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Applying a new systematic fuzzy FMEA technique for risk management in light steel frame systems

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## Applying a New Systematic Fuzzy FMEA Technique for Risk

## **Management in Light Steel Frame Systems**

#### **Abstract**:

- Light Steel Frame (LSF) system is mainly used for construction of short and intermediate-height buildings in developed countries whereas considerable heed is not given to it in developing countries. Unfamiliarity to LSF risks is one of the main reasons for this averseness so risk management can remedy this challenge and develop application of the LSF. Hence, this paper investigates the risk management of LSF system considering design, construction and operation phase. Three main steps entailing risk identification, assessment and responding using fuzzy Failure Mode and Effect Analysis (FMEA) technique are suggested for risk management implementation and for validation of responses, a novel index with respect to weighted combination of project quality, time and cost are calculated. The methodology is demonstrated on a pilot study in a developing country. By using interview, 29 significant risks are extracted in design, construction and operation and then evaluated by proposed fuzzy method. Results showed that the share of the risks in these steps are 21%, 31% and 48% respectively. The results revealed that the risks in the construction and operation phases are higher than those in the design phase. The results also show that involving safety as a project object in the risk management process could eventuate acceptable results.
- **Keywords:** Fuzzy FMEA; Light Steel Frame system; Project Life Cycle; Risk classification;
- 21 Risk identification; Risk management; Risk response strategy.

## 1 Introduction

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23 The Light steel frame (LSF) is a load bearing wall system made of cold-formed steel sections 24 (CFS) and has various applications in the construction industry, such as short, intermediate 25 building and extra-floor residential houses or apartments. LSF Components are made of CFS 26 sheets with thicknesses varying from 0.45 to 2.45 mm and protected against rust and corrosion 27 by using zinc alloys (Schafer, 2011). The load bearing elements consist of single or combined 28 sections, mainly consisting of C or U shape or their combination. The walls are formed by the 29 arrangement of the vertical components of the U shape sections (studs), which are restrained 30 from above and below inside the horizontal U shape components (runner or track). The roof of 31 the last floor is often sloped and made of CFS load-bearing members called "joists" and the 32 track is typically referred to as the "rim joist". Connections in LSF are usually cold and made 33 with automatic screws, despite that other connections such as rivets and welding are used in 34 special cases (Soares, et al., 2017). 35 LSF system has multiple advantages including high speed construction, low weight of building, 36 resistance against earthquake and insect damage, almost 100% recyclability, economical usage 37 of energy, the easiness of maintenance and repair, possibility of modular construction and pre-38 construction of panels, the comfortable construction of mechanic and electric equipment, 39 excellent thermal insulation, designability of various external views by request of employer 40 and adapted with architectural concept, long lifespan, quick return of initial capital investment, 41 adapted with environment, durability and stability of the structure and increase the net area 42 (Soares, et al., 2017). In contrast, LSF system has some disadvantages such as low resistance 43 of wall insulation core against fire, complexity of thermal bridge modelling due to several 44 types of materials, weakness against sever wind, the lack of expert and labour force, unknown 45 structural behaviour of the system, higher prices than traditional materials in countries that 46 have not yet developed this system and height limitation (Jatheeshan and Mahendran, 2015, 47 Soares, et al., 2014). Having been appeared in the early 20th century, most likely to mimic the dimensioned wood 48 49 houses become the common construction method for shelter, LSF system grew rapidly in 50 Europe. Also, destruction of buildings during the world war II was caused shortage of homes 51 in several countries such as Germany, Japan, France and hence LSF was one of the best 52 alternatives to meet this demand (Yu, 2016). Although the origin of LSF system was rooted in 53 shortage of building materials, environmental concerns and introducing an alternative option 54 for wood frame building, the mentioned advantages turned LSF constructions to a reliable

55 option for construction industry in developed countries and one of the most popular system in 56 dry (i.e. prefabricated) construction category (LSK, 2007). 57 In contrast to developed countries, this approach was not clearly embraced in developing 58 countries such as Iran, Malaysia, South Africa and China (Dosumu and Aigbayboa, 2018, 59 Mahdavinejad, et al., 2012, Saikah, et al., 2017, Shi and Yu, 2009). Lack of information in 60 practitioners, clients, engineers, project managers and other related experts about the LSF risks 61 and proper strategies for dealing with them is one of the main reasons for failure to expand the LSF system in the construction industry (Luo, 2015). Similarly, the investigation in Australia 62 63 and some cases in Italy and Mediterranean countries as a matter of successful examples also 64 implies that the LSF system should be coordinated with consumers' culture (Celik and Kamali, 65 2018, Franklin, et al., 2020). It was found that having more knowledge and experience about 66 the LSF system dampens its current risks. Therefore, this paper aims to recognize the relevant 67 risks in LSF structures and appropriate strategies to respond during design, construction and 68 operation steps. To deal with this problem in a systematic approach, we intend to employ risk 69 management procedure in LSF buildings in developing countries. Accordingly, a comprehensive framework for investigation of LSF risks is provided here and 70 71 this paper aims to increase the understanding and knowledge about the LSF system for 72 engineers, managers, employers and other related people by applying the risk management to 73 enhancing the chance of using this alternative building system. This framework entailing 74 identification, assessment and responding to each risk event is defined in a way that it could be 75 employed in similar problems and case studies. 76 This paper organises as follows: Firstly, a literature review of the works investigating the LSF 77 risks and risk management in construction industry are given in the next section. The proposed 78 framework steps are then introduced. The results and discussion are also represented in forth 79 section. Finally, the conclusions are drawn and some recommendations are made for future

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#### 2 Literature review

### 83 **2.1 LSF** systems

studies.

Despite a plethora of research works conducted on structure of LSF system, there is not any specific research focused on the risk management in LSF system. Some sporadic researches such as Shi and Yu (2009), Barnard (2011), Eren (2013) and Saikah, et al. (2017) have focused on risk identification of LSF system but not as comprehensive as one could be considered them

as risk management procedure. In a similar manner, Dosumu and Aigbayboa (2018) investigated adopting LSF system in South Africa by considering risk identification and evaluation and reviewing challenges and solutions, but had not presented a specific framework for risk management. Franklin, et al. (2020) have also conducted an on-line survey for evaluation of structural resistance, construction time and cost and acoustics responses of LSF buildings and suggested some modifications on the LSF system. Some researches focused on a specific aspect of the system. For example, Veljkovic and Johansson (2006) introduced the LSF systems as a dry construction system and studied the manufacturing industry in Sweden by examining ways to reduce production costs in a recession. Researchers also investigated the LSF technology for economic housing in developing countries such as Iran and China and concluded that LSF system can be economic for these countries (Li, et al., 2014, Mahdavinejad, et al., 2012, Noorzai and Golabchi, 2020). Some modifications in construction of the system were also provided by Darcy and Mahendran (2008) and Schafer (2011). Suggestions of these papers can be useful in energy efficient and affordable architectural concept of LSF system. A multidimensional comparison between reinforced concrete and LSF buildings was also made in Mediterranean countries and Iran (Celik and Kamali, 2018, Zeynalian, et al., 2013). It has been proofed that using of LSF system allows a great improvement in cost, quality, time and earthquake related risks. Some LSF related researchers analysed sustainable performance of LSF system with the main focus on environmental and energy saving aspects. Fallah (2005) found steel and its derivatives are a very appropriate option with respect to sustainable development. For the energy saving subject see for example Soares, et al. (2014), Santos, et al. (2014), Soares, et al. (2017) and Steau and Mahendran (2020). They suggested strategies such as changing the insulation core, using of modern construction methods that optimize heat exchange transference and double plasterboards for reducing thermal bridges and for improving the thermal resistance of LSF envelope elements. Similar to Trevathan and Pearse (2008), Paul, et al. (2015) analysed the sound insulation coefficient in LSF walls. They tested materials such as cement and plaster boards, smart resin, PVC and polymer mortar covered XPS panels as a matter of insulation core. Besides, they examined the effect of using the sealing strip between panels and subrunners. Safety-related researches in this field was devoted to experimental and numerical studies for analysing the resistance of LSF system against fire and earthquake. For example, Jatheeshan and Mahendran (2015) examined the fire resistance of LSF walls by finite element method and real experiments on constructed specimens. In their research, they have confirmed the high

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- ability of the finite element method to model and demonstrate the performance of these
- structures subjected to fire. We can conclude from this research and other similar not mentioned
- papers that using of incombustible materials in insulation core of the walls significantly reduce
- the ignition risk.
- Regarding seismic behaviour and structural analysis, it is shown that LSF system in
- 127 combination with shear walls can be considered as an appropriate choice in areas with high
- seismic hazards or high important buildings like schools and hospitals based on experimental
- researches of Fiorino, et al. (2014), Iuorio, et al. (2014), Khalifa, et al. (2020) and Wang and
- 130 Hutchinson (2020).

#### 2.2 Risk management in construction industry

- 132 Intending to apply risk management in LSF construction system, a brief literature review of
- 133 the risk management in construction industry is provided here. Risk Management in
- 134 construction projects has been applied since 1990 to identify, analyse and respond to risk
- factors in a project and maximise the results of positive events and minimise the consequences
- of negative events effected project objectives (Renuka, et al., 2014, Wang, et al., 2004).
- 137 Construction projects are among the most important projects that are being implemented in any
- country. These projects are of great importance due to the consumption of many resources, the
- existence of different stakeholders and the impact on other sectors. One of the first application
- of risk identification and classification can be seen in the research conducted by Mustafa and
- 141 Al-Bahar (1991). They categorised project risks based on project objectives into six groups of
- hazards including uncontrollable natural forces, physical, financial, political, design and job
- related ones. Zou, et al. (2007) and Zou and Zhang (2014) identified and classified risks of
- 144 construction projects in China and Australia based on Project Life Cycle (PLC) defined through
- 145 feasibility, design and construction phases. Other researchers also used PLC and project
- objectives for risk classification (Mehdizadeh, et al., 2012, Zeynalian, et al., 2013). Also Goh,
- et al. (2013) used PLC to categorise a university project's risks in Malaysia, and calculated
- likelihood, impact and risk level for each risk. Oduyemi, et al. (2016) suggested that by
- detection of risk factors in design stage, improvement in project goals was acquired and hence
- risk classification based in PLC can be useful.
- 151 Comparing risk importance in each class is one of the main objectives of risk classification. To
- 152 compare risks in each class, statistical methods is very common. For example, Wu, et al. (2019)
- classified risks in off-site constructions into four categories include general, design-related,
- 154 construction-related, and people and organisation-related and compared expert's opinion with
- statistical tests. Delphi technique, brainstorming, expert judgment and interview are the most

common tools for risk identification and classification. Although risk identification and classification as a key part of risk management need no complex calculations, few researches have exclusively done this part of risk management and most of the papers report previous risks in their model (Renuka, et al., 2014). Some researchers such as Dey (2012) and Franklin, et al. (2020) simultaneously used literature review and risk identification techniques. Several techniques such as probability-impact matrix, Monte Carlo simulations, likelihood occurrence of risk, Fuzzy Set Theory (FST) and FMEA could be used for risk assessment or evaluation (Renuka, et al., 2014). The significance of the risks is usually determined based on probability of risk occurrence and the risks impact or degree of loss (Wu, et al., 2019) or relation of some risk factors (Forcael, et al., 2018, Wang, et al., 2018). In a general case, these methods require probability and effect of the risks in the project objective based on expert's opinions. In projects, we deal with the risks associated with the project objectives. Therefore, a clear prerequisite for identifying project risks is a clear understanding of the project objectives (Liu, et al., 2016). For transferring expert judgment to numeric information for risk evaluation, we can use FST or fuzzy reasoning Membership Function (MF). Various publications since 1996 until now have shown the performance of this technique in risk assessment in construction projects (Chan, et al., 2009). Fuzzy rules have also been used in risk management in construction project (Asadi, et al., 2018). The next step in risk management is risk responding. Wu, et al. (2018) introduced five main categories for risk response methods including zone based, Work Breakdown Structure (WBS) based, trade-off based, optimisation based and other methods. The first three groups have fewer complex calculations and can be easily used in construction projects but it could not be known whether the risk response actions are the optimal solution in these methods. Sevedhoseini, et al. (2009) and Abdelgawad and Fayek (2010) are the instances of the works in these groups respectively. On the other hand, the researches in fourth and fifth groups such as Wu, et al. (2018) employed optimisation problems and heuristic or meta heuristic algorithm to reach an optimal answer among risk responses. These methods not only are defined by complex problem-solving algorithms but also need precise information about project objective such as cost, time and quality. It is a common way to firstly detect different risk response strategies that optimise the performance of construction projects and then relevant solutions to the best strategy are compared. Choudhry and Iqbal (2013) proposed some response strategies entailing avoidance, transferring, reduction, sharing and retaining and ranked them based on experts' judgment.

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FMEA techniques investigate adverse effect of risks of the entire system during the failure of the system. These techniques have been applied to the US aerospace industry from the 1960s for safety and reliability analysis (Bowles and Peláez, 1995). Then, they have been frequently used as a tool to evaluate the risk in various industries such as automotive health-related problems, marine fields, nuclear processes, electronic and asset management (Abrahamsen, et al., 2016, Baghery, et al., 2018, Braaksma, et al., 2013, Kang, et al., 2017, Yeh and Chen, 2014). In addition, it has widely been applied to construction management problems (Kim and Kim, 2012). Abdelgawad and Fayek (2010) applied FMEA for risk assessment of construction projects and used fuzzy logic to improve its capability with uncertain information of experts' judgments similar to Cheng and Lu (2015). Considering some modifications in the FMEA formulation, Seifi Azad Mard, et al. (2017) introduced a novel approach for risk evaluation of occupational outcomes. There are several other researches about implementation of FMEA in the construction industry that can be found in Chin, et al. (2009), Gargama and Chaturvedi (2011), Liu, et al. (2019), Ma and Wu (2019). In contrast to focusing in a specific part of risk management, some researchers such as Wang, et al. (2004), Abdelgawad and Fayek (2010), Dey (2010), Dey (2012) and Ahmadi, et al. (2017) proposed a framework for risk management in construction projects and consider the entire aspects of risk management in their methods. The risk responding procedure in these frameworks is related to risk assessment parameters and can be easily applied to all types of construction project. For example, Ahmadi, et al. (2017) proposed a framework for a roadway project consisting of risk evaluation and response based on FST and risks were evaluated based on probability, severity of consequence on project objectives and control ability of the project team. In some researches, the risk management term is incompletely utilised for the above process in construction project (see for example Goh, et al. (2013)). Using risk management term, we should perform several accurate steps based on PLC from identifying to responding and controlling of project risks so it is better to say risk identification and analysing for above research. Ashley, et al. (2006) and Iqbal, et al. (2015) have completely defined risk management in construction projects and described the matters should be considered in applying risk management, but in more cases risk identification, evaluation and responding have been tangibly seized by researchers. Also, Choudhry and Iqbal (2013) described risk management barriers in construction project and compared different tools in risk management based on expert judgment and showed that there was lack of systematic risk management in construction projects especially in developing countries.

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- 223 As we want to apply risk management in special type of construction project (LSF system),
- some similar works in other types of projects have been hitherto done. Cause of delays in
- construction projects (Banobi and Jung, 2019), investigation of risks magnitude in tunnel and
- 226 railway projects by three simple risk indices including probability, severity and frequency
- 227 (Forcael, et al., 2018), risk analysing in modular construction (Li, et al., 2013) and
- determination of risk importance in industrialized building system (IBS) projects (Bari, et al.,
- 229 2012) are some instance of risk identification and assessment in special type of projects.
- 230 2.3 The aims and innovations of the study
- 231 Previous researches on the risk management in the construction industry mainly focused on the
- implementation of the risk management in the LSF system and a wide knowledge gap was
- 233 identified. This paper provides a comprehensive framework for risk management of the LSF
- 234 system entailing risk identification, risk evaluation and proposing appropriate strategies to
- 235 respond the risks during design, construction and operation steps. Moreover, due to the limited
- 236 expansion of the LSF system in developing countries, this research would be of high
- importance for application of this system in these regions. The main contributions of the paper
- can be summarised as follows:

- Identifying key risks of LSF structures;
  - Classifying the identified risks under PLC and other relevant subjects;
- Evaluating the identified risks through a novel Fuzzy FMEA approach;
- Proposing appropriate response strategies for the identified risks;
- Demonstration of the proposed methodology in a real-world case study;
- 244 The FMEA method as a risk assessment technique can identify and evaluate potential risks and
- their causes and effects. Risk management of construction projects has many ambiguities and
- 246 unknowns (Chin, et al., 2008). These uncertainties sometimes result in either better or worse
- outcomes (Kumru and Kumru, 2013). These uncertainties and associated risks can lead to some
- 248 complexities between the project components and even unstable conditions that can change the
- project outcome due to some external reasons such as governmental laws (Chin, et al., 2008).
- Fuzzy theory has shown to be a useful tool to deal with these types of uncertainties in the
- decision making.
- Fuzzy theory is a computing method using "degrees of truth" rather than the traditional "true
- or false" (1 or 0) Boolean logic that underpins modern computers (Meng Tay and Peng Lim,
- 254 2006). The concept of fuzzy sets was introduced by Zadeh in 1960s for the first time (Jong, et
- al., 2013). In this approach, a fuzzy set described the concepts of a fuzzy number by using a

256 degree of membership of its elements in a universe of discourse (Sang, et al., 2018). Fuzzy numbers defined in the interval [0,1] provide semantics for terms in a linguistic term set, which 257 258 are represented by MF that can be classified by types of functions. Fuzzy set theory is also used 259 in a fuzzy inference system (FIS) to generate a model between inputs (features in the case of 260 fuzzy classification) and targets (classes in the case of fuzzy classification). Due to the use of 261 FIS, such transition may need a set of fuzzy rules in which gathering a complete one is difficult 262 (Jee, et al., 2015, Kerk, et al., 2021). Previous researches have indicated all of the above 263 concepts could adopt to the risk analysis due to the capability of fuzzy concept for modelling 264 of uncertainty.

265 Combining the FMEA method with fuzzy theory provides a more efficient tool than the original

266 FMEA method at the presence of vague concepts, insufficient information and uncertainty.

267 Fuzzy logic could reduce the drawback in assessing and prioritizing failures of traditional

FMEA (Chanamool and Naenna, 2016). Hence, this paper provides a novel Fuzzy FMEA

269 technique for risk assessment in construction projects.

# 3 Methodology

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The proposed framework for dealing with risk management in the LSF system is illustrated in Figure 1. The framework comprises three main phases including 1) risk identification and classification; 2) risk assessment and 3) risk response. The first phase identifies the related hazards and potential risks to the LSF system through reviewing several LSF projects, relevant literature and interviewing relevant experts in these projects. The identified risks are also classified based on the life cycle, objectives and stakeholders of the projects.

The second phase entails quantifying the level of risk for each hazard identified in the LSF system by calculating risk parameters based on a Fuzzy FMEA approach. FMEA combines technology and experts' experiences for identifying and planning for the removal of foreseeable failure modes of a product or process. It is used in various phases of the product life cycle in the manufacturing industries and is now becoming increasingly common in the service industry (Chin, et al., 2008). In order to assess the risk level of a component or process, traditional FMEAs use the risk priority number (RPN). The RPN is determined by multiplying three factors: the probability/occurrence of failure, the seriousness of failure and the probability that a failure is not detected (Balaraju, et al., 2019, Chanamool and Naenna, 2016, Kumru and Kumru, 2013). Precision should not be imposed if the data is unreliable and scarce when conducting FMEA for safety assessment purposes. Hence, it would be unrealistic to ask an analyst or expert for scoring from 1 to 10 (as in the RPN method), for the various factors being

examined. Although this simplifies the calculation, the probability is converted to another score system and the multiplication of factors is believed to cause problems. There are different relationships as either linear or nonlinear between probabilities and factors (Balaraju, et al., 2019).

A Fuzzy FMEA approach was utilized in this paper to overcome the weaknesses associated with the traditional RPN ranking system. As a proper guideline, the proposed method has been inspired by the Figure 1 in Balaraju, et al. (2019). To this end, risks are then prioritised and ranked based on a multi-criteria decision analysis and fuzzy reasoning method. Appropriate strategies for risk response are then considered as solutions to mitigate the impact of each risk in phase three. A new objective-based index is defined based on the main criteria of the construction projects and used in this phase to prioritise the analysing strategies in this phase. The methodology is demonstrated through a real-world case study in Iran as a developing country. The steps taken at each phase is described below in further details.

Figure 1. The methodology flowchart

This step comprises two parts: (1) risk identification and (2) risk classification. Potential

#### 3.1 Risk identification and classification

hazards and related risk events are first identified through various resources including interview with individuals involved in LSF construction projects and literature review of previous works (Luo, 2015). The interview is worthwhile because it can reveal new potential risks that have yet to be identified or analysed by researchers. The individuals participating in the interview could be from a wide range of expertise and different roles such as designers, workers, owners, engineers, residents and employers.

Risk classification is mainly used to compare the significance of the risk events in the classes sharing the same characteristics. Hence, identified risks in LSF system are classified here under three major categories with respect to: (1) PLC i.e. design, construction and operation; (2) main project objectives including cost, time, quality, safety and environmental sustainability; and (3) project stakeholders including clients, designers, contractors, government bodies and external issues (Zou and Zhang, 2014, Zou, et al., 2007). Expert judgment is used here to identify the class of each identified risk through a questionnaire based on the greatest number of votes

#### 3.2 Risk assessment

received for each class.

This phase entails two main steps for risk analysis and prioritisation of the identified risks. The FMEA technique and the FST method are adopted here to analyse qualitative expert's judgements and convert them to risk factors (Ahmadi, et al. (2017). This technique quantifies each risk with three main components including Control Number (CN) or the control ability of the project team to handling the risk, Probability of occurrence (P) and Consequence (C) of occurring corresponding hazard (risk magnitude) on the project criteria or objectives. In fact, the risk magnitude calculates severity of consequence for five project's subcomponents entailing cost ( $C_c$ ), quality ( $C_q$ ), time ( $C_t$ ), safety ( $C_s$ ) and environment ( $C_e$ ). Hence, Risk Criticality Number (RCN) in the FMEA method is defined as (hereafter fuzzy numbers are shown with and crisp (real) values are simple):

$$R\widehat{C}N = \widehat{P} \times \widehat{C} \times C\widehat{N} \tag{1}$$

Thus, this model not only considers probability and impact of the risks but also involves the ability to control the risk and provides a comprehensive risk index for evaluation process. It should be noted that the CN index, indicating the ability of identifying or controlling the risk is performed in reverse; in other words, the higher risk control, the less severity it would have on the effect of risk and so smaller CV. Cost, quality and time are three common objectives in the construction industry but safety and environmental factors are added here due to their importance within the sustainability framework of development. Hence, the overall risk consequence ( $\hat{C}$ ) is calculated by using a weighted combination (i.e., related weights) of the above objectives:

 $\widehat{C} = \widehat{C}_q \times W_q + \widehat{C}_t \times W_t + \widehat{C}_c \times W_c + \widehat{C}_s \times W_s + \widehat{C}_e \times W_e$  (2)

To calculate the fuzzy number components of the  $R\widehat{C}N$  with FST and FMEA methods, the following steps are needed which are described in more detail.

#### **Step 1: Definition of linguistic terms**

The same approach as Ahmadi, et al. (2017) is utilised for definition of qualitative factors or linguistic terms here. Linguistic terms for pairwise comparison of the criteria's weight are strongly more, more, equal, less and strongly less with triangular MF and for  $\hat{C}_q$ ,  $\hat{C}_c$ 

#### Step 2: Determination of criteria's relative weights

- 353 Risk consequence related to five criteria including cost, quality, time, safety and environmental 354 issues and the relative weight of these criteria  $(W_c, W_q, W_t, W_s \text{ and } W_e)$  are obtained with ten pairwise qualitive comparison of criteria relative preferences (i.e., cost vs time, cost vs quality, 355 356 cost vs safety, cost vs environmental issues, time vs quality, time vs safety, time vs 357 environmental issues, quality vs safety, quality vs environmental issues, safety vs 358 environmental issues). The final criteria relative weights are obtained by implementation of 359 fuzzy AHP technique which enables a pairwise comparison between these criteria by using 360 linguistic terms. Finally, relative weight of each criterion will be used to acquire a single
- severity of consequence  $(\hat{C})$  for each risk event based on equation (2).

## **Step 3: Applying relative weight of experts**

- Determination of respondents' score or weight usually is a part of risk analysis. To this end,
- 364 respondents were ranked and weighted here based on their professional experience (from less
- 365 than 5 years to over 30 years), job position (from simple worker to employer), and educational
- level (from elementary education to PhD). For each item, respondents could earn 1 to 5 score.
- 367 So, for each person, final score ranges between 3 to 15. The relative weight of each expert is
- 368 calculated by dividing the absolute weight of the expert by sum of absolute weights of all
- 369 experts.

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- 370 The expert chosen linguistic terms of  $\hat{C}_q$ ,  $\hat{C}_t$ ,  $\hat{C}_c$ ,  $\hat{C}_s$ ,  $\hat{C}_e$ , CN,  $\hat{P}$  and pairwise comparison of
- 371 criteria (through questionnaire survey) are multiplied into the expert relative weight then by
- combining the expert judgments with  $\alpha$  cut method into a single fuzzy number, the final MF
- of each component is obtained.

#### 374 Step 4: Prioritising the risk events

- To calculate  $R\widehat{C}N$ , a single fuzzy number for severity of consequence  $(\widehat{C})$  is calculated from
- and equation 2 using  $\alpha cut$  method in the first step. Then, a single fuzzy number for probability
- of occurrence  $(\hat{P})$  and control ability (CN) is also obtained by incorporating the fuzzy numbers
- of experts' judgements. The fuzzy number of *RCN* is calculated by fuzzy multiplying of these
- 379 three fuzzy numbers using  $\alpha$ -cut method through equation (1). The risks are ranked in
- accordance with their crisp values of  $R\widehat{CN}$  in doing so important risks have greater RCN.

### 381 3.3 Risk responding and validation of responses

- Following consecutive steps lead to suitable responses for a risk event considering the risk
- 383 response strategy. Also, a case-based validation scheme for evaluating the reliability and
- accuracy of the responses is suggested.

#### **Step 1: Identifying possible risk solutions**

- Risk solutions, as open-ended form questionnaire the same as Dosumu and Aigbavboa (2018),
- are questioned from experts for each risk. Hence a list of risk solutions is provided for each
- 388 one.

- 389 Step 2: Calculating the risk response strategy
- 390 Abdelgawad and Fayek (2010) proposed risk response strategy selection based on RCN value
- and Ahmadi, et al. (2017) modified this method considering RF and CN. The proposed method
- here as shown in Figure 2 considers crisp values of RCN, CN and RF in which the risk action
- is classified under four ranges: **Range 1**: risk acceptance; **Range 2**: risk transference; **Range**
- 394 **3**: risk mitigation / risk avoidance; **Range 4**: risk mitigation / risk avoidance / risk transference.
- The ranges are specified based on three crisp limits i.e.,  $L_1$ ,  $L_2$  and  $L_3$  which are indicator of RF,
- 396 CN and RCN decision limits. To this end, the fuzzy number RF is calculated with  $\alpha$  cut
- 397 method as:

$$RF = P \times C \tag{3}$$

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- 400 By defuzzification of RF, two states i.e., RCN-based state and CN-based state are generated
- in the response strategy chart shown in Figure 2.
- 402 If the RF is lower than  $L_1$ , the crisp value of RCN (without consideration of CN) determines
- 403 the response strategy hence if RCN would be greater than  $L_3$ , the risk assigns and the
- 404 transference strategy is suggested else the risk can be accepted. In other words, risk transference
- is used for range 2 in our proposed method instead of acceptance in comparison to Ahmadi, et
- al. (2017) and it means that the risks with low control ability (high value of CN) should be
- 407 transferred (Ashley, et al., 2006). Note that the risks located in range 2 have small value of RF
- and high value of *RCN* due to high value of *CN*. For the risks that have *RF* value greater than
- 409  $L_I$  or located in CN-based state, the risks are assigned to each range based on CN and the RCN
- 410 is not considered.
- 411 For justification about other ranges reader is referred to Abdelgawad and Fayek (2010). We
- suggested 30% of maximum value of MF for  $L_1$  then the average of CN for the risks with RF
- values greater than  $L_1$  is considered as  $L_2$ . In other words, the predefined MF of P and C are
- within the range of 0 to 10 in this paper so the RF value would be within 0 and 100. With this
- aim,  $L_I$  is equivalent to 30 and the average of CNs for the risks that have RF value greater than
- 416 30 are considered as  $L_2$ . The value of  $L_3$  is approximated based on risks located near the point

 $(L_1,L_2)$  providing that the values of *RCN* are alleviated toward centre (for details see Figure 2 in Ahmadi, et al. (2017)).

Figure 2. selection the risk response strategy based on RCN, CN and RF

#### **Step 3: Validation of risk responses**

- To establish the reliability of the survey, Choudhry and Iqbal (2013), Oduyemi, et al. (2016) and Forcael, et al. (2018) used statistical methods such as correlation between results and hypothesis test and acceptable range for the results is mathematically calculated in this manner. In a different manner, Wang, et al. (2004) and Dey (2012) validated their methods based on expert judgment about research findings. If we want to use statistical or mathematical methods in the results validation, the risk evaluation should be also done based on them. Because the risk evaluation is performed based on expert judgment, validation of results once again by experts would not be a scientific manner and will be intensively influenced by respondent responses. Hence, we avoid of using of these methods for validation of responses.
- In this paper, the Scope Expected Deviation (*SED*) index proposed by Seyedhoseini, et al. (2009) as shown in equation (4) is used in order to validate the responses. The *SED* index will be used in the case that we have only one project and all the scopes are defined and information about time, quality and cost of the project are needed. For this purpose, the procedure should be performed in a pilot LSF project the same as Asadi, et al. (2018).

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$$SED = W_q \times \frac{Q_0 - Q}{Q_0} + W_t \times \frac{T - T_0}{T_0} + W_c \times \frac{C - C_0}{C_0}$$
 (4)

In the above formula, the zero index in quality, time and cost ( $Q_0$ ,  $T_0$ ,  $C_0$ ) means the aim of the project while the ultimate state of quality, time and cost are shown with Q, T and C respectively. Seyedhoseini, et al. (2009) suggested drawing of WBS, Quality Breakdown Structure (QBS) and Cost Breakdown Structure (CBS) and determination of final time, quality (equal to 1) and cost based on these charts. The final value of cost, time and quality (or specification of project outputs) have been broken down hierarchically to lower levels based on expert judgment or Delphi method. To calculate the project quality, reaching to project specifications is measured and the final quality is obtained by summation of independent items' quality and production of dependent ones.

After calculation of the effect on each risk action on these three criteria, the SED index is

obtained. If the suggested solutions generate negative value of RCN, the results will be

validated and vice versa. For more description the reader is referred to Seyedhoseini, et al. (2009).

It should be noted that it is possible to include safety and environmental issues in the *SED* index but there is not a simple and practical manner for determination of these factors after and before the risk solutions. To this end, for each risk the *SED* is calculated for all suggestive solutions that coordinated to risk response strategy. If minimum value of *SED* among suggestive solutions is negative for all the risks, the validity of the results is confirmed. Should we offer a proper justification or recommendation for solutions with positive *SED*, the results can still be accepted otherwise some necessity actions should be suggested for them.

As shown in Figure 1, the questionnaire is used for gathering the expert's views. The structure of the questionnaires given to each respondent is shown in Table 1. Risk consequence is related in this research to five criteria including cost, quality, time, safety and environmental issues and the first questionnaire (first row of Table 1) is related to preference of cost, quality, time, safety and environmental issues ( $W_c$ ,  $W_q$ ,  $W_t$ ,  $W_s$  and  $W_e$ ). So, this questionnaire consists of ten questions about pairwise comparison of criteria's preferences and finally provides a relative weight for each criterion. The questionnaire is also comprised nine other sections (second to tenth row of Table 1) to identify three main components such as  $C, CN, \hat{P}$  and five subcomponents including  $\hat{C}_q$ ,  $\hat{C}_t$ ,  $\hat{C}_c$ ,  $\hat{C}_s$  and  $\hat{C}_e$ . If N risks were identified through risk identification process, N+I sets of questionnaires are given to each respondent. The first one in this set has only Questionnaire No. 1 but other sets include the Questionnaires No. 2, 3,...,9 and 10 for each risk. Additionally, risk class and risk solution, as open-ended form (ninth and tenth rows of Table 1) the same as Dosumu and Aigbavboa (2018), are questioned from experts.

Table 1. The structure of the questionnaire

#### 4 Results and discussion

LSF buildings in the design, construction and operation phase is applied as a case study in this research in two main cities of Iran, as a developing country i.e., Tehran and Mashhad. The data for identifying the key risks was collected through a 3-months face to face interview with engineers, designers, residents, employers and other related persons to designing, construction and operation phase of LSF system. Overall, through information collected from interviews and a comprehensive reviewing of literature, 29 risks (*N*=29) are extracted. In the next step, 30

questionnaires as defined in Table 1, was sent by hand or through an email to ask people's opinion and among them, 132 persons (representing about 60 percent of the sample frame) filled and returned the questionnaires. These 132 interviewees earned 58 % of total scores of respondents' weight considering professional experience, job position and educational level.

#### 4.1 Identified risks

Table 2 lists the identified risks and other characteristics of each one (described in the following sections). Among them, DAC (Barnard, 2011, Celik and Kamali, 2018), RWF (Darcy and Mahendran, 2008, Yu, 2016), DEB (Khalifa, et al., 2020) and IG (Zeynalian, et al., 2013) were extracted from literatures. Note that we merged similar risk suggested by interviewees as one risk, for example different problems related to façade of LSF buildings were stated by some people and we represented all these issues as DFI risk.

Table 2. Calculating the model's components for identified risk in the ascending order of *RCN* 

## 4.2 Classification of identified risks

The second column of Table 2 shows the classification of each risk based on PLC, project objective and stakeholders respectively. For better illustration, association of risks in PLC with stakeholders and objective shown in Figure 3 with two fishbone diagrams. It is concluded that the share of risks in design, construction and operation steps are 21%, 31% and 48%, respectively. This finding is partly in line with Zou, et al. (2007), Bari, et al. (2012), Mehdizadeh, et al. (2012), Goh, et al. (2013) and Forcael, et al. (2018), which showed more risks are related to construction than designing in the construction industry. But technically, they did not consider operation because they thought operation risks have root in designing or construction. On the other hand, albeit Zou and Zhang (2014) had considered operation phase in their model, they identified more risks in construction than other phases in high-rise building projects.

Figure 3. The fishbone diagram in accordance to stake-holders vs PLC (first panel) and objectives vs PLC (second panel)

Although we only located each risk in one class based on experts' opinion, the classification results were mostly the same with other research. For example, locating of LPS in safety category (Zou, et al., 2007), LSC in designing (Mehdizadeh, et al., 2012), IW in construction

or construction (Zou and Zhang, 2014), VMP in external issues (Forcael, et al., 2018), VMP and FIM in safety (Lu, et al., 2018) are some instances. Some inconsistencies have also been shown in classification in contrast to other researches. Forcael, et al. (2018) assigned hazardous conditions to contractors but FIM is located in external issues class based on our experts. Several reasons such as not carrying out fire preventing actions, using of arsonist materials in building construction and lack of firefighting equipment in workshop during construction phase can be expected on the occurrence of ignition in construction projects. In contrast to these contractor-related factors, some external reasons like wind, thunderbolt and electrocution could be mentioned for firing's cause and our experts classified FIM into second group.

The majority of contractor's risks are related to construction (Zou and Zhang, 2014) but our

The majority of contractor's risks are related to construction (Zou and Zhang, 2014) but our experts ascribed these to both construction and operation phases. It means that if the contractor incorrectly performs the construction process, this might cause defects in operation phase. For example, DSW or hearing some annoying noises from LSF walls could be caused due to wrong installation of studs in construction phase. But it will be usually discovered in thermal expansion and contraction conditions (i.e., studs' length may be reduced or augmented because of expansion and contraction) after installing gypsum board and painting.

Liu, et al. (2016) stated that lack of labour's experience effects on project quality, but our finding showed that the UEGC risk impacts on the cost of project. This risk derived from labour's mistake causes rework in project, so excess time and cost (time or cost overrun) are needed and the effect of cost is greater than time based on our experts.

#### 4.3 Determination of the risk response strategy

The risk response range is illustrated in last column of Table 1 based on the RCN, RF and CN described in the third, fourth and fifth columns of Table 2. To calculate the limits based on considered MF,  $L_1$  is equivalent to 30 and  $L_2$  is obtained equal to 3.59 (average of CNs for the risks that have RF value greater than 30). Based on the risks located near the point (30, 3.59) the value of  $L_3$  is approximated to 149. The risks in each range and their classification in terms of PLC (design with triangular, construction with circle and operation with square shape) are shown in Figure 4.

Figure 4. Assignment of risk response range in term of design (triangular), construction (circle) and operation (square) phase

We can conclude that only 15% of the risks can be accepted based on the proposed method and the solutions for other risks should be defined considering suitable response strategy. Among

non-accepted risks, only 3 risks including LDBH, WCP and DEB are located in range 2 in which there are low probability of occurrence, risk impact and control ability.

Dealing with these risks, we have not several solutions for controlling them. Our model suggested transferring strategy instead of acceptance for these risks. For instance, should we want to construct a high rise building with LSF system (LDBH), Ahmadi, et al. (2017)'s model accepts this risk and has any solution. Combination of shear wall with LSF system is a good and feasible choice and may be considered as a transfer strategy. Although Franklin, et al. (2020) stated that overdesigning could occur in combination of other structure systems with LSF, using of the LSF system can reduce danger of earthquake for some seismic regions. Construction of LSF combined with shear wall for a 7 story school is shown in left panel of Figure 5. Yu (2016) suggested panelization or assembling the components of the LSF in a controlled manufacturing environment, and this system as shown in right panel of Figure 5 fits very well in high-rise buildings.

Figure 5. Left panel: Combination of LSF system with shear wall in a 7-story school. Right panel: panelization in LSF buildings (Yu, 2016)

If high-rise buildings were executed with LSF system, the construction industry in developing countries would enable to reach a rapid expansion and as mentioned by Fallah (2005) and Celik and Kamali (2018) a recyclable construction system with a lot of positive environmental impacts regarding its sustainability, refurbishment, recyclables and reusability issues. For a specific detail, Celik and Kamali (2018) mentioned minimum rework, waste and preparation work in running piping and electrical wiring in LSF system. Hence, this risk has a constructive impact on the environmental sustainability and this is the main reason of categorizing this risk and FUS in environmental sustainability group by our experts. In other words, if people's perception and feeling of unreliability of LSF structure is reduced, an environmentally friendly system with many environmental benefits will expand.

## 4.4 Discussion on the risks' magnitude

After applying the model on the data obtained from the interviews and questionnaires, computational indexes for each risk were calculated. Risks are sorted by RCN in third column of Table 2. The objectives' weights were assigned equal to  $W_q = 0.14$ ,  $W_c = 0.4$ ,  $W_t = 0.22$ ,  $W_s = 0.13$  and  $W_e = 0.11$  by experts' judgment and fuzzy AHP technique from pairwise comparisons of the criteria (results are not shown) and these weights are the same for all the

risks. As an example, the single fuzzy numbers related to the five criteria  $(\hat{C}_t, \hat{C}_s, \hat{C}_q, \hat{C}_c)$  and  $(\hat{C}_t, \hat{C}_s, \hat{C}_q, \hat{C}_c)$  for consequence of the risk event of IWO is shown in Figure 6 respectively.

Figure 6. Fuzzy numbers for consequence of the risk event of IWO related to  $\hat{C}_t$ ,  $\hat{C}_s$ ,  $\hat{C}_q$ ,  $\hat{C}_c$  and  $\hat{C}_e$  respectively

Having incorporated these fuzzy numbers  $(\hat{C}_t, \hat{C}_s, \hat{C}_q, \hat{C}_c \text{ and } \hat{C}_e)$ , the single fuzzy number C is then obtained for each risk event by equation (2). The combination of these five criteria using relative weights and the  $\alpha$ -cut method yields C shown in the first panel of Figure 7 for IWO. This fuzzy number  $R\hat{C}N$  shown in the fourth panel of Figure 7 is resulted by the fuzzy multiplication of C, CN and P based on equation (1). These fuzzy values (CN) and P are also calculated by combining the experts' judgement for this risk event shown in second and third panels of Figures 7. Also, the fuzzy number of RF based on equation (3) is shown in the last panel of Figure 7.

Figure 7. Fuzzy numbers for  $\hat{C}$ , CN,  $\hat{P}$ ,  $R\hat{C}N$  and RF for IWO

To check the reliability of risk's ranking, a comparative analysis on the risk importance, risk response strategy and risk solutions is performed with other works discussed the same risk as us. Since the question on the solution to the risks was open-ended i.e., the respondents were required to mention and explain their opinions, for the sake of brevity all the solution's results were not reported and principal items have been briefly discussed. It is worth mentioning that some unimportant risks in this research like LSC, DAC, RWF and NGP are region-sensitive and if a similar research is done in a different country, the risk's rank may be changed. For example, the danger of corrosion (DAC) in most of the provinces in Iran is low or designer usually consider lowest possible wind speed in construction design in Iran. So, this could be the possible reason why the respondents did not consider DAC and RWF as highly ranked risks. **DFI:** The DFI relates to dry façade in LSF buildings is shown in Figure 8. Two unbearable

**DFI:** The DFI relates to dry façade in LSF buildings is shown in Figure 8. Two unbearable problems relating to dry facades were extracted from expert's suggestions. The first which has low importance is occurred in striking some heavy things like stone to dry facades (right panel

in figure 8) but the second risk that also has high probability in Zeynalian, et al. (2013) means that the dry façade destructs during the time because of bad construction or insulation (left panel in Figure 8) because of penetration of rain water into the building facades.

Figure 8. Dry facades problems in LSF system: right panel: striking some heavy things to dry facades. Left

panel: bad performance of construction or insulation

A solution for that is to use movable roof for the purpose of preventing against raining. Of course this is too expensive, and using of insulated material such as sarking materials (Barnard, 2011) can be more feasible. Other remedies suggested by Soares, et al. (2017) are using two membrane layers and using external wind-tightness layer for avoiding moisture. Yu (2016) opined that failure in workmanship of facades caused this risk. They proposed offsite construction and prefabrication as a transferring strategy. Also, a feasible and optimized solution called white cement facades, discussed in validation section, was suggested by one expert.

**IWO**: Among all risks related to LSF system identified in the current research, IWO is the one with higher priority. Most of individuals interviewed have declared that the most important problem of dry-wall systems is the impossibility of installing heavy objects on the walls. As shown in Figure 9, there are many solutions to this issue including use ribbed plastic anchor, self-drilling anchor, toggle bolts, molly bolts and marking the place of studs on walls or finding the studs placement. In addition, walls with double boards have more capacity for installing heavier objects (Veljkovic and Johansson, 2006). LSK (2007) has also suggested some useful guidance about screw, pin, clinch and rivet in LSF walls.

Figure 9. a: ribbed plastic anchor, b: self-drilling anchor, c: toggle bolts, d: molly bolts and e: marking the place of studs

Despite these solutions, designers, engineers and clients have a negative attitude to dry-wall system among respondents specially because of comparing to masonry systems; in other words, there is a relationship between this risk and FUS; however, possibly people's awareness to dry-wall system can be very effective in their belief.

#### 4.5 Comparing average of RCN for each class

Figure 10 draws a comparison between the risks of the PLC, project objective, project stakeholders and risk response range classes based on average acquired *RCN*. Results show that construction, cost, contractor and range 4 have higher importance among other classes.

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Figure 10. The average *RCN* of the risks in the PLC, project objective, project stakeholders and risk response range classes

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Based on the ranges of the PLC risks, those belonging the higher RCN i.e. range 4 seems rational. On the other hand, we can conclude from Figure 10 that the importance of construction and operation risks have higher than that in design risks. It should be noted that literature has different findings for the level of importance of the relevant risks that can be either in line or against the finding in this study. For example, Wang, et al. (2018) showed the most significant risks based on Pareto principle are those related to the operation phase while Mehdizadeh, et al. (2012) showed that risks associated with the construction phase are more important than the design risks in construction projects. Contradictory findings have also been reported for prefabricated buildings in which the design risks have the greatest impact on the final performance of the system (Yuan, et al., 2020). These various findings can be due to the several reasons such as construction methods, the risk analysis model entailing meta network analysis, grounded theory, analytic network process (ANP), the linear weighted sum method and structured self-intersection matrix that might have been effective in these conclusions. Some other related justifications and discussions can be found in Xiahou, et al. (2018) and Lu, et al. (2018).

667 (2018).

- The cost and quality are the most important objectives in this model and time has the minimum
- average of RCN. But Zou and Zhang (2014) consider cost and time as important risk's group.
- Having higher speed of construction procedure in LSF buildings than conventional buildings
- can justify this contradiction so the time-related risks have lower important. Zeynalian, et al.
- 672 (2013) stated that fabrication and installation of LSF components in the factory could enhance
- the control ability of time and quality related risks.

## 674 4.6 Effect of CN in the risk evaluation

- Only do conventional methods consider probability and impact of the risk in determination of
- 676 risk magnitude while control ability is also considered in determination of risks' rank here.
- 677 Intending to discard CN in risk evaluation, we can evaluate risks based on RF. The risk rank
- based on RCN, RF and absolute difference between them are shown in Figure 11.

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682	We can conclude that the maximum difference in risks' rank is appeared in risks with low or
683	high value of CN. For example, the WFD and IWO risks are the highest important risks based
684	on RF and RCN. The low value of RCN for WFD shows that this risk could be controlled easily
685	in contrast to IWO. Considering CN in risk evaluation is more rational than discarding this
686	index because clients deal with IWO risk more than WFD based on our observation and it is
687	more suitable as a high ranked risk.
688	Another important finding from Figure 11 is related to FUS, having maximum value of
689	difference. Although this risk has a higher importance without consideration of CN, the high
690	ability of controlling this risk makes it as a 15th important risk. It could be concluded that by
691	introducing LSF risks and managing them, the main objective of this study, we can reduce the
692	impact of FUS especially in developing countries.
693	4.7 A pilot study for results' Validation
694	The methodology was illustrated and validated through its application to the pilot case study
695	once the Fuzzy FMEA model was developed for the identified risks entailing evaluation and
696	responding procedure. The following approach with the aim of the SED criteria was applied to
697	validate the solutions for each risk.
698	A pilot study comprising a two-story LSF residential building in Iran with 220 m² built-up area
699	in design phase with stick's construction method (Yu, 2016) was considered. The WBS, CBS
700	and QBS of this building was designed by the project team brainstorming the construction
701	process suggested by Barnard (2011) and Eren (2013) based on ten phases including Ph1:
702	casting the concrete slab, Ph2: runner and stud's erection, Ph3: screw fixing, Ph4: roof erection,
703	Ph5: Insulation and weatherproofing, Ph6: plumbing and electrical services, Ph7: gypsum
704	board attaching, Ph8: doors and windows installation, Ph9: façade's installation and coating
705	and Ph10: painting. The SED calculations in the above project phases are shown in the first
706	row of Table 3. Note that the final quality (the value of quality in for SED computation) in the
707	planning state was assumed equal to 1.
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709	Table 3. SED calculations in project phases for target state, UEMLMC and FRP response solutions
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Figure 11. The risk rank based on RCN, RF and absolute difference between them

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The WBS chart of the project tasks are shown in Figure 12. The implication of each risk

solution(s) based on SED was calculated for each phase and the final values of cost, time and

quality were determined. Note that the modified values of objective's weights without

consideration of safety and environmental issues based on pairwise fuzzy calculation are  $W_t$ =0.24,  $W_c$ =0.42 and  $W_q$ =0.34. The results show that increasing in the *SED* was shown only in one risk. Only two important cases are reported here for brevity.

Figure 12. WBS chart of the project's tasks

DFI obtained minimum value of *SED* among all the risks. After removing improper responses to risk strategies, the best solution for this risk (has minimum value of *SED*) is 'using expanded metal lath with white mortar cement (UEMLMC)' instead of dry façade as shown in Figure 13. To use this solution, the expanded metal lath should be screwed to studs and runners (panel (a) and (b) in Figure 13) and then white (or other colours) mortar cement covers all the metal lath and thus a flat white surface will be obtained (panel (c) in Figure 13).

Figure 13. Panel (a) and (b): Screwing expanded metal lath on studs and runners. Panel (c): Covering white mortar cement on the metal lath

This solution reduces the façade's cost from \$3,000 to \$1,900 and has no change in the project time because of being parallel with other tasks (based on Figure 12, this task has 6 days buffer). A part of the project QBS is shown in Figure 14 and the quality of the project specification based on the project team opinion is specified without and with consideration of suggested solution (final quality for second condition is  $(0.4 + 0.05 + 0.2) \times 0.4 = 0.26$ ). The *SED* calculations for the project phases considering UEMLMC response solution for the risk event DFI is shown in the second row of Table 3.

Figure 14. A part of QBS (red values indicate quality with consideration of suggested solution)

Despite different solutions mentioned by several authors and our experts for FIM (Jatheeshan and Mahendran, 2015, Veljkovic and Johansson, 2006), the *SED* values for all these solutions are positive. Among the suggested solutions, fire-resistant plasterboard (FRP) was chosen as the best one with minimum value of *SED* for this risk. Fire resistant gypsum boards are 60% more expensive than normal ones that have effects on project time and quality so the *SED* is nearly equal to 1%.

nearly equal to 1%.
The high value of A

The high value of *RCN* for FIM implies that an applicable preparation should be considered for this risk, but *SED* has no recommendation for a proper solution. The main reason for this

- inconsistency can be referred to the exclusion of safety in SED calculation as a criterion. In
- other words, the main effect of FRP is on the project safety instead of quality, time and cost.
- 750 Calculation of SED based on safety can be considered for future researches. The SED related
- 751 calculations in terms of project's phases considering FRP response solution for the risk event
- 752 FIM is shown in the third row of Table 3.
- Applying the proposed method on the above real case revealed that the selected solutions
- generally can obtain remarkable validations based on the expert judgments. However, the
- solutions may need some modifications and justifications in some complicated risks hence
- considering experts' opinion is highly recommended after implementation of this method.

## 757 4.8 The key findings of the study

- 758 In this subsection, the findings of this paper are summarised as some practical guidelines. They
- might be useful in other related cases and problems to prevent any adverse outcomes of the
- risks. The following key findings can be noted from the application of the methodology in the
- 761 paper:
- Based on the PLC classification, the risks in the operation phase are larger than those
- in the design and construction phases.
- Only 15% of the identified risks in the construction projects could be accepted.
- Due to being an environmentally friendly system, decreasing people's unreliable
- feelings to the LSF system causes expansion of the system and then several
- 767 environmental benefits.
- Among non-accepted risks, 3 risks including cracks in the walls, limitation in designing
- of high-rise buildings and danger of explosion and blast have low probability of
- occurrence and risk impact, but control ability against them is very limited. Hence,
- transferring strategy is a reasonable choice for dealing with these risks.
- Some of the identified risks relating to the average temperature, corrosion and wind
- force in this study are specific to the region and country of the case study and hence
- different results may be obtained in other countries.
- Implementation of dry façade and dry walls may have major challenges and need
- principal considerations and modifications in the design phase.
- Construction and operation risks have higher importance than design risks based on the
- average obtained *RCN*.
- Investigation of the safety as a criterion in the risk management process can give more
- acceptable results.

## **Conclusions**

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- 782 This paper contributes to the body of knowledge of risk management implementation in the 783 LSF systems by using the Fuzzy FMEA approach. Risk management main process entailing 784 identification, evaluation and response was applied to the design, construction, and operation 785 steps of the LSF system in a pilot study in Iran as a developing country. 29 important risks 786 were extracted through interviewing with people related to the LSF system. The proposed 787 Fuzzy FMEA model considered five criteria entailing cost, quality, time, safety and 788 environmental issues and determined risk magnitude based on three components comprising 789 the control ability of the project team to handling the risk, probability of occurrence and 790 consequence on the project criteria.
- Results revealed that the share of risks in design, construction and operation steps are 21%,
- 792 31% and 48% respectively and the construction and operation risks have higher importance
- than design risks. Also, the cost and quality are the most important criteria in this model
- according to average of risk magnitude. Using Multiple-Criteria Decision Making (MCDM)
- under Hesitant fuzzy sets is recommended for identification and risk analysis of sustainable
- building projects in future works.

## Data Availability Statement

- 798 Some or all data including the questionnaire information, fuzzy computations and etc. that
- support the findings of this study are available from the corresponding author upon reasonable
- 800 request.

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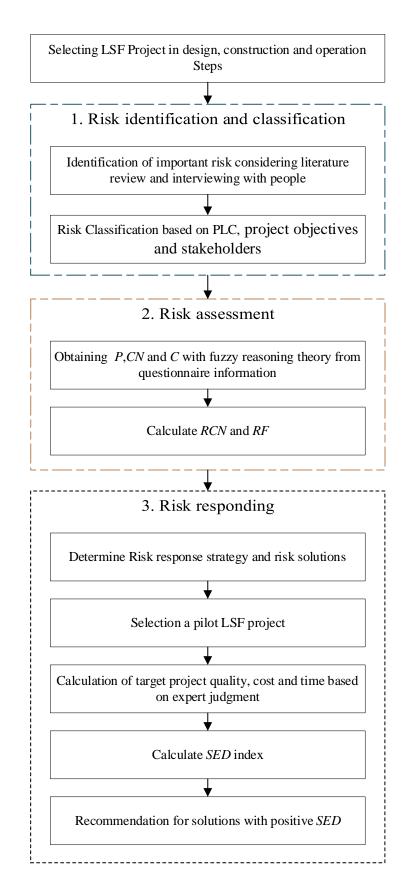


Figure 15. The methodology flowchart

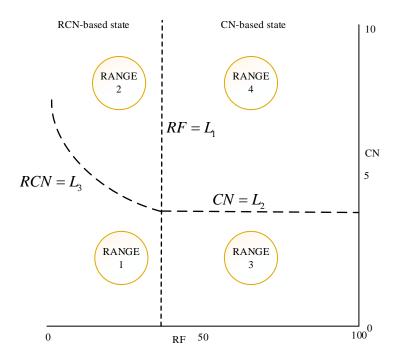


Figure 16. Selection the risk response strategy based on RCN, CN and RF

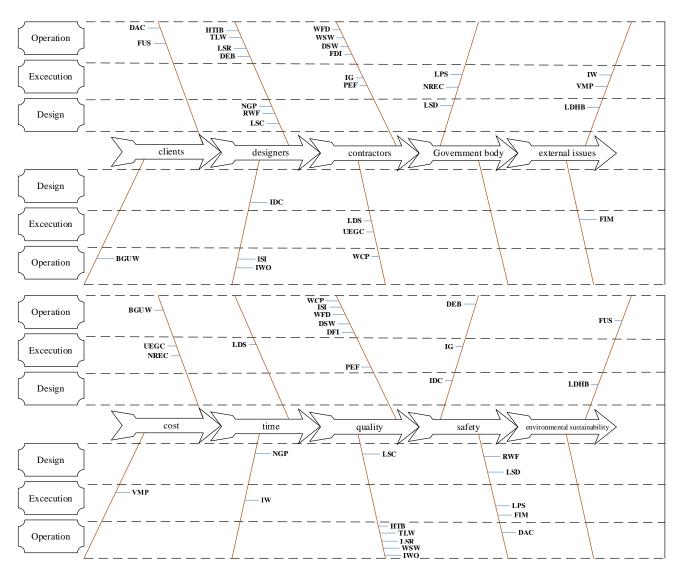


Figure 17: The fishbone diagram in accordance to stakeholder vs PLC (first panel) and objectives vs PLC (second panel

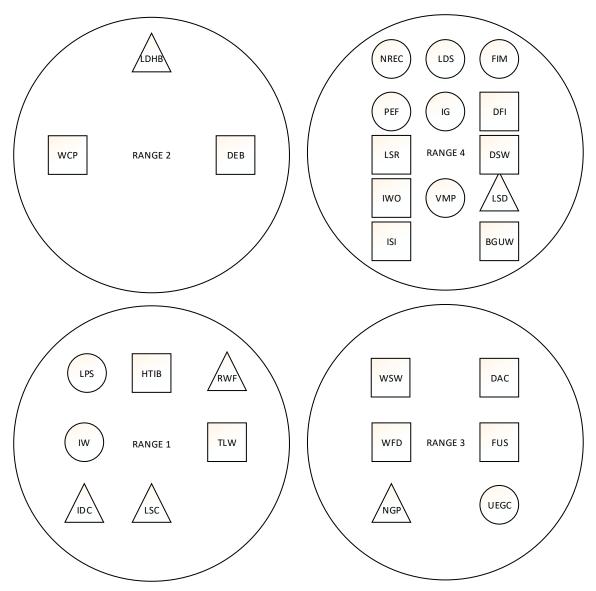


Figure 18. Assignment of risk response range in term of design (triangular), construction (circle) and operation (square) phase



Figure 19. Left panel: Combination of LSF system with shear wall in a 7-story school. Right panel: panelization in LSF buildings (Yu, 2016)

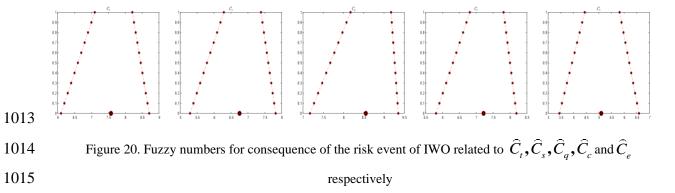


Figure 21. Fuzzy numbers of  $\hat{C}$ ,  $\hat{CN}$ ,  $\hat{P}$ ,  $\hat{RCN}$  and RF for IWO



Figure 22. Dry facades problems in LSF system: right panel: striking some heavy things to dry facades. Left panel: bad performance of construction or insulation



Figure 23. a: ribbed plastic anchor, b: self-drilling anchor, c: toggle bolts, d: molly bolts and e: marking the place of studs

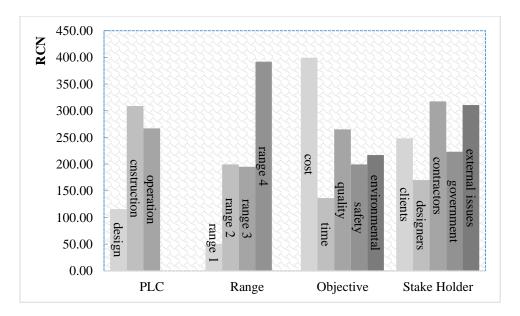


Figure 24. The average *RCN* of the risks in the PLC, project objective, project stakeholders and risk response range classes

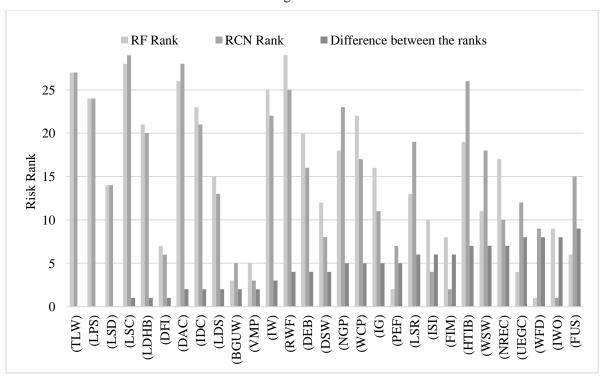


Figure 25. The risk rank based on RCN, RF and absolute difference between them

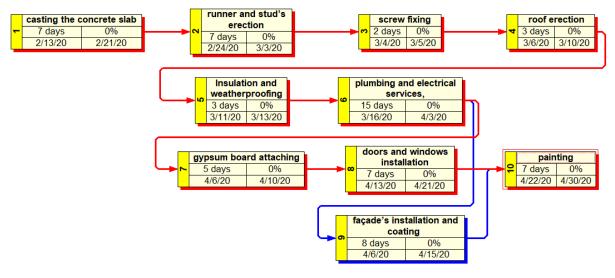


Figure 26. WBS chart of the project's tasks

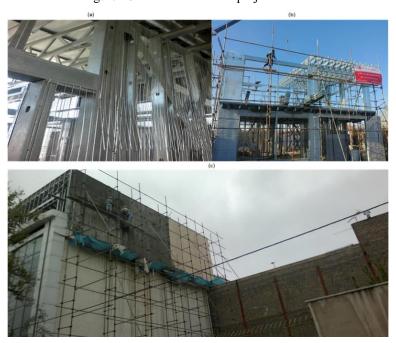


Figure 27. Panel (a) and (b): Screwing expanded metal lath on studs and runners. Panel (c): Covering white mortar cement on the metal lath

## façade's installation and coating

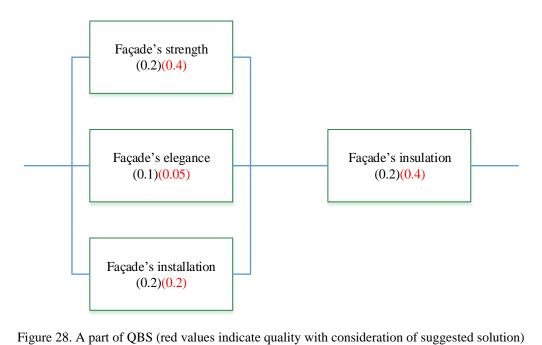


Table 4. The structure of the questionnaire

Questionnaire No.	Question	Model parameter	Status		
2	The cost, time, quality, safety and environmental issues preference of the LSF projects relative to each other  The probability of occurrence of risk No	$w_c$ , $w_q$ , $w_t$ , $w_s$ and $w_e$	only one questionnaire for all risks replicate for each risk		
3-7	The severity of consequence of risk No on cost, time, quality, safety and environmental issues	$C_c$ , $C_t$ , $C_q$ , $C_s$ and $C_e$	replicate for each risk		
8	The project team control rate for risk No	CN	replicate for each risk		
9	What class do you suggest for of risk No?	-	replicate for each risk		
10	What solution(s) do you suggest for of risk No?	-	replicate for each risk		

Table 5. Calculating the model's components for identified risk in the ascending order of RCN

Risk (Acronyms)	Risk Class	RCN	RF	CN	Risk Response Range	
Lack of space predicted for desert cooler/ Air conditioner (LSC)	Designing/quality/designers	29.61	9.87	3	1	
Durability against corrosion (DAC)	Operation/safety/clients	31.752	13.23	2.4	1	
Thickness of load bearing walls (TLW)	Operation/quality/designers	33.696	12.96	2.6	1	
High temperature inside the building (HTIB)	Operation/quality/designers	37.584	31.32	1.2	3	
Resistance to wind force (RWF)	Designing/safety/designers	37.884	9.02	4.2	1	
lack of the professional supervision (LPS)	Construction/safety/government bodies	50.505	13.65	3.7	1	
No space predicted for gas pipelines (NGP)	Designing/time/designers	52.864	33.04	1.6	3	
Impermanent workforces (IW)	Construction/time/external issues	57.276	13.32	4.3	1	
Incompatibility in design and construction of joints (IDC)	Designing/safety/designers	114.66	14.7	7.8	1	
The limitation in designing of high-rise buildings (LDHB)	Designing/environmental sustainability/external issues	176.832	28.8	6.14	2	
Lack of space for roof stairs (LSR)	Operation/quality/designer	178.704	49.64	3.6	4	
Weak sealing of windows (WSW)	Operation/quality/contractors	182.91	52.26	3.5	3	
Wall cracks in electric and plumbing pipes' place (WCP)	Operation/quality/contractors	183.372	24.78	7.4	2	
Danger of explosion and blast (DEB)	Operation/safety/designers	238.702	29.11	8.2	2	
Feeling of unreliable structure (FUS)	Operation/environmental sustainability/clients	256.62	73.32	3.5	3	
Lack of standards for design (LSD)	Designing/safety/government bodies	283.91	48.95	5.8	4	
Risk of labor disputes and strikes (LDS)	Construction/time/contractors	299.691	47.57	6.3	4	
Rippling surface of wall ceramics (UEGC)	Construction/cost/contractors	303.62	89.3	3.4	3	
Improper galvanizing (IG)	Construction/safety/contractors	332.332	40.04	8.3	4	
Not-rated executive contractors (NREC)	Construction/cost/government bodies	334.768	34.16	9.8	4	
Window's frame deformation over time (WFD)	Operation/quality/contractors	336.14	96.04	3.5	3	
Disturbing sound of expansion and contraction of walls (DSW)	Operation/quality/contractors	389.424	51.24	7.6	4	
The problem in construction of flushing (PEF)	Construction/quality/contractors	395.01	94.05	4.2	4	
Dry façade's issues (DFI)	Operation/quality/contractors	434.026	64.78	6.7	4	
Breakable gypsum-board leading to unreliable walls (BGUW)	Operation/cost/clients	455.7	91.14	5	4	
Improper sound insulation (ISI)	Operation/quality/designers	474.24	62.4	7.6	4	
Vulnerability to moisture penetration (VMP)	Construction/cost/external issues	502.928	73.96	6.8	4	
Flammability of insulation material (FIM)	Construction/safety/external issues	504.972	64.74	7.8	4	
Intolerability to install weighted objects (IWO)	Operation/quality/designers	506.202	62.7264	8.07	4	

Table 6. SED calculations in terms of project's phases for target state, UEMLMC and FRP response solutions

_	State	Crite	eria	Ph1	Ph2	Ph3	Ph4	Ph5	Ph6	Ph7	Ph8	Ph9		Total project	<b>SED</b> (%)
	Towast	Time (day)										,	69		-
	Target	Cost (\$)	3000	5500	200	2500	3000	3500	2000	1000	3000	2500	26200		

State	Crite	eria	Ph1	Ph2	Ph3	Ph4	Ph5	Ph6	Ph7	Ph8	Ph9		Total SED oroject (%)
	Quality	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	1	
	Time (day)	7	7	2	3	3	15	5	7	8	7	69	-7.203
<b>UEMLMC</b>	Cost (\$)	3000	5500	200	2500	3000	3500	2000	1000	1900	2500	25100	)
	Quality	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.26	0.1	1.16	
	Time (day)	7	7	2	3	3	15	5	7	8	7	69	0.962
FRP	Cost (\$)	3000	5500	200	2500	3000	3500	2000	1600	3000	2500	26800	
	Quality	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	1	