percentage residual  $\xi_{i,j,100}^{[m,n]}$  along the *m* = 17 scan lines (Tosti et al., 2018)

From the analysis of  $\overline{\xi_{i,j,100}^{[m,n]}}$  (Fig. 18), a larger dispersion is observed from m = 1 (0 cm on the Tscan axis) to m = 7 (35 cm on the Tscan axis), as opposed to the rest of the scans. From Fig. 19, it can be noticed that the first line (n = 1; i.e., R1 in Fig. 16) and the fourth line (n = 4; i.e., R4 in Fig. 16) of rebars show the largest dispersion among the five lines investigated. On the contrary, the fifth line of rebar (n = 5; i.e., R5 in Fig. 16) has a lower variation over the benchmark  $\overline{v_{i,j,100}}$ , with i = L and j = HH. This evidence is confirmed by the percentage residuals  $\overline{\xi_{i,j,100}^{[m,n]}}$  calculated at each  $n^{\text{th}}$  rebar in Table 5, where the rebar line R5 has the lowest statistics.

|     |   | $\mathcal{E}^{[m,n]}$ |               |
|-----|---|-----------------------|---------------|
| 942 | Table 5 Main statistics on the percentage residuals | <i>i,j</i> ,100 ک     | at each rebar |

|   | Longitu                  | Longitudinal Scan ( <i>i</i> = L) |                 |                 |                 |  |  |
|---|--------------------------|-----------------------------------|-----------------|-----------------|-----------------|--|--|
| Statistic Parameter                             | HH Polarisation (j = HH) |                                   |                 |                 |                 |  |  |
| [%]   | R1                       | R2                                | R3              | R4              | R5              |  |  |
|   | ( <i>n</i> = 1)          | ( <i>n</i> = 2)                   | ( <i>n</i> = 3) | ( <i>n</i> = 4) | ( <i>n</i> = 5) |  |  |
| $\overline{\zeta_{i,j,100}^{\left[m,n\right]}}$ | 0.16                     | 0.68                              | -0.28           | -0.06           | -0.50           |  |  |
| $\sigma_{\xi_{i,j,100}^{\left[m,n\right]}}$     | 0.62                     | 0.53                              | 0.59            | 0.80            | 0.36            |  |  |
| $\sigma_{c_{i,j,100}^{[m,n]}}$                  | 2.57                     | 2.18                              | 2.44            | 3.30            | 1.49            |  |  |
| $\xi_{i,j,100}^{\left[m,n ight]\min}$           | -5.45                    | -3.23                             | -3.97           | -5.45           | -3.23           |  |  |

$$\xi_{i,j,100}^{[m,n]\max}$$
 2.68 4.15 4.15 7.11 1.94

#### 944 Data Sampling and Migration

Data migration was applied using the average values of velocity of propagation  $v_{i,j,k}^{[m\times n]}$  at k = 5, 10, 15, 20, 30, 50 and 50 inverted. To analyse the viability of the proposed sampling approach and to identify the optimal percentage of data to be used for migration purposes, the corresponding migrated C-scan tomographic maps were compared. Fig. 20 shows the C-scan tomographic maps of the inspection area obtained using data from the longitudinal scans L and the HH polarisation (i = L; j = HH). The maps are represented at a depth z = 13 cm after applying data migration at k = 10, k = 30, and k =100.

It can be noticed that the use of a small percentage of sample data (i.e., Fig. 20a), is not sufficient to reproduce effectively the rebar configuration, as opposed to the results shown in Fig. 20c. On the other hand, the spatial sampling and the data percentage used in the case of k = 30 (i.e., Fig. 20b), indicate that a more consistent output is provided. This can turn out to provide a more accurate location of the reinforcement bars as well as a better estimation of the concrete cover in reinforced concrete structures.



**Fig. 20** C-scan maps of the area inspected (depth z = 13 cm) after the application of data migration at different  $k^{\text{th}}$ percentages of sampling. **a** k = 10, **b** k = 30, **c** k = 100 (Tosti et al., 2018)

960

#### 961 6. CONCLUSIONS AND FUTURE PROSPECTS

962 In this paper, an overview of the existing literature within the subject area of Ground-Penetrating Radar (GPR) methods 963 for the investigation of reinforced concrete structures is reported. Six major application areas have been identified where 964 experimental, numerical and theoretical research on GPR has been developed. The review demonstrates that the 965 applications of GPR to reinforced concrete structures are continuously growing. It was also observed that research in 966 some application areas has been mostly or exclusively carried out at the laboratory scale and, similarly, some application 967 areas have been investigated on real-life structures only. In terms of types of GPR systems used for purpose, existing 968 research has been predominantly developed using pulsed-radar systems, as opposed to stepped-frequency continuous-969 wave (SFCW) radar systems. This was seen as being due to the higher cost of the electronics, an overall higher complexity 970 in data processing (i.e. high computational requirements) and a lack of dedicated commercial software. Overall, ground-971 coupled antenna systems working in a range of nominal frequencies between 1 GHz and 2 GHz were observed to have 972 been used across all the application areas. High-frequency GPR systems were in fact demonstrated to present a good 973 trade-off between the resolution and the depth of investigation necessary to meet the requirements of the identified974 application areas.

Automation and the need for faster processing methods seem to be a major focus of the research, especially over the last decade. The common high density and rather regular arrangement of rebars along with the advances achieved in computational methods, have fostered the development of neural network and machine learning-based approaches. Within this framework, algorithms based on full-waveform inversion models seem to be viable research avenues to pursue in the near future.

980 Most common and long-standing applications have been focused on the location of rebars along with the evaluation of 981 the concrete cover, all of which were predominantly developed on real-life structures. This is due to the relatively 982 straightforward operations and data processing required to achieve the application goals. Research on the rebar corrosion 983 and on the assessment of the concrete characteristics by dielectric properties has been mostly and totally carried out in a 984 laboratory environment, respectively. This could be related to practical constraints not allowing for full control of the 985 boundary conditions, as well as to the difficulty of validating research outcomes by a destructive way of practice. On the 986 contrary, these factors are easier to control and analyse in a laboratory environment. In addition, it is worth mentioning 987 that most of the research on the dielectric properties of concrete was carried out in the 2000s for approximatively a decade. 988 The analysis of the literature review has emphasised that the estimation of the rebar size is a topical and very challenging 989 application area. Research has been performed exclusively at the laboratory scale, proving that applicability in this area 990 is at an early stage of development. A common approach is to analyse the problem using numerical modelling and validate 991 the observations with experiments carried out on samples in a laboratory environment. It was also highlighted that 992 structural detailing for the assessment of the integrity of reinforced concrete structures has gained momentum over the 993 past decade. To this effect, GPR has been demonstrated to be a viable method to achieve an early diagnosis of decay in 994 important areas, such as anchorages in building foundations and pre-stressed beams, joints in bridge decks, and tunnel 995 linings.

996 A case study on a new methodological and data processing approach for the assessment of reinforced concrete structures 997 with GPR is discussed in the final part of the paper. A data sampling investigation for the estimation of a propagation 998 velocity value representative of a reinforced-concrete-paved area with a high-density grid mesh of rebars is proposed. To 999 this purpose, a high-frequency GPR system with a 2 GHz dual-polarised antenna (HH/VV) was used and longitudinal and 1000 transversal scans were collected. A multi-stage methodology including i) data acquisition, ii) use of the hyperbola fitting 1001 method, iii) a statistical distribution analysis of the velocity values and residuals, and iv) a data sampling methodology 1002 and migration, was proposed. Results have demonstrated the advantages of using a dual-polarised GPR system in order 1003 to improve the detectability of rebars, including secondary bottom lines of reinforcement. The horizontal polarisation was proven to be more stable compared to the vertical one. Finally, it was demonstrated that a more accurate visualisation of

the rebars can be obtained using 5 cm scan spacing and information from at least 30% of the targets in a high-density grid

1006 mesh arrangement of rebars.

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