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**Quantitative Analysis of internal components of the human crystalline lens during accommodation in adults**

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**Conflict of interest statement**

The work is original, and there is no conflict of interest to disclose

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1       **Abstract:**

2       **Objectives.** To quantitatively analyze changes in the inner components of the  
3 human crystalline lens during accommodation in adults.

4       **Methods.** Eyes of 23 subjects were sequentially examined using CASIA2 Optical  
5 Coherence Tomography under 0D, -3D and -6D accommodation states. The anterior  
6 chamber depth (ACD), anterior and posterior crystalline lens radius of the curvature  
7 (ALRC and PLRC) were obtained using built-in software. The lens thickness (LT),  
8 lenticular nucleus thickness (NT), anterior cortex thickness (ACT), posterior cortex  
9 thickness (PCT), anterior and posterior lenticular nucleus radius of the curvature  
10 (ANRC and PNRC), anterior and posterior lenticular nucleus vertex (ANV and PNV)  
11 were quantified manually with the Image-pro plus software.

12       **Results.** During accommodation, the ACD became significantly shallower and LT  
13 significantly increased. For changes in the lens, the ALRC decreased by an average  
14 magnitude (related to accommodative stimuli) 0.44 mm/D, and PLRC decreased  
15 0.09 mm/D. There was no difference for the ACT and PCT in different accommodation  
16 states. For lenticular nucleus response, NT increased on average by 30 $\mu$ m/D. Both the  
17 ANRC and PNRC decreased on average by 212  $\mu$ m/D and 115  $\mu$ m/D respectively. The  
18 ANV moved forward on average by 0.07mm under -3D accommodative stimuli and  
19 0.16mm for -6D. However, there was no statistically significant difference between  
20 different accommodation states in the PNV movement.

21       **Conclusion.** Under accommodation stimulation, lens thickness changed mainly  
22 due to the lenticular nucleus, but not the cortex. For the lenticular nucleus, both the

23 ANRC and PNRC decreased and ANRC changed the most. The anterior surface of the  
24 nucleus moved forward while the posterior surface of the nucleus moved backward  
25 but only slightly.

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27 **Keywords:** crystalline lens, lenticular nucleus, lenticular cortex, accommodation

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45 **Introduction:**

46 Accommodation is the ability to provide clear vision during near tasks by  
47 increasing the refractive power mainly through crystal lens changes. As accommodation  
48 ability decreases and the crystalline hardens, presbyopia often occurs in middle age.  
49 And the change in stiffness of the lens material is thought to be responsible for  
50 presbyopia. Recently, interest has focused on developing surgical treatments that restore  
51 accommodation, including lens photodisruption [1] and lens refilling [2-4]. To fully  
52 understand the mechanism of accommodation and clarify the function of the internal  
53 structure of the lens during accommodation is very important for developing effective  
54 therapeutic strategies. In particular, the nuclear core and the cortex of the lens have  
55 distinct different properties[5] and many details about the dynamic optomechanical  
56 response of the internal structure of the lens under accommodation stimuli have yet to  
57 be quantified.

58 Technologies of slit-lamp photography [6-8], Scheimpflug photography [9] were  
59 used to measure the change in the internal structure of the lens during accommodation.  
60 However, there are several limitations to these technologies. Firstly, stimulation was on  
61 the fellow eye but not directly on the testing eye in these two testing modalities.  
62 Secondly, to avoid light effects on the pupil, lens images were obtained on pupil  
63 pharmacological dilation, but not on physiological status [8]. Thirdly, images from  
64 these early techniques presented relatively lower resolution than modern Optical  
65 coherence tomography (OCT) techniques [10].

66 OCT is a low-coherence, interferometry-based imaging modality that provides a

67 high-resolution, noncontact, noninvasive cross sectional image of the anterior segment  
68 [11]. The CASIA 2 OCT (Tomey, Nagoya, Japan) can produce a higher sensitivity  
69 for depth, axial and transverse spatial resolution with lateral dimension measuring 16  
70 mm and axial depth 13 mm. This enables data to be obtained from the cornea to the  
71 posterior lens in one image and identifying the capsule, cortex and nucleus of lens.  
72 Thus, it is the excellent technology for imaging the internal component of crystalline  
73 lens during accommodation in vivo. Further, its built-in programs provide the required  
74 accommodative stimulation and the individual precise refractive error correction  
75 including correcting astigmatism. Previous research [12-14] has shown that CASIA2  
76 OCT can provide good reproducible measurements of lens biometry in both static and  
77 dynamic states. In addition, CASIA2 can correct the optical distortion produced by  
78 the cornea, aqueous humor and lens with a homogeneous refractive index included in  
79 their built-in program [13, 14], which can obtain accurate anterior and posterior lens  
80 component shapes. Therefore, the purpose of the present investigation is to measure  
81 changes in the internal component of the crystalline eye lens at different  
82 accommodation states with the CASIA2 OCT.

### 83 **Results:**

84 A total of 23 adults aged between 30 and 40 years old were recruited. One was  
85 excluded due to low accommodation amplitude of less than -6D. Thus, a total of 22  
86 subjects (12males; 10 female) were eventually included in the analyses. The mean  
87 values for various variables across subjects were as follows: age,  $34.0 \pm 2.2$  years;  
88 refraction,  $-1.6 \pm 0.5$  diopters; intraocular pressure,  $16.6 \pm 2.6$  mmHg; and amplitude of

89 accommodation,  $9.1 \pm 2.1$  diopters. During accommodation, neither angle to angle  
90 distance (ATA) nor corneal thickness (CT) changed, indicating that movement of eye  
91 between scans is negligible ( $F_{ATA}=2.58, P=0.11$ ;  $F_{CT}=1.35, P=0.27$ ).

92 **The changes of the lens shape during accommodation:**

93 During accommodation, the anterior chamber depth (ACD) became significantly  
94 smaller while the lens thickness (LT) significantly increased (ANOVA,  $F_{LT}=160.69$ ,  
95  $P_{LT}=0.000$ ;  $F_{ACD}=118.89$ ,  $P_{ACD}=0.000$ ; Fig. 2A,B). With -6D accommodation  
96 stimulation, LT increased from  $3.85 \pm 0.20$  mm to  $4.03 \pm 0.19$  mm. For all subjects, both  
97 the anterior and posterior crystalline lens radius of curvature (ALRC and PLRC)  
98 became smaller during accommodation: ALRC decreased on average 0.44 mm/D to  
99 accommodative stimuli, from  $11.02 \pm 1.72$  mm to  $9.75 \pm 1.16$  mm for -3D, and to  
100  $8.38 \pm 0.84$  mm for -6D ( $F_{ALRC}=100.01$ ,  $P_{ALRC}=0.000$ , Fig. 2C), PLRC decreased on  
101 average 0.09 mm/D, from  $6.00 \pm 0.63$  mm to  $5.77 \pm 0.45$  mm for -3D, and to  $5.49 \pm 0.32$  mm  
102 for -6D ( $F_{PLRC}=23.39$ ,  $P_{PLRC}=0.000$ , Fig. 2D).

103 **The changes of the lens components during accommodation:**

104 In the resting state eye, the average nucleus thickness (NT) was  $2.50 \pm 0.16$  mm, the  
105 anterior cortex thickness (ACT) was  $0.51 \pm 0.03$  mm and the posterior cortex thickness  
106 (PCT)  $0.84 \pm 0.12$  mm. When accommodation stimulation was given, the NT increased  
107 to  $2.57 \pm 0.15$  mm under -3D and to  $2.68 \pm 0.14$  mm under -6D stimulation, with an  
108 average of  $30 \mu\text{m/D}$  to accommodative stimuli ( $23 \mu\text{m/D}$  for 0 to -3D and  $37 \mu\text{m/D}$  for -  
109 3 to -6D,  $F_{NT}=92.71$ ,  $P=0.000$ , Fig 3A). There was no difference of ACT and PCT  
110 between different accommodation states ( $F_{ACT}=0.42$ ,  $P_{ACT}=0.659$ ;  $F_{PCT}=2.73$ ,

111  $P_{PCT}=0.077$ , Fig3B.C). Representative OCT images for these changes under different  
112 accommodative states are shown in figure 4 (from a 35-year-old male with -1.5D  
113 myopia).

114 **The changes in lenticular nucleus curvature and position during**  
115 **accommodation:**

116 In the resting state eye, the average anterior lenticular nucleus radius of the curvature  
117 (ANRC) ranged from 2.53 to 8.1mm (on average  $4.06\pm 1.40$ mm) while the posterior  
118 lenticular nucleus radius of the curvature (PNRC) ranged from 2.26 to 4.67mm (on  
119 average  $3.26\pm 0.71$ mm). When accommodation stimulation was given, both the ANRC  
120 and PNRC clearly decreased ( $F_{ANRC}=58.25$ ,  $P_{ANRC}=0.000$ ;  $F_{PNRC}=19.75$ ,  $P_{PNRC}=0.000$ ,  
121 Fig5A.B).The ANRC decreased to  $3.32\pm 1.00$ mm for -3D stimulation and to  
122  $2.30\pm 0.75$ mm for -6D, at a speed of  $212 \mu\text{m/D}$  related to accommodative stimuli. In  
123 addition, the PNRC decreased to  $2.97\pm 0.58$ mm for -3D stimulation and to  
124  $2.57\pm 0.46$ mm for -6D, at a speed of  $115 \mu\text{m/D}$ . To investigate displacement of the  
125 nucleus, we measured the anterior and posterior lenticular nucleus vertex (ANV and  
126 PNV). The ANV significantly moved forward ( $F_{ANV}=107.28$ ,  $P_{ANV}=0.000$ , Fig5C),  
127 which changed from  $4.00\pm 0.27$  mm to  $3.93\pm 0.25$ mm for -3D, and  $3.84\pm 0.26$  mm for -  
128 6D. However, there was no difference between different accommodation states for the  
129 PNV movement ( $F_{PNV}=1.54$ ,  $P_{PNV}=0.231$  Fig5D).

130 **Discussion:**

131 In this study we assessed changes in the lens internal components during  
132 accommodation in vivo using the CASIA2 OCT. Measuring the exact changes in the

133 human crystalline lens during accommodation is very important in order to understand  
134 the mechanism of presbyopia. This is also crucial when designing and evaluating  
135 solutions for presbyopia, in particular the lens-based procedures.

136 Our study revealed the changing pattern of the lens inner components under  
137 accommodation stimulation: the lens thickness increment mainly contributed to the  
138 lenticular nucleus, but not the cortex. This is line with previous studies. However, the  
139 change value in cortex and nucleus varied considerably among researchers due to use  
140 of different techniques. Patnaik [6] firstly studied the component change during  
141 accommodation using the slit-lamp photograph technique . He reported about 6  
142 percent of lens changes in NT and only 0.5 percent of lens changes in the cortical  
143 zones under -5~7D stimulation demand, but without the exact values reported. Later,  
144 Brown [7] tested 5 cases and reported that the NT increased 0.07mm/D with -6D  
145 accommodation stimulation in a 29 year old subject, while the posterior cortex  
146 slightly increased. By using Scheimpflug slit-lamp photography, Koretz [8] found an  
147 increase of 0.041mm/D for the NT, 0.002mm/D for the ACT, and 0.000mm/D for the  
148 PCT under -2D accommodation stimulation. By deploying the Scheimpflug images  
149 technique, with correction made for the distortion due to the geometry of the  
150 Scheimpflug imaging system, Dubbelman et al [9] demonstrated an increase of 0.046  
151 mm/D for the NT, only -0.001mm/D for the ACT, and -0.002mm/D for the PCT under  
152 -6D accommodation stimulation. Later utilizing the same technique, they reported on  
153 average 0.04 mm/D change for nucleus with accommodation in 5 young people [15].  
154 In our study by using OCT, we only detected 0.03 mm/D for the NT under -6D

155 stimulation and both the ACT and PCT did not change significantly. In addition, those  
156 differences could not only be from different techniques deployed, but other  
157 contributors could also be age, race, and accommodation demand vs response. For  
158 example, with the OCT, Martinez-Enriquez E [14] also tested the change in ALRC  
159 and LT under accommodation stimulation. However, the change amplitude is different  
160 to ours which were lower (ALRC -0.6mm/D vs -0.44 mm/D; LT 0.069 mm/D vs 0.03  
161 mm/D).

162 Previous study showed that the nucleus becomes more convex in morphology  
163 during accommodation [15]. In our study by using CASIA2 OCT to measure the  
164 nucleus, the surface curvature and position were tested under different accommodative  
165 stimulation states. We found that: the ANRC decreased much more than that of PNRC;  
166 and the anterior nucleus surface moved forward significantly, but the posterior nucleus  
167 surface did not move under accommodation. This indicated that the nucleus changed  
168 non-uniformly under accommodative stimulation. We speculate that reasons for a non-  
169 uniform change of the nucleus under accommodative stimulation are as following. The  
170 human lens continues to grow throughout life, due to the addition of new lens fibers,  
171 which gradually push away old fibers, which harden into the nucleus of the lens[16,  
172 17]. While, Lens fibers from the anterior cortex are about 3 to 2.4 times greater than  
173 those of the posterior cortex [9, 18], as a result, the anterior nucleus possibly less stiff  
174 than the posterior nucleus could easily deform during accommodation. Second, the  
175 asymmetry distribution of Zonular fibers (anterior, equatorial and posterior suspensory  
176 ligament) between the anterior and posterior part of the lens [19], could result in

177 uniform stretching force and express in conformity mechanical changes when  
178 accommodation induced ciliary muscle contraction [20]. In one word, these results  
179 indicate that the lenticular nucleus plays a key role in accommodation. With age, the  
180 crystal nucleus hardens and loses its response to accommodation and eventually causes  
181 the development of presbyopia. Therefore, the lenticular nucleus should be the  
182 primary target for accommodation restoration strategies of lens-based procedures for  
183 presbyopia. Recently developed techniques such as lens photodisruption or component-  
184 based lens refilling may be potential presbyopia correction techniques. It has been  
185 reported that lens photodisruption with the femtosecond laser can improve lens  
186 elasticity [1, 21-23] , but is limited by the ability to recover accommodation. In future,  
187 the strategy could preferentially be to directly reduce the stiffness of the nucleus of the  
188 older lens through refining laser patterns and pulse energies, which will achieve more  
189 effectively accommodation restoration in presbyopia. Another technique is the  
190 component-based lens refilling. The anterior curvature of the lens nucleus changes more  
191 than the posterior part under accommodation. To reach similar morphological changes  
192 under accommodation, the design strategy should somehow mimic the lens property  
193 with gradient refractive index or material stiffness. Thus, possibly achieve phycological  
194 re-construction of the lens and restore accommodation in presbyopia.

195 A major limitation of this study is that all included volunteers were healthy and  
196 with a relatively narrow age range of 30-40 years. As accommodation ability usually  
197 decreases with age, the changing pattern of the lens inner components under  
198 accommodation with age needs to be further studied. Another limitation is that we

199 calculated lens components changes based on accommodative stimulus values, but not  
200 subjective accommodative responses. The most accurate way would be to use  
201 accommodative responses taken simultaneously with the image capture. The reason is  
202 that those factors such as age, race, accommodation demand vs response could  
203 contribute to variations in results.

204 In conclusion, when under accommodation stimulation, lens thickness changed  
205 mainly due to the lenticular nucleus, but not the cortex. For the lenticular nucleus, the  
206 ANRC decreased more than the PNRC and the nucleus became convex. Further, the  
207 anterior surface of the nucleus moved forward while the posterior surface of the  
208 nucleus moved backward but only slightly.

## 209 **METHODS:**

### 210 **Subjects:**

211 Twenty-three healthy adults from Tongji community were recruited and testing was  
212 performed in Tongji hospital outpatient central. No subjects had any abnormal ocular  
213 findings, or any history of ocular diseases, surgery, trauma, or contact lens. Subjects  
214 were excluded when the best corrected visual acuity in each eye was lower than 20/20,  
215 and the amplitude of accommodation less than -6D. This study was approved by the  
216 research review board of Huazhong University of Science and Technology and the  
217 study protocol registered with [chictr.org.cn](http://chictr.org.cn) (ChiCTR-ROC-16008832). Informed  
218 consent was obtained from each subject, and they were all treated in accordance with  
219 the tenets of the Declaration of Helsinki.

### 220 **Experimental procedure:**

221 Serial regular ocular examinations were performed to screen subjects with ocular  
222 diseases other than refractive error: these include slit lamp, fundus examination,  
223 intraocular pressure (IOP) and subjective optometry. Afterwards, the amplitude of  
224 accommodation was measured using the minus lens test as reported by León [24] and  
225 subjects were excluded if their accommodation amplitude was less than -6D. Subjects  
226 were then asked to undergo an OCT test in different accommodation stimuli.

227 **OCT image:**

228 OCT examination was performed under a standard procedure with a swept-source  
229 OCT (CASIA2; Tomey Corporation, Nagoya, Japan) in the morning (9:00AM-  
230 11:00AM). To avoid head movement between different scans, subjects were asked to  
231 hold their jaw and forehead onto the fixed trestle, stare at the optotype with the testing  
232 eye during scanning. The location of the machine was locked during testing. All OCT  
233 images were obtained in the same examination room with controlled environmental  
234 settings of temperature (15–25°C) and humidity (30–50%) and the light was dimmed  
235 to avoid possible pupillary constriction. Before scanning, the refractive error was  
236 corrected with a built-in program. Different accommodation states were achieved by a  
237 built-in program and subjects were asked to clearly look forward at an internal fixation  
238 target symbol “”. The lens analysis mode (Accommodation load, Starburst target.)  
239 was used to capture images of the anterior segment of the eye. Pictures were taken when  
240 the subject reported a clear view of the target symbol for 5 seconds at different  
241 accommodation states in sequence organized as follows: 0D, -3D and -6D  
242 accommodation stimuli.

243 **Image analysis:**

244 The CASIA2 enables some automatic measurements. Anterior segment parameter  
245 measurements, including ATA, CT, ACD, ALRC and PLRC, were obtained from  
246 images by the built-in software. The LT, ACT, PCT, NT, ANRC, PNRC, ANV and PNV  
247 in each image were quantified manually and measured using the Image-pro plus  
248 software (Version 6.0, MD, USA, <https://www.mediacy.com/>). Measuring items were  
249 determined based on two-dimensional images (examples demonstrated in Fig1). The  
250 anatomical details of the lens such as the capsule, cortex and nucleus can easily be  
251 distinguished and identified (Figure 1A). The anterior and posterior interfaces of the  
252 lenticular nucleus were segmented using edge detection with the tool of “Fit circle”.  
253 The lenticular nucleus thickness (NT) defined in this study was equivalent to the  
254 distance between the C3 zones base on the Oxford system [25, 26]. The ANRC and  
255 PNRC were measured by manually depicting 3 points surrounding the outline of the  
256 anterior and posterior surface of the nucleus. Then the ANRC and PNRC were  
257 segmented and calculated utilizing this mi-automated fitting method with two elliptic  
258 paraboloid surfaces using the best fit arc feature with the Image-pro plus software  
259 (Figure 1B).

260 **Quality control:**

261 Researchers were trained before conducting the study. OCT scanning were  
262 performed by a skilled operator. The scan was taken once for each accommodation  
263 status and three times in total. The ambient lighting conditions were kept constant  
264 during the whole procedure to avoid significant variations in pupil diameter. All

265 measurement items were sequentially measured under three different accommodation  
266 conditions (0D, -3D, -6D accommodation). As we did before, the images of these eyes  
267 were analyzed by two observers who were blinded to treatments, the intraobserver  
268 reproducibility and interobserver reproducibility were also evaluated [27]. Only those  
269 testing items whose intraclass correlation coefficient value is not less than 0.75 will be  
270 presented.

### 271 **Statistical Analysis:**

272 Data were analyzed using SPSS 19.0 (IBM Corp., Armonk, NY, USA). The  
273 sample size was calculated by assuming that there is a difference in lens thickness  
274 between different accommodation states, for repeated measures analysis of variance  
275 (rANOVA) with a correlation among repeated measures with a value of 0.8. A medial  
276 level of partial eta square of 0.06 was adopted, which gave an effect size of about  
277 0.25. A sample size of at least 19 participants was deemed to be sufficient to give us a  
278 power of 0.80 with 95% confidence. The final sample size was adjusted to 23 based  
279 on the 20% participant loss. Quantitative data are presented as mean  $\pm$  standard  
280 deviation. Repeated measure ANOVA was performed to reveal significant differences  
281 among different accommodation states. Prior to the repeated measure ANOVA, the  
282 sphericity assumption was checked using the Mauchly's sphericity test. And when the  
283 sphericity test was not statistically significant, the Greenhouse-Geisser correction was  
284 applied. The Bonferroni procedure was used as a post hoc test for comparisons  
285 between groups.  $P < 0.05$  was set as statistical significance in all cases.

286

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## 357 **Author contributions**

358 X.T, H.Z. and J.W contributed to the conception of the study; Y.X, F.T, Q.F, W.C.  
359 Z.Q and J.M performed the experiment; C.D contributed significantly to analysis and  
360 manuscript preparation; Y.X and A.M performed the data analyses and wrote the  
361 manuscript; Y.X, P.W and H.Z helped perform the analysis with constructive  
362 discussions

363

364 **Conflict of interest statement**

365 The work is original, and there is no conflict of interest to disclose.

366 **Figure legend:**

367 Figure1.Examples of measured items and methods in CASIA2 optical coherence  
368 tomography (OCT) image. A: Measured items: Anterior chamber depth (ACD), Lens  
369 thickness (LT), Lenticular nucleus thickness(NT), Lenticular cortex thickness (CT),  
370 Anterior cortex thickness (ACT), Posterior cortex thickness (PCT), Anterior lenticular  
371 nucleus vertex (ANV), Posterior lenticular nucleus vertex (PNV). B: Showing  
372 measurement methods for the anterior crystalline lens radius of the curvature (ALRC,  
373 green) and the posterior crystalline lens radius of the curvature (PLRC, green). The  
374 anterior crystalline lenticular nucleus radius of the curvature (ANRC, yellow arc), the  
375 posterior crystalline lenticular nucleus radius of the curvature (PNRC, yellow arc).  
376 (Note: Figure1 A rotated to show the optical axis vertically, figures were prepared by  
377 Yan Xiang with Image-Pro Plus, Version 6.0, MD, USA, <https://www.mediacy.com>)

378

379 Figure2: The changes in the lens shape during accommodation. A: The changes of  
380 lens thickness (LT) . B: The changes of anterior chamber depth (ACD). C: The  
381 changes of anterior crystalline lens radius of curvature (ALRC). D: The changes of  
382 posterior crystalline lens radius of curvature (PLRC). (compared with 0D, \*P< 0.05,  
383 \*\*P< 0.01; compared with -3D, ## P< 0.01)

384

385 Figure3: The changes in lens components during accommodation. A: The changes of

386 lenticular nucleus thickness (NT), B: The changes of anterior cortex thickness (ACT),  
387 C: The changes of posterior cortex thickness (PCT). (compared with 0D, \*\*P< 0.01;  
388 compared with -3D, ## P< 0.01)

389

390 Figure4. OCT images at different accommodative states in a 35-year-old male with -  
391 1.5D myopia. A–C graphs show NT in different accommodation states; D–F graphs  
392 show ANV and PNV in different accommodation states; H–G graphs show ALRC,  
393 PLRC, ANRC and PNRC in different accommodation states. (Note: Figure 4 A-F  
394 rotated to show the optical axis vertically, figures were prepared by Yan Xiang with  
395 Image-Pro Plus, Version 6.0, MD, USA, <https://www.mediacy.com>)

396

397

398 Figure5: The changes in the lenticular nucleus during accommodation. A: The  
399 changes of anterior lenticular nucleus radius of the curvature (ANRC). B: The  
400 changes of posterior lenticular nucleus radius of the curvature (PNRC). C: The  
401 changes of anterior lenticular nucleus vertex (ANV). D: The changes of posterior  
402 lenticular nucleus vertex (PNV). (compared with 0D, \*P< 0.05, \*\*P< 0.01; compared  
403 with -3D, ## P< 0.01)

404