Strategies to reduce non-ventilator-associated hospital-acquired pneumonia: A systematic review

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Abstract Background: Point prevalence studies identify that pneumonia is the most common healthcare associated infection. However, non-ventilator associated healthcare associated pneumonia (NV-HAP) is both underreported and understudied. Most research conducted to date, focuses on ventilator associated pneumonia. We conducted a systematic review, to provide the latest evidence for strategies to reduce NV-HAP and describe the methodological approaches used.

Methods: We performed a systematic search to identify research exploring and evaluating NV-HAP preventive measures in hospitals and aged-care facilities. The electronic database Medline was searched, for peer-reviewed articles published between 1st January 1998 and 31st August 2018. An assessment of the study quality and risk of bias of included articles was conducted using the Newcastle–Ottawa Scale.

Results: The literature search yielded 1551 articles, with 15 articles meeting the inclusion criteria. The majority of strategies for NV-HAP prevention focussed on oral care (n = 9). Three studies evaluated a form of physical activity, such as passive movements, two studies used...
Introduction

In recent years, there have been numerous initiatives to reduce specific healthcare-associated infections (HAIs), such as bloodstream infections [1], urinary tract infections [2] and surgical site infections [3]. In some countries, there have also been government targets, incentives and penalties for specific HAIs, including central line-associated bloodstream infections, catheter-associated urinary tract infections, surgical site infections (colon and hysterectomy), methicillin-resistant Staphylococcus aureus bacteremia and Clostridium (Clostridioides) difficile infections [4]. However, despite hospital-acquired pneumonia (HAP) reported as one of the most common HAIs [5–7], this infection has received little attention to date.

Point prevalence studies (PPS) in Europe and the United States identify HAP as the most frequent HAI in prevalence studies conducted in England, Northern Ireland, Scotland, Wales [6] and Switzerland [8]. In 2015, a multi-site PPS conducted in the United States also identified pneumonia as the most common HAI, with a prevalence of 26% [5]. Thirty-five percent of the pneumonia cases were classified as ventilator-associated pneumonia (VAP) and 65% as non-ventilator-associated hospital-acquired pneumonia (NV-HAP) [5], the two subcategories of HAP. A common definition of VAP is where a case of pneumonia where the patient is on mechanical ventilation for >2 calendar days on the date of event and the ventilator was in place on the date of event or the day before, other cases being considered NV-HAP [9].

While the impact of both VAP and NV-HAP on healthcare services and patients is significant, evidence suggests that NV-HAP occurs more frequently, is associated with higher healthcare costs and is equally as dangerous as VAP [10]. Findings from a multi-centred study from the United States suggests that 18.8% of patients with NV-HAP required transfer into the intensive care unit [11]. NV-HAP also results in a prolonged hospital stay and increased patient morbidity and mortality [12,13]. However, NV-HAP is both underreported and understudied with most research conducted on VAP, thereby prompting recent calls for an urgent need to prioritise research on NV-HAP [14]. This paper therefore focuses on NV-HAP, rather than VAP.

Risk factors for the development of NV-HAP are quite varied and similar to those for HAP in general [14]. Some identified risk factors include age (>70 years), male sex, length of hospital stay, multiple comorbidities, dysphagia and chronic obstructive pulmonary disease [12,15–17]. In some cases, patients may also present with few to no risk factors [14]. Poor oral hygiene associated with dental plaque build up has also been identified as an important risk factor [18], with identical genetic profiles found between respiratory pathogens isolated from dental plaque and bronchoalveolar lavage fluid [19]. Various studies have demonstrated the benefits of implementing comprehensive oral care management protocols in reducing the incidence of NV-HAP [14,20–22], highlighting the potential health and financial gains that could result from widespread implementation of such strategies for this problematic HAI [16,23]. Previous reviews have indicated that interventions that target potential modifiable risk factors may reduce NV-HAP, including improved oral hygiene, hand hygiene, early mobilisation, identification and appropriate management of dysphagia particularly in post-stroke patients, and prevention of viral infections [16,23]. We present a contemporary review, which seeks to provide the latest evidence for strategies to reduce NV-HAP and describe the methodological approaches used. Based on this, we will present key gaps in the literature and methodological considerations to inform future interventional studies.

Methods

A systematic review to identify research exploring and evaluating NV-HAP preventive measures in hospitals and aged-care facilities was undertaken. Reporting of this systematic review complied with the preferred reporting
items for systematic reviews and meta-analyses (PRISMA) guidelines [24].

**Search strategy**

We performed a systematic search of the literature. Using the key words stated below, we searched the electronic database Medline and reviewed the reference lists of eligible articles to identify any additional relevant articles. The electronic search was limited to articles published between 1st January 1998 and 31st August 2018 in peer-reviewed journals, with no limits placed on language. A combination of Medical Subject Heading (MeSH) and free-text terms was used, based on a prior review of the literature [16].

The first search involved the following terms: ('pneumonia' OR 'respiratory tract infection' OR 'respiratory infection') AND ('nosocomial' OR 'hospital-acquired' OR 'healthcare associated' OR 'healthcare-associated') AND ('prevention'). We performed an additional search and combined this with the results from the previous search.

The keywords used in the second search were ('pneumonia' OR 'respiratory tract infection' OR 'respiratory infection') AND ('nosocomial' OR 'hospital-acquired' OR 'healthcare associated' OR 'healthcare-associated') AND.

- 'hand hygiene' OR 'hand washing' OR
- 'oral care' OR 'oral hygiene' OR 'oral decontamination' OR 'oral health' OR 'mouthwashes'
- 'bed position' OR 'head position' OR 'body position' OR 'bed rest' OR 'bed protocol'
- 'mobilization' OR 'mobility' OR 'motility' OR 'physical activity' OR 'physiotherapy'
- 'dysphagia' OR 'swallowing' OR 'swallowing disorder' OR 'aspiration' OR 'aspirative'
- 'viral infection' OR 'viral' OR 'virus' OR 'flu'
- 'stress bleeding prophylaxis' OR 'gastric' OR 'gastric protection' OR 'acid-suppressive therapy' OR 'acid-suppressive' OR 'proton pump inhibitor' OR 'omeprazole' OR 'ranitidine'

**Selection criteria**

The inclusion criteria were all randomised controlled trials and observational studies (cohort, case control, observational, cross sectional or case/short reports) that examined measures (excluding vaccination and systematic antimicrobial therapy) to reduce HAP in hospitals and aged-care facilities. Only studies examining adult populations were included. Studies that did not analyse the effect of any prevention measure were initially included but subsequently removed for sub-analysis. Exclusion criteria were studies examining VAP, all grey literature, non-peer-reviewed literature, reviews, editorials and commentaries.

**Definitions**

For the purpose of this systematic review, we used the following definitions:

- 'Healthcare-associated infection' refers to any infection as defined or accepted by the authors as being healthcare-associated, hospital-acquired or nosocomial.
- 'Measure' refers to interventions, clinical practice or policy. It excludes systematic antimicrobial treatment and vaccination measures.
- We accepted the definitions and application of defining pneumonia as stated in the paper.

**Study selection**

We examined and assessed the titles and abstracts of all the publications identified in the electronic database for relevance and appropriateness to the review question and excluded those that were irrelevant. Of the remaining articles, we reviewed the full texts to assess their further eligibility. Articles deemed to have data relevant to the systematic review were included. Trained research assistants performed the study selection process and other stages of the review. Ten percent of the original articles were cross-checked with the study eligibility criteria by two of the research assistants. In addition, an experienced research member randomly selected 10% of the original articles retrieved in the initial search and reviewed them as a cross-check against the study eligibility criteria. Two members of the research team resolved any discrepancies that were observed in the application of either the inclusion or the exclusion criteria.

**Data extraction**

For each eligible study, the following data were extracted using a data extraction form developed in Excel (Microsoft, USA); author(s); year of publication; country of study; study design; study population; sample; setting; outcome measures; results for both the control and intervention groups, and conclusions. All data extracted were cross-checked by a second member of the team. We did not attempt to contact the authors of papers that contained missing data or unclear information.

**Risk of bias**

An assessment of the study quality and risk of bias in the articles included in the review was conducted using the Newcastle–Ottawa Scale [25,26]. The Newcastle–Ottawa Scale, is a collaboration between several universities, to assess the quality of studies with its design, content and ease of use directed to the task of incorporating the quality assessments. The scales uses a 'star system' to judge articles in three broad perspectives: the selection of the study groups; the comparability of the groups; and the ascertainment of either the exposure or outcome of interest for case-control or cohort studies respectively [27]. A maximum of nine stars to be awarded to a study. The content validity and inter-rater reliability of this tool have been established [26]. One researcher undertook this assessment independently, with a random 10% of the articles reviewed by a second researcher. There were no
discrepancies in the application of the risk of bias assessment found, when the random sample of articles was compared by a second reviewer.

Data analysis

Extracted data from the included studies were synthesised and summarised in evidence tables. Given the significant heterogeneity in the included studies, we did not attempt to conduct a meta-analysis.

Results

Overview

The literature search yielded 1551 articles. Of these, 56 articles remained for a full text review following a review of the titles and abstracts and the exclusion of duplicates. After screening the 56 articles against the study’s inclusion and exclusion criteria, we excluded 43 articles either because they did not report on NV-HAP, they did not evaluate the impact of an intervention, or they were reviews. With the addition of three eligible articles that were known to the research team from manual searches (one from an excluded review, two from references lists of included articles), 15 articles met the inclusion criteria and were included in the final systematic review (Fig. 1).

In this review of literature, we identified 15 studies that involved an intervention aimed at reducing NV-HAP. There was considerable heterogeneity in these studies, including the type of intervention, study design, methods and definitions used to diagnose the NV-HAP. To date, interventions to reduce NV-HAP appear to be based broadly on the themes of improving oral care, increased mobility or movement and dysphagia management.

Study characteristics

Table 1 provides an overview of the 15 studies included in the review. The majority of strategies for NV-HAP prevention focused on oral care (n = 9), with four oral care approaches using dental professionals [28–31]. Three studies evaluated a form of physical activity, such as passive movements [32–34]; two studies used dysphagia screening and management [35,36]; and another study evaluated prophylactic antibiotics [37]. Most studies (n = 12) were conducted in a hospital setting. Six of the fifteen studies were randomised controlled trials.

Risk of bias assessment

We undertook a risk of bias assessment on the 15 studies included in the review. The maximum star rating using the Newcastle–Ottawa Scale is nine stars. Four studies received a star rating of eight [31,36–38], six studies received a rating of seven [20,29,32–34,39] and five studies received a rating of five or less [14,28,30,35,40]. Table 2 provides an overview of the risk of bias assessment for each study.

![Flow diagram for selection of articles.](image-url)
<table>
<thead>
<tr>
<th>Author, Year</th>
<th>Design</th>
<th>Sample</th>
<th>Setting</th>
<th>Broad intervention strategy</th>
<th>Significant change in pneumonia</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adachi et al., 2002 [28]</td>
<td>RCT</td>
<td>141</td>
<td>Nursing home</td>
<td>Oral care (professional)</td>
<td>YES</td>
</tr>
<tr>
<td>Bellisimo-Rodrigues et al., 2014 [29]</td>
<td>RCT</td>
<td>254</td>
<td>Hospital (Intensive Care Unit)</td>
<td>Oral care (professional)</td>
<td>YES</td>
</tr>
<tr>
<td>Boden et al., 2018 [32]</td>
<td>RCT</td>
<td>441</td>
<td>Hospital</td>
<td>Physical activity</td>
<td>YES</td>
</tr>
<tr>
<td>Bouringault et al., 2010 [30]</td>
<td>RCT</td>
<td>2513</td>
<td>Nursing home</td>
<td>Oral care (professional)</td>
<td>NO</td>
</tr>
<tr>
<td>Chen et al., 2016 [40]</td>
<td>Cohort</td>
<td>873</td>
<td>Hospital (Intensive Care Unit)</td>
<td>Oral care</td>
<td>YES</td>
</tr>
<tr>
<td>Cuesy et al., 2010 [33]</td>
<td>RCT</td>
<td>223</td>
<td>Hospital</td>
<td>Physical activity</td>
<td>YES</td>
</tr>
<tr>
<td>Johansen et al., 2016 [37]</td>
<td>Cohort</td>
<td>88</td>
<td>Hospital (Ear, Nose and Throat Department)</td>
<td>Prophylactic antibiotics</td>
<td>YES</td>
</tr>
<tr>
<td>McNally et al., 2018 [38]</td>
<td>Quasi-experimental</td>
<td>2891</td>
<td>Hospital (non-ICU)</td>
<td>Oral care</td>
<td>NO</td>
</tr>
<tr>
<td>Quinn et al., 2014 [14]</td>
<td>Quasi-experimental</td>
<td>85</td>
<td>Hospital (acute neurosurgical unit)</td>
<td>Oral care</td>
<td>Decrease+</td>
</tr>
<tr>
<td>Robertson et al., 2013 [20]</td>
<td>Quasi-experimental</td>
<td>2372</td>
<td>Hospital</td>
<td>Dysphagia screen</td>
<td>YES</td>
</tr>
<tr>
<td>Stolbrink et al., 2014 [34]</td>
<td>Quasi-experimental</td>
<td>156</td>
<td>Hospital (respiratory and elderly wards)</td>
<td>Physical activity</td>
<td>YES</td>
</tr>
<tr>
<td>Titsworth et al., 2013 [36]</td>
<td>Cohort</td>
<td>2334</td>
<td>Hospital</td>
<td>Dysphagia screen</td>
<td>YES</td>
</tr>
<tr>
<td>Wagner et al., 2016 [39]</td>
<td>Cohort</td>
<td>1656</td>
<td>Hospital</td>
<td>Oral care</td>
<td>YES</td>
</tr>
<tr>
<td>Yoneyama et al., 2012 [31]</td>
<td>RCT</td>
<td>366</td>
<td>Nursing Home</td>
<td>Oral care (professional)</td>
<td>NO</td>
</tr>
</tbody>
</table>

Note: + significance values not provided.
Oral care and NV-HAP prevention

Nine studies in this review involved an oral care intervention to reduce the incidence of NV-HAP. Four of the nine studies involved professional oral care, such as oral care provided by a dentist or hygienist. All of these studies were randomised controlled studies [28–31], and three of them were performed in nursing homes [28,30,31]. The remainder of the studies (n = 5), did not use professional dental care as part of the oral care intervention [14,20,38–40]. None of these five studies used a randomised controlled design.

Non-professional oral care

There was considerable heterogeneity among interventions in the studies that involved routine oral care (i.e. non-professional dental care). No two studies had the same intervention, and where an antiseptic was used as part of oral care, the type of antiseptic differed between studies. The interventions included: oral care kits that contained an antiseptic (cetylpyridinium chloride and 1.5% hydrogen peroxide) [39]; oral care by swabbing with an antiseptic (chlorhexidine gluconate vs. metronidazole) [40]; increased volume of oral care and the use of an antiseptic (0.05% cetylpyridinium chloride) [38]; oral care kits and the use of a toothbrush containing sodium bicarbonate and an antiseptic (antiseptic unspecified) [14]; and moisturiser, toothbrushes and oral swabs impregnated with sodium bicarbonate and an antiseptic rinse (1.5% hydrogen peroxide) [20]. The outcomes from the oral care interventions were largely associated with a reduction in NV-HAP (Table 3).

Professional oral care

Four studies involved the use of a dentist or dental hygienist in the delivery of oral care [28–31]. The interventions in the studies included:

- Dental hygienists performed professional oral health care weekly [28]
- dental care provided by a dental surgeon, 4–5 times a week [29] Patients receiving dental care were compared to those receiving routine oral care.
- annual visit to the dentist, tooth, tongue and mucosa brushing three times a day and after each meal [30] In addition, those receiving the intervention received used a chlorhexidine mouth wash.
- annual visit to the dentist, tooth, tongue and mucosa brushing three times a day and after each meal [31]

Two of the four studies identified a reduction in NV-HAP associated with the intervention (Table 3) [28,31]. One study recorded no cases of NV-HAP during the intervention phase [29], while the fourth and largest study did not identify a reduction in NV-HAP [30].

Dysphagia and NV-HAP prevention

Two non-randomised studies used dysphagia screening as the primary method for NV-HAP prevention [35,36]. Schrock and colleagues used a pre-post quasi-experimental study to evaluate rates of NV-HAP in patients with ischemic and haemorrhagic stroke both before and after the use of a dysphagia screen [35]. In the post study phase, a dysphagia screening test was applied to all acute stroke patients in the emergency department. For patients in the haemorrhagic group, the incidence of NV-HAP decreased from 19% to 15% (P < 0.001), while in the ischemic stroke groups, NV-HAP rates decreased from 13.8% to 8% (P = 0.007) [35]. Titsworth et al. also examined an intervention in patients with ischemic or haemorrhagic stroke [36]. The intervention was a nurse-led bedside dysphagia screen and a rapid clinical swallow undertaken by a speech pathologist. The authors suggest that this quality improvement initiative coincided with a reduction in NV-HAP from 6.5% to 2.8% among the stroke patients (P < 0.001) [36].

<table>
<thead>
<tr>
<th>First Author</th>
<th>Year</th>
<th>Elements of Newcastle–Ottawa Scale</th>
<th>Total stars</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Selection (0–4)</td>
<td>Comparability (0–2)</td>
</tr>
<tr>
<td>Adachi</td>
<td>2002</td>
<td>4</td>
<td>0</td>
</tr>
<tr>
<td>Bellisimo-Rodrigues</td>
<td>2014</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Boden</td>
<td>2018</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Bourigaulta</td>
<td>2010</td>
<td>4</td>
<td>1</td>
</tr>
<tr>
<td>Chen</td>
<td>2016</td>
<td>3</td>
<td>0</td>
</tr>
<tr>
<td>Cuesy</td>
<td>2010</td>
<td>3</td>
<td>1</td>
</tr>
<tr>
<td>Johansen</td>
<td>2016</td>
<td>3</td>
<td>2</td>
</tr>
<tr>
<td>McNally</td>
<td>2018</td>
<td>3</td>
<td>2</td>
</tr>
<tr>
<td>Quinn</td>
<td>2014</td>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>Robertson</td>
<td>2013</td>
<td>4</td>
<td>0</td>
</tr>
<tr>
<td>Schrock</td>
<td>2018</td>
<td>3</td>
<td>0</td>
</tr>
<tr>
<td>Stolbrink</td>
<td>2014</td>
<td>3</td>
<td>2</td>
</tr>
<tr>
<td>Titsworth</td>
<td>2013</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>Wagner</td>
<td>2016</td>
<td>3</td>
<td>2</td>
</tr>
<tr>
<td>Yoneyama</td>
<td>2002</td>
<td>4</td>
<td>1</td>
</tr>
</tbody>
</table>
Table 3  Summary of findings involving oral care and healthcare associated pneumonia.

<table>
<thead>
<tr>
<th>Study (first author)</th>
<th>Outcome</th>
<th>Intervention (n)</th>
<th>Control (n)</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Event</td>
<td>Total</td>
<td>Event</td>
</tr>
<tr>
<td>Professional dental care</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Adachi</td>
<td>Fatal aspiration pneumonia</td>
<td>2</td>
<td>40</td>
<td>8</td>
</tr>
<tr>
<td>Bellisimo-Rodrigues</td>
<td>Pneumonia in non-ventilated patients</td>
<td>0</td>
<td>127</td>
<td>1</td>
</tr>
<tr>
<td>Yoneyama</td>
<td>Pneumonia</td>
<td>21</td>
<td>184</td>
<td>34</td>
</tr>
<tr>
<td>Bourigault</td>
<td>Fatal pneumonia</td>
<td>14</td>
<td>184</td>
<td>30</td>
</tr>
<tr>
<td></td>
<td>Patients with pneumonia</td>
<td>93</td>
<td>868</td>
<td>203</td>
</tr>
<tr>
<td></td>
<td>Fatal pneumonia</td>
<td>15</td>
<td>868</td>
<td>26</td>
</tr>
<tr>
<td>Non-professional dental care</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chen</td>
<td>Hospital acquired pneumonia</td>
<td>84</td>
<td>661</td>
<td>44</td>
</tr>
<tr>
<td>McNally</td>
<td>Hospital acquired pneumonia</td>
<td>25</td>
<td>1403</td>
<td>26</td>
</tr>
<tr>
<td>Quinn</td>
<td>Hospital acquired pneumonia</td>
<td>Unclear</td>
<td>Unclear</td>
<td>Unclear</td>
</tr>
<tr>
<td>Robertson</td>
<td>Hospital acquired pneumonia</td>
<td>2</td>
<td>32</td>
<td>13</td>
</tr>
<tr>
<td>Wagner</td>
<td>Hospital acquired pneumonia (post-stroke)</td>
<td>98</td>
<td>949</td>
<td>99</td>
</tr>
</tbody>
</table>

Note: The raw numerator and denominator data from this study in the pre and post intervention phases are not able to be extracted from this study. No confident intervals are provided around the incidence rates.
Mobility and NV-HAP prevention

We identified three studies that involved a form of physical activity as a way of reducing the incidence of NV-HAP [32–34]. Cuesy et al. conducted a randomised controlled trial examining the effect of turning and passive mobilisation on patients with acute ischemic stroke [33]. The turn-mob program, instigated during the intervention phase, included modifying the patient from supine position to right and left lateral recumbent position every 2 h, in addition to passive mobilisations of the 4 limbs through each segment’s entire range of motion, every 6 h. Family members were also involved in this process. The intervention was associated with a decrease in NV-HAP (relative risk of 0.39; 95% CI 0.19–0.79; P = 0.008) [33]. A randomised controlled trial undertaken by Boden et al. also reported a reduction in NV-HAP [32]. The intervention included pre-operative patient education, early ambulation and self-directed breathing exercises, and additional pre-operative physiotherapy. Although the primary outcome was post-operative pulmonary complications, a reduction in NV-HAP was also identified (HR 0.45; 95% CI 0.26–0.78, P = 0.005) [32]. Stolbrink et al. also undertook a physiotherapy-based intervention that involved early mobilisation in patients following a hip fracture [34]. In this quasi-experimental study, the intervention was associated with a lower incidence of NV-HAP (HR 0.39; 95% CI 0.22–0.68; P = 0.001) [34].

Differences in NV-HAP definitions used in included articles

The articles included in this review used different definitions for determining cases of NV-HAP. These included chest radiography with clinical symptoms of pneumonia [31–35], Administrative coding data [14,38,39], Clinical Pulmonary Infection Score (CPS) [20], Centers for Disease Control and Prevention (CDC) definition [29,36], and national professional guidelines [20]. Some articles were less clear or did not specify the diagnostic approach [28,30].

Discussion

In this review, interventions from studies aimed at reducing NV-HAP, appear to be based broadly on the themes of improving oral care, increased mobility or movement and dysphagia management. The most common preventive strategy used to reduce NV-HAP was oral care. The studies included in our review focussed on improving oral care through education, increasing frequency and or consistency of oral care provided and use of an antiseptic. Further, the highest quality studies evaluating the impact of improved oral care all utilised professionals in the field of dentistry, such as dentists and/or dental hygienists. However, while most of these studies were randomised controlled trials, they were largely conducted in residential aged care facilities, with only one randomised controlled study conducted in a hospital setting. The feasibility of implementing an intervention requiring dentistry input in a hospital setting is limited at best. There are challenges in improving the provision of oral care in the hospital setting. A recent study illustrated this with an average of 1.6 tooth brushings per day, when the goal of the intervention was three per day by the nursing staff [38]. A recent review also identified variation in the reported frequency of oral care provided by nurses (range 2–3.5 times a day) and the documented frequency of oral care in nursing notes (range 1.2–3.5 times a day) for non-ventilated patients [41]. Further, the lack of nurse-led randomised studies for NV-HAP prevention identified in this review highlights a critical issue for patient care. As nurses are primarily responsible for the daily care needs of patients, they have a vital role to play in the prevention of HAIs, including NV-HAP [42]. Three studies, Quinn et al. [14], McNally et al. [38] and Robertson et al. [29], all emphasised the importance of nurse-led initiatives along with interdisciplinary collaboration as being vital to successful oral care interventions. Improvements in oral care are considered a modifiable risk factor for NV-HAP [14,43].

The differing antiseptic agents used as part of oral care interventions in the studies included in this review also warrant discussion, as uncertainty remains regarding the most appropriate antiseptic for oral care in the prevention of NV-HAP. Antiseptic agents such as chlorhexidine gluconate, sodium bicarbonate, hydrogen peroxide and cetylpyridinium chloride are examples of antiseptics that were used in the included studies. Povidone-iodine is also an antiseptic agent known to be used for oral care in the prevention of HAP in general [44]. Findings from our review do not add to the existing evidence base regarding the use of chlorhexidine gluconate in oral care for the prevention of pneumonia in the literature [43,45–47]. Further research on the effectiveness of chlorhexidine and other antiseptics for oral care in HAP prevention has been called for, including cost effectiveness analyses [43,46].

Our review also identified dysphagia screening and physical activity or movement, as effective strategies for preventing NV-HAP. This supports findings from a narrative review of the literature by Pássaro et al. [16], which also showed a reduction in NV-HAP following the use of early mobilization interventions as well as prompt diagnosis and treatment of dysphagia. However, given the lack of studies assessing these strategies in patients with NV-HAP (two studies for dysphagia and three for physical activity) and the lack of randomised control designs, their effectiveness is uncertain, thus suggesting the need for further evaluation of these strategies.

The diversity of interventions used for NV-HAP prevention and settings makes comparisons, generalisability and translation into practice difficult. In addition, the small sample size of published studies [20,28] poses a limitation to the generalisability of the findings. Hence, the challenges in designing future NV-HAP intervention studies include diagnoses, adequate sample sizes and ethical considerations around accessing a high-risk population. As noted in our review, the methods used to diagnose NV-HAP varied and included definitions from the CDC guidelines [14], Association of Medical Microbiology and Infectious Diseases Canada guidelines [20], clinical symptoms and signs, and radiological features [31]. While it is recognised that there is no gold standard for the diagnosis of NV-HAP or VAP [47], we suggest that future studies should use NV-HAP definitions from point
prevalence studies, such as the European Centre for Disease Prevention and Control [48]. The use of standard definitions allows infection control and prevention professionals and hospital epidemiologists to make sensible comparisons among data from hospitals or against national data [42]. The use of definitions that are already familiar from current use may also improve the reliability of their application in studies.

While HAP is one of the most prevalent HAIs among hospitalised patients, the incidence of NV-HAP in hospitalised patients is relatively low [11]. Hence, a large sample size providing sufficient statistical power will be required for any study seeking to determine the effects of NV-HAP interventions. This large sample size, coupled with both the need to undertake prospective diagnoses and difficulties in implementing oral care interventions, presents a major challenge. The incidence of NV-HAP is higher in high-risk groups, such as the elderly or those with multiple comorbidities; therefore, studying this type of group may aid a reduction in sample size. However, the challenge with studying this type of group pertains to informed consent and the associated selection bias. Therefore, a stepped wedge design may be a useful study design for future NV-HAP studies [49].

Our review has several limitations. The variability in the definitions of NV-HAP and the substantial clinical and methodological heterogeneity between the included studies made it impossible to undertake a meta-analysis. The quality and risk of bias of one-third of the studies included in the review had ratings of five or less, and therefore were considered to have a high risk of bias and the potential to impact the study findings. In view of the findings from this paper, it appears that improving the quality and frequency of oral care, in addition to mobilisation may be useful interventions in reducing the incidence of NV-HAP. Nurse led randomised studies, to assess the effectiveness of an intervention or interventions to reduce the incidence of NV-HAP are required. Such studies need to be rigorously conducted and sufficiently powered.

Authorship statement

BM, PR, AC and AS conceived this study. HR, SC, SR contributed to data collection. All authors reviewed the manuscript and provided critical input. All authors approved the final version of this paper. BM was the lead for this project.

Conflict of interest

Three of the authors have an editorial affiliation with the journal. They played no role whatsoever in the peer review process or decisions relating to this manuscript.

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Not required, this is a systematic review of the literature.

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