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1 *Type of the Paper: Article*

2 **The Use of Ground Penetrating Radar and** 3 **Microwave Tomography for the Detection of Decay** 4 **and Cavities in Tree Trunks**

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15 **Abstract:** Aggressive fungal and insect attacks have reached an alarming level, threatening a variety
16 of tree species, such as ash and oak trees, in the United Kingdom and beyond. In this context,
17 Ground Penetrating Radar (GPR) has proven to be an effective non-invasive tool, capable of
18 generating information about the inner structure of tree trunks in terms of existence, location, and
19 geometry of defects. Nevertheless, it had been observed that the currently available and known
20 GPR-related processing and data interpretation methods and tools are able to provide only limited
21 information regarding the existence of defects and anomalies within the tree inner structure. In this
22 study, we present a microwave tomographic approach for improved GPR data processing with the
23 aim of detecting and characterising the geometry of decay and cavities in trees. The microwave
24 tomographic approach is able to pinpoint explicitly the position of the measurement points on the
25 tree surface and thus to consider the actual geometry of the sections beyond the classical (circular)
26 ones. The robustness of the microwave tomographic approach with respect to noise and data
27 uncertainty is tackled by exploiting a regularised scheme in the inversion process based on the
28 Truncated Singular Value Decomposition (TSVD). A demonstration of the potential of the
29 microwave tomography approach is provided for both simulated data and measurements collected
30 in controlled conditions. First, the performance analysis is carried out by processing simulated data
31 achieved by means of a Finite Difference Time Domain (FDTD) in three scenarios characterised by
32 different geometric trunk shapes, internal trunk configurations and target dimensions. Then, the
33 method is validated on a real trunk by proving the viability of the proposed approach in identifying
34 the position of cavities and decay in tree trunks.

35 **Keywords:** tree health monitoring; tree trunk decay and cavity detection; ground penetrating radar
36 (GPR); microwave tomography; FDTD simulations

38 **1. Introduction**

39 Swift and effective tree health monitoring and assessment is crucial for the protection of
40 ecosystems and the maintenance of sustainable climate conditions [1]. Tree diseases play an
41 important role in regulating the development of forests [2], and their existence is, therefore, necessary
42 to maintain the natural balance. However, human activities have often contributed to alter this
43 balance, dismantling rather frequently the protective geographical barriers of ecosystems and

44 affecting wildlife habitats [3, 4]. As a result of anthropic activities, such as human population
45 increases [5], international travel [4], global plant trade [6] and timber trade [7], exotic pathogens and
46 fungi have infested entire regions. Furthermore, the rise in global temperatures due to ongoing
47 climate change contributes to the rapid spread of these epidemics [4, 8]. At the present, entire
48 ecosystems are endangered by a number of these infections, which are known as Emerging Infectious
49 Diseases (EIDs) [9, 10]. The consequence of a spread of EIDs is now dramatic, as these infections are
50 on the rise [11] and can result in the complete extinction of certain tree species [12].

51 Some of the most significant examples of EIDs are represented by ash dieback, acute oak decline
52 (AOD) and Dutch Elm Disease (DED) [13–15]. The first cases of ash dieback in Europe were reported
53 in 1992, and its spread to the United Kingdom dates back to 2012 [16]. Given that most species of ash
54 trees are unable to withstand this disease, the infection has spread extremely rapidly, and predictions
55 foresee that most of these trees will die within the next twenty years [17]. The AOD, the incidence of
56 which has dramatically increased in the UK over the last ten years [14], is also particularly aggressive,
57 as it can cause the death of the infected tree within a few years [18]. DED is considered one of the
58 most dangerous tree diseases in the world. The fungus causing this disease spreads via a particular
59 species of bark beetles, and attacks the foliage and the tips of the branches, resulting in the death of
60 the tree, which becomes starved of nutrients. DED has been endemic to the UK since the 1920s, but a
61 severe new epidemic began in the 1970s, apparently being imported from North America [19, 20].

62 According to the international scientific community, the control and elimination of EIDs is a
63 complex but necessary task. Novel multidisciplinary approaches are encouraged for diagnosing,
64 monitoring and controlling the spread of EIDs [10]. Within this framework, it is important to
65 emphasise that an early-stage diagnosis of the diseases is essential to provide remedial actions. The
66 most significant obstacle to achieving this goal is that the first symptoms of decay are often located
67 in the inner core of the tree, so there are no visible signs of disease on the outer surface of the tree
68 [21].

69 In view of the above, decay detection in living trees is doubtless a challenging task. Simple
70 methods based on the operator's experience, such as assessing the presence of decay by sounding the
71 tree (namely, striking the tree trunk with a hammer and listening to the sound it makes) are still
72 widely used. Nonetheless, such methods are not very reliable, as they can only assess the presence of
73 decayed wood, but no information can be gained on the stage that the decay has reached [22].
74 Presently, destructive methods such as the core-drilling technique [23] are still widely applied for the
75 assessment of the internal structure of trees. However, the reliability of these techniques is offset by
76 their invasive effects. Their implementation is time-consuming and laborious, as a large number of
77 samples may be needed to assess the real conditions of the investigated tree. This also causes
78 irreversible damage to the tree itself, exposing it to further contamination by pathogens or fungi [24,
79 25]. Not least, destructive testing methods can only provide information about the tree at the time of
80 sampling and at the survey points, and are therefore ineffective for the investigation of the
81 developmental process of decay. In view of the above, destructive methods are increasingly
82 recognised as being unsuitable for the assessment of living trees.

83 On the other hand, the use of non-destructive testing (NDT) methods in this application area is
84 gaining popularity, in view of their versatility, efficiency and their ability to provide reliable results
85 at an adequate cost. Above all, these methods provide accurate information about the inner structure
86 of the investigated materials without causing any damage. This also allows non-destructive surveys
87 to be planned and repeated on a routine basis, therefore enabling the long-term monitoring of the
88 tree. Within this context, the use of NDT methods such as resistograph testing [26], electrical
89 resistivity tomography (ERT) [27], ultrasound tomography [28], infrared thermography [29], X-ray
90 tomography [30] and microwave tomography [31] has been reported in this application area.

91 Within the available NDT methods, Ground Penetrating Radar (GPR) is widely acknowledged
92 to be a very effective non-destructive geophysical tool. GPR has been increasingly used in a wide
93 range of disciplines such as archaeological investigations [32, 33], the detection of landmines [34, 35],
94 tunnels [36, 37], bridge deck analysis [38], civil and environmental engineering applications [39–41],

95 and planetary exploration [42, 43, 44]. It is also broadly employed for soil characterisation [45, 46]
96 and the detection of buried objects [47, 48, 49].

97 Recently, several studies have been carried out on the feasibility of using GPR for large-scale
98 investigations in forestry engineering as well as for the monitoring of tree trunks [50–53] and root
99 systems [54–57]. In this regard, the numerical simulation of the GPR signal is relatively recent and it
100 has proven to aid in the interpretation of radar images when assessing the geometric and physical
101 characteristics of trunks and potential decay [52, 58, 59].

102 Nevertheless, the cylinder-like shape of tree trunks creates challenges with the use of traditional
103 GPR data processing techniques, which are relatively straightforward to use on planar surfaces and
104 interfaces (such as in the case of subsoil investigations). These conditions instead favour the use of
105 tomographic approaches. Microwave imaging of cylindrical objects has been widely employed for
106 biomedical applications [60], using inversion schemes primarily based on linear approximations of
107 the scattering phenomenon [61, 62]. Further application examples of these methods are found in other
108 cylinder-like objects, such as columns [63] and tunnel imaging [37]. A similar approach has been put
109 into practice for the evaluation of the internal structure of tree trunks, with encouraging results [31,
110 58].

111 Tomographic approaches are relatively onerous from a computational point of view, and also
112 require multiple measurements to be carried out with multiple separate transmitters and receivers,
113 often using bespoke antenna systems [31]. Conversely, GPR surveys are commonly carried out using
114 common-offset commercial antennas [64]; in this case, the simplicity of the measurement
115 configuration is offset by a reduction in the quantity of information available for imaging tasks. For
116 this reason, a signal processing approach that is capable of locating decay and of providing an
117 indication of its dimensions using a common-offset commercial system would be a significant
118 improvement to the assessment of living trees.

119 Within this framework, the application of microwave tomography using a common-offset
120 commercial GPR system is presented in this paper. The main aim of this research is to provide a
121 viable and robust data processing approach for the effective detection of decay and cavities in tree
122 trunks using a common-offset commercial GPR system. To achieve this goal, the viability of using a
123 tomographic inversion approach is assessed in the paper and a set of Finite Difference Time Domain
124 (FDTD) simulations relevant to different trunk configurations and dimensions of the targets are
125 carried out. Then, the method is validated on a real trunk.

126 The paper is organised as follows. Section 2 reports the theoretical background on the GPR
127 technique and the use of the tomographic inversion approach. Section 3 presents the methodology,
128 sorted in terms of the numerical simulation and the real-life investigation stages, and the data
129 processing framework. Section 4 reports and discusses the results. A conclusion and avenues for
130 future research are presented in Section 5.

131 **2. Theoretical Background**

132 *2.1. GPR Principles*

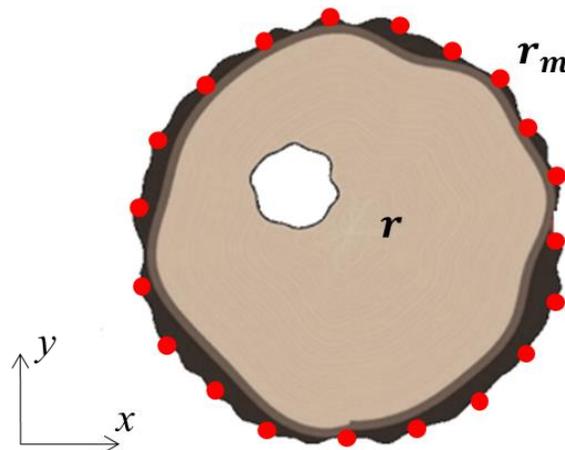
133 GPR is a geophysical inspection technique usually employed for non-destructive subsurface
134 investigations. The working principle of a GPR system is based upon the emission of pulsed
135 electromagnetic (EM) waves towards the investigated domain. The EM wave propagates in the
136 medium until it reaches an EM anomaly that gives rise to a scattered field that is collected by the
137 receiving antenna(s). A GPR time-domain trace is characterised by a series of peaks, whose amplitude
138 depends on three main factors: the nature of the reflector, the nature of the traversed medium, and
139 the curve of the applied amplification [61, 65]. Typically, three visualisation modes can be considered
140 for a GPR signal, providing three different levels of information: i) an A-scan, i.e., a single radar trace
141 along the depth axis; ii) a B-scan, i.e., a set of sequential single radar traces collected along a specific
142 scanning direction; iii) a C-scan, i.e., the volumetric representation achieved by superposing a set of
143 B-scans [66].

144 A variety of information can be collected with GPR, such as the two-way travel time distance
 145 between reflection peaks at layer interfaces/target positions (e.g., rebars), and the amplitude and
 146 phase of the signal. Despite raw radargrams providing some visual information about the probed
 147 scene, their interpretation is very challenging in many practical cases (e.g. tree trunks) since only a
 148 distorted geometric representation of the targets can be obtained. To this end, focusing algorithms
 149 for GPR data are necessary to recover the geometrical characteristics of the targets. In the present
 150 work, a microwave tomographic approach is used to overcome the limitations of traditional GPR
 151 data processing techniques (e.g., migration) that relate to mostly planar interfaces [64–66].

152 2.2. Formulation of the Tomographic Inversion Approach

153 The microwave tomographic approach considered in this paper exploits a linearised model of
 154 the EM scattering based on the Born Approximation (BA) [68]. It is known that BA allows achieving
 155 only a quantitative reconstruction in the case of anomalies that are weak scatterers compared to the
 156 host medium. On the other hand, when the assumption of weak scattering is removed, solving an
 157 inverse scattering problem based on BA still allows reliable information to be gained about the
 158 presence, location and shape of potential targets [67, 69].

159 A 2D scenario showing the cross-section of a tree trunk at a fixed height is considered in Figure
 160 1. The investigation domain D corresponds to the trunk section with a constant relative dielectric
 161 permittivity ε_b .
 162



163
 164

165 **Figure 1.** Geometry of a tree cross-section with an arbitrary shape. The red circles represent the GPR
 166 measurement points along the outer surface of the bark; the white object is a randomly-positioned target within
 167 the cross-section.

168 The scattering phenomenon is activated by a source modelled as a filamentary current polarised
 169 along the y -axis (TM polarisation) and radiating an electromagnetic signal. The $\exp(j\omega t)$ time
 170 dependence is assumed, with $\omega=2\pi f$ being the angular frequency and f belonging to the frequency
 171 range $[f_{min}, f_{max}]$. The source and the measurement points \mathbf{r}_m , i.e. the location where the scattered
 172 field is collected, are located at the boundary of the probed area. Accordingly, the scattering model
 173 is defined under a multi-monostatic/multi-frequency measurement configuration. A generic point in
 174 D is denoted with \mathbf{r} and $\chi = \frac{\varepsilon}{\varepsilon_b} - 1$ is the contrast function accounting for the presence of targets,
 175 which is modelled as a variation of the permittivity with respect to the known permittivity of the
 176 investigated medium.

177 For each measurement point \mathbf{r}_m and angular frequency ω , the scattering phenomenon under
 178 the BA is described by the linear integral equation (Equation 1):
 179

$$180 E_s(\mathbf{r}_m, \omega) = k_b^2 \iint_D g_e(\mathbf{r}_m, \mathbf{r}, \omega) E_{inc}(\mathbf{r}, \omega) \chi(\mathbf{r}) d\mathbf{r} = L(\chi) \quad (1)$$

181

182 where, k_b is the wave-number in D . Bold fonts are used in Equation (1) to denote vector
 183 quantities. Equation (1) expresses the field E_s scattered by the target (measured data) as radiated by
 184 the equivalent “source” defined by the product between the unknown contrast function χ and the
 185 incident field E_{inc} in D , which is the field in absence of targets. The quantity g_e is the ‘external’
 186 Green’s function that accounts for the field generated at \mathbf{r}_m by the elementary source located at \mathbf{r} .
 187 The scattering model underlying the inversion model considered in this work is based on the
 188 assumption that the radar signal propagation occurs in a homogenous medium. Such an assumption
 189 allows to simplify considerably the computation of the scattering operator L as the Green’s function
 190 is available in closed form, i.e.:

191

$$192 \quad g_e(\mathbf{r}_m, \mathbf{r}, \omega) = \frac{j}{4} H_0^{(2)}(k|\mathbf{r}_m - \mathbf{r}|) \quad (2)$$

193

194 where, k is the propagation constant of the medium and $H_0^{(2)}$ is the Hankel function of second
 195 kind and zero order.

196

197 It is noteworthy to emphasise that, as far as a qualitative image of the scene has to be achieved
 198 and the GPR data are collected at the air-tree interface, the homogenous medium assumption
 199 provides quite reliable results with a relatively low computation effort. On the other hand, more
 200 complicated layered models could be in principle adopted, although this would require the use of a-
 201 priori information about the inner structure of the trunk, which is not available in the field.

202

203 The kernel of the linear radiation operator L is equal to the product between the external Green’s
 204 function g_e and the incident field E_{inc} . The operator L directly relates the unknown contrast
 205 function to the scattered field. Therefore, the reconstruction of the contrast function is carried out
 206 through the inversion of Equation (1), that is an ill-posed linear inverse problem [69]. Indeed, L is a
 207 compact operator and this entails that a solution of the problem may not exist and does not depend
 208 continuously on the data, as deeply discussed in Bertero and Boccacci [66]. From a practical
 209 viewpoint, due to the inherent noise in the data, only a limited accuracy of representation of the
 210 scenario under investigation can be obtained. The lack of existence and stability of a solution can be
 211 remedied by introducing a regularisation scheme [70]. However, the necessity to regularise the
 212 inverse problem introduces the use of a spatial filtering of the retrievable components of the unknown
 213 [66, 68].

212

213 The regularisation of the inverse problem is herein carried out by resorting to the Truncated
 214 Singular Value Decomposition (TSVD) tool. Following the discretisation of Equation (1), the imaging
 215 task amounts to solving the matrix inverse problem:

216

$$216 \quad \mathbf{E}_s = \mathbf{L}\chi \quad (3)$$

217

218 where \mathbf{E}_s is the $K=M \times F$ dimensional data vector, M being the number of spatial measurement
 219 points and F the number of frequencies, χ is the N -p dimensional unknown vector, N_p being the
 220 number of points in D , and \mathbf{L} is the $K \times N_p$ dimensional matrix obtained by discretising the integral
 221 operator. Since the matrix \mathbf{L} stems from the discretisation of an ill-posed integral equation, the
 222 inversion of this matrix is an ill-conditioning problem, which means that the solution is very sensitive
 223 to measurement uncertainties and data noise. Hence, the TSVD scheme, as expressed in Equation (3),
 224 can be applied as a regularisation scheme in order to obtain a robust and physically meaningful
 225 solution:

226

$$227 \quad \tilde{\chi} = \sum_{n=1}^H \frac{\langle \mathbf{E}_s, \mathbf{u}_n \rangle}{\sigma_n} \mathbf{v}_n \quad (4)$$

228

229 In Equation (4), $\langle \cdot, \cdot \rangle$ denotes the scalar product in the data space, H is a truncation index,
 230 $\{\sigma_n\}_{n=1}^Q$ is the set of singular values of the matrix \mathbf{L} ordered in a decreasing way, $\{\mathbf{u}_n\}_{n=1}^Q$ and
 231 $\{\mathbf{v}_n\}_{n=1}^Q$ are the sets of the singular vectors in the data and the unknown spaces, respectively. The

232 index $H \leq Q$ ($Q = \min\{K, N_p\}$) defines the “degree of regularisation” of the solution and is set in
233 order to find a trade-off between the accuracy and the spatial resolution on one side (tending to
234 increase H) and the solution stability on the other side (tending to reduce H).

235 The imaging result is a spatial map corresponding to the modulus of the retrieved contrast vector
236 $\tilde{\chi}$ normalised to its maximum value in the scenario. Hence, the regions of D where the modulus of
237 $\tilde{\chi}$ are significantly different from zero are representative of the position and geometry of the targets.

238 2.3. Data Pre-processing

239 In relation to the results presented in this paper, the raw data are collected in the time domain,
240 whereas the input data to the inverse scattering approach are given in the frequency domain. In view
241 of this, a pre-processing stage is necessary in order to achieve the appropriate input data such that
242 the inverse scattering approach can be applied. The pre-processing consists of the following
243 operations:

244 - *zero timing*: this step consists in cutting the first part of the signal, up to the flat-like reflection of
245 the air–tree interface. Specifically, the zero time of the radargram is fixed in correspondence of the
246 first peak of the radar signal, which is assumed as coincident with the location of the air–tree interface.
247 - *time-gating*: this procedure consists in selecting the observation time window wherein the useful
248 portion of the signal is expected to occur. Since the time of flight is related to the target depth, the
249 time gating allows to analyse only the portion of the radargram corresponding to the depth range of
250 interest, which is fixed by the maximum radius of the tree cross-section. Note that the lower boundary
251 of the time-gating window may be selected as larger than the time zero in order to perform a more
252 severe filtering of the first strong reflections coming from the air-tree interface.

253 - *background removal*: this operation consists in replacing every single A-scan of the radargram
254 with the difference between the single A-scan value and the average value of all the A-scans in the
255 radargram. The background removal eliminates all the flat interfaces in the data (including the air–
256 tree interface, only partially removed by the application of the zero timing) and usually allows to
257 provide a cleaner image of the scene.

258 - *linear gain versus time (depth)*: deeper targets scatter a signal lower than shallower targets. In order
259 to compensate this effect, a gain variable versus the depth distance is applied to the data.

260 - *Fourier transform*: the time domain filtered raw data are converted into the frequency domain in
261 order to be processed according to the microwave tomography approach. No window functions have
262 been applied to the time domain pre-processed data before conversion into frequency domain data.

263 3. Methodology

264 3.1. Numerical Simulations

265 Numerical simulations have been carried out for three different scenarios: a circular softwood
266 tree trunk (a homogeneous tree trunk without a dielectric discontinuity at the heartwood
267 component), a circular hardwood tree trunk (where an inner core is present), and a complex-shaped
268 hardwood tree trunk, using the gprMax numerical simulator package (Warren, et al., 2016).
269 Measurements data were generated every 1.5 cm clockwise along the surface of the trunk in a 3D
270 FDTD grid. The spatial discretisation step of the problem was fixed at $\Delta = 2$ mm and the time step at
271 $\Delta t = 3.84$ ps (Courant limit). Regarding the excitation, a modelled equivalent of the GSSI 1.5 GHz
272 signal was used [72]. Table 1 shows the dielectric properties of the tree layers used for the numerical
273 simulations, which were derived from the available literature [58].

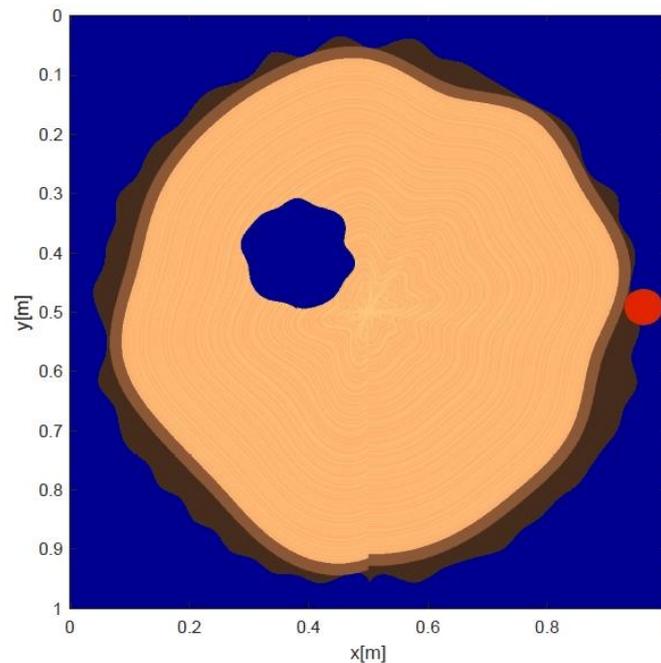
274 In regard to the softwood tree scenario, the target is a hollow segment with an approximate
275 circular shape. The simulation layout and the starting point of measurements are shown in Figure 2.
276 In the hardwood tree scenario (Figure 3), it was considered that the section is usually drier than
277 sapwood and provides overall non-homogeneous conditions for the propagation of the EM signal.
278 The target is a hollow segment with an approximate circular shape and half of the dimensions of the
279 previous target. The layout of the simulation and the starting point of the measurements relevant to
280 the complex-shaped hardwood trunk scenario are shown in Figure 4.

281 It worth mentioning that the numerical models of the trees above described are characterised by
 282 a spatially varying dielectric constant (see Table 1) to account for the layered structure of a tree
 283 section. Differently, for the purpose of the inversion process, the dielectric permittivity is assumed as
 284 spatially constant in the trunk section and its value is estimated considering the two-way travel time
 285 of the reflection from the opposite side of the trunk.
 286
 287
 288

Table 1. The extended Debye properties of the tree layers [73].

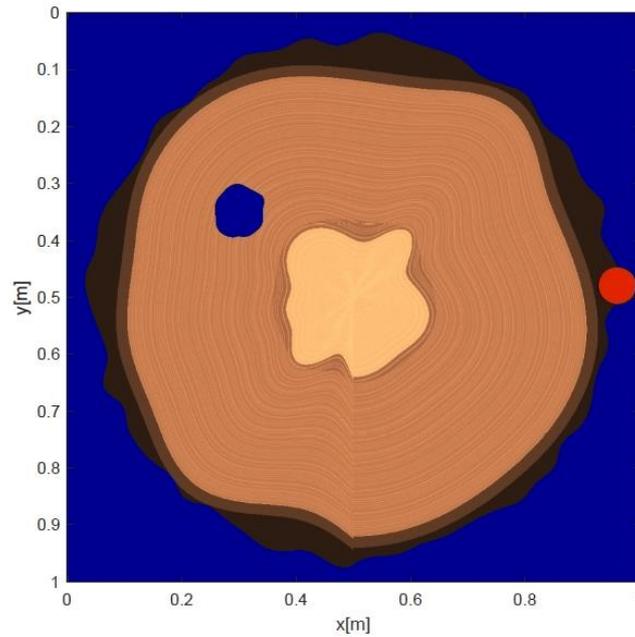
Tree Section Component	Water Content [%]	ϵ_{∞}	$\Delta\epsilon$	σ [$W^{-1}m^{-1}$]	t_0 (psec)
Cambium layer	70	9	43	1	9.23
Outer Sapwood	30	6.1	12.36	0.033	9.23
Inner Sapwood	25	5.9	9.66	0.02	9.23
Rings	10	5.4	3.1	0.0083	9.23
Heartwood	5	5.22	1.43	0.005	9.23
Bark	0	5	0	0	9.23

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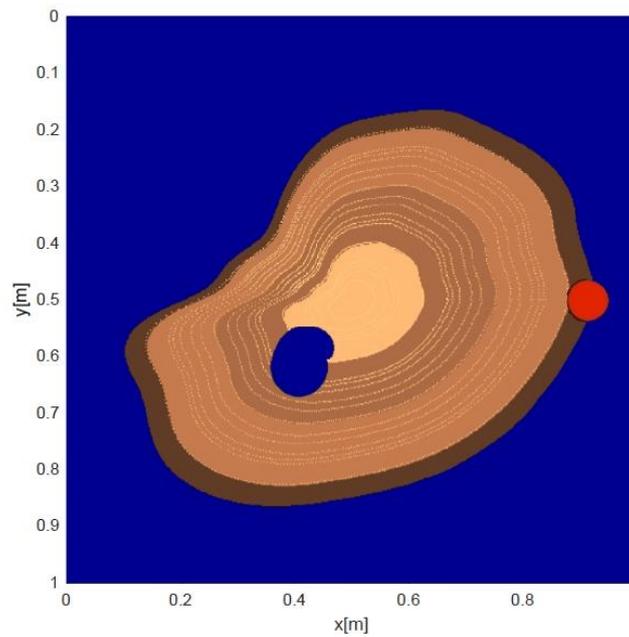
Figure 2. The softwood trunk scenario investigated using FDTD numerical modelling. The starting point of the measurements, taken clockwise, is represented by the red circle.



295
296

Figure 3. The circular hardwood trunk scenario investigated using FDTD numerical modelling.

297

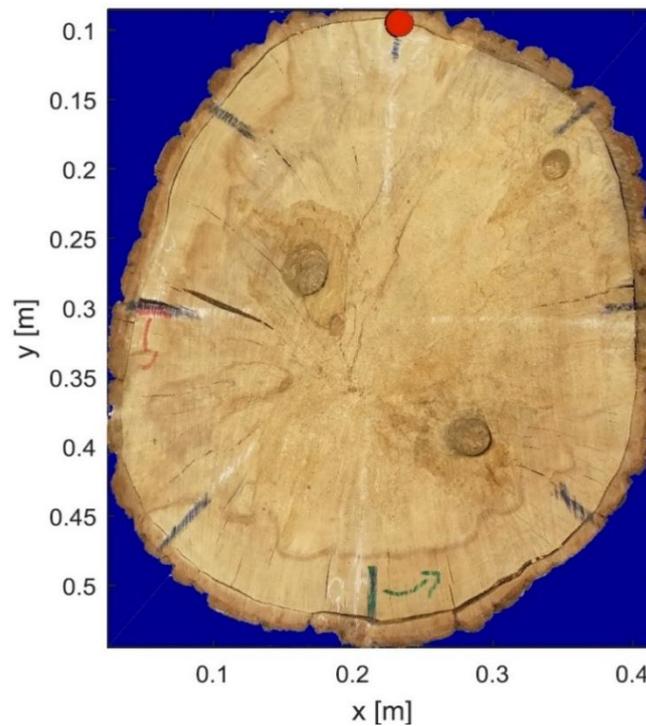


298
299

Figure 4. The complex-shaped hardwood trunk scenario investigated using FDTD numerical modelling.

300 3.2. Real Data Acquisitions

301 The real data presented in this work were collected from a dead oak tree at The Faringdon Centre
 302 - Non-destructive Testing Centre, University of West London (UWL), UK. Three holes were drilled
 303 and subsequently filled with sawdust mixed with water. This operation was carried out to simulate
 304 rotting spots within the trunk cross-section. The 2 GHz Aladdin hand-held antenna system,
 305 manufactured by IDS Georadar (Part of Hexagon), was used for testing purposes. Measurements
 306 were collected every 1 cm counter-clockwise using the measuring wheel adapted to the antenna and
 307 $\Delta t = 7.8125$ ps. Figure 5 shows a picture of the investigated tree trunk. It is important to mention that
 308 the acquisition time required for a single 2D scan encircling the trunk (i.e. neglecting the time
 309 required for setting up the radar and the actual scanning line(s) along the trunk circumference) was
 310 approximately 30 seconds.



311
 312 **Figure 5.** The oak tree trunk investigated at The Faringdon Centre - Non-destructive Testing Centre, University
 313 of West London (UWL), UK. Measurements were taken counter-clockwise and the red circle denotes the starting
 314 point.

315 4. Results and Discussion

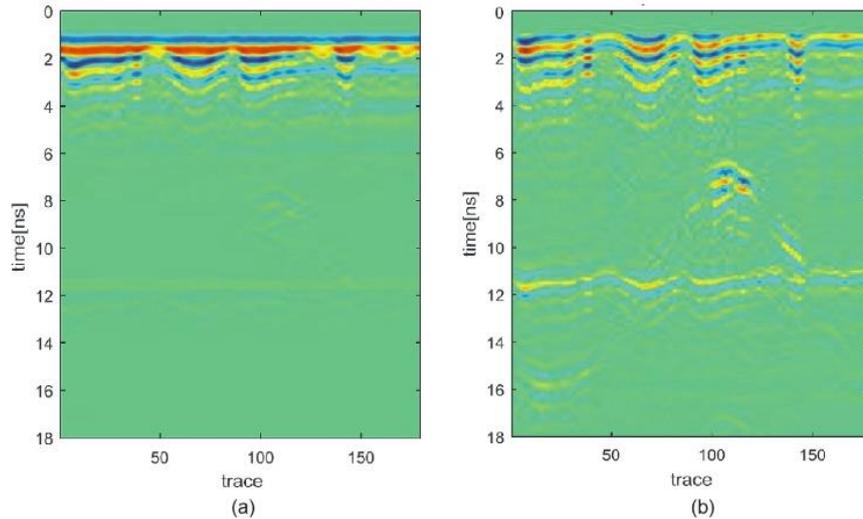
316 4.1. Numerical Simulations

317 4.1.1. Circular Softwood Tree

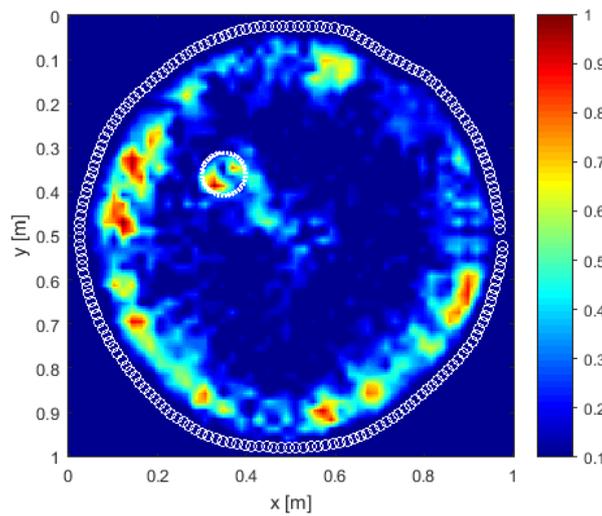
318 The raw data were pre-processed by setting the zero-time of the radar at 1 ns. Time-gating was
 319 applied up to 2 ns and was followed by a background removal operation. Figure 6 shows a
 320 comparison between raw (Figure 6a) and pre-processed (Figure 6b) data. The presence of the anomaly
 321 is identified in the processed data output as an hyperbolic feature located in the right part of the
 322 radargram, at a two-way travel time value of about 7 ns.

323 The microwave tomographic approach was applied on gated data by considering a working
 324 frequency band of 800-1800 MHz sampled with 21 frequencies spaced by 50 MHz. The relative
 325 dielectric permittivity of the trunk was assumed equal to 17. Note that such a permittivity can be
 326 regarded as equivalent to the permittivity of a real trunk in a relatively dry environment known to
 327 be an inhomogeneous medium consisting of several layers with different electromagnetic properties.
 328 The investigation domain was discretised into square pixels with 2.5mm side. The choice of the TSVD
 329 is performed by the analysis of the curve of singular values. This was realised by neglecting the
 330 singular values below the knee of the curve, where the exponential decay takes place. Therefore, it
 331 was decided to truncate the singular values at a threshold of -25 dB with respect to the maximum
 332 singular value.

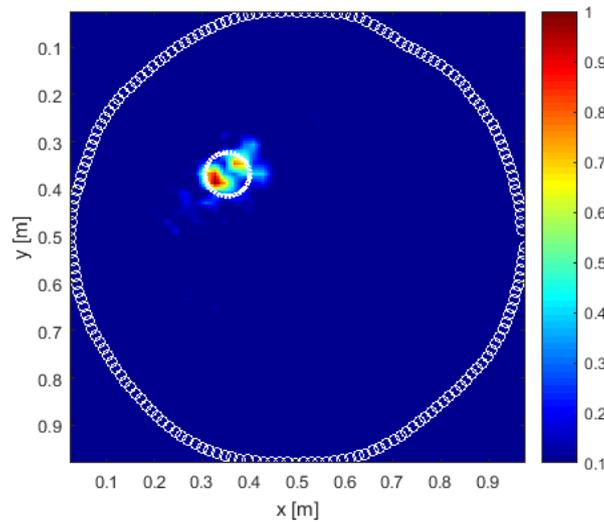
333 As shown in Figure 7, a number of internal reflections are observed in correspondence of the
 334 real target location whereas stronger reflections are present near the surface of the trunk. In order to
 335 assess the potential of the method in reconstructing the circle-like anomaly only, a more severe time-
 336 gating up to 6 ns was applied. The reconstruction results displayed in Figure 8 demonstrate the
 337 capability of the tomographic approach for this purpose; in fact, the method is able to locate the defect
 338 with good accuracy and in a robust way.



339
 340 **Figure 6.** Radargrams of the simulations for the softwood tree scenario. (a) Raw radargram; (b) Processed
 341 radargram after the application of the pre-processing stage.



342
 343 **Figure 7.** Tomographic reconstruction of the softwood scenario with time-gating up to 2 ns. The dashed white
 344 circle indicates the actual position of the anomaly. The circles in white solid line along the trunk perimeter
 345 represent the positions of the measurement points.



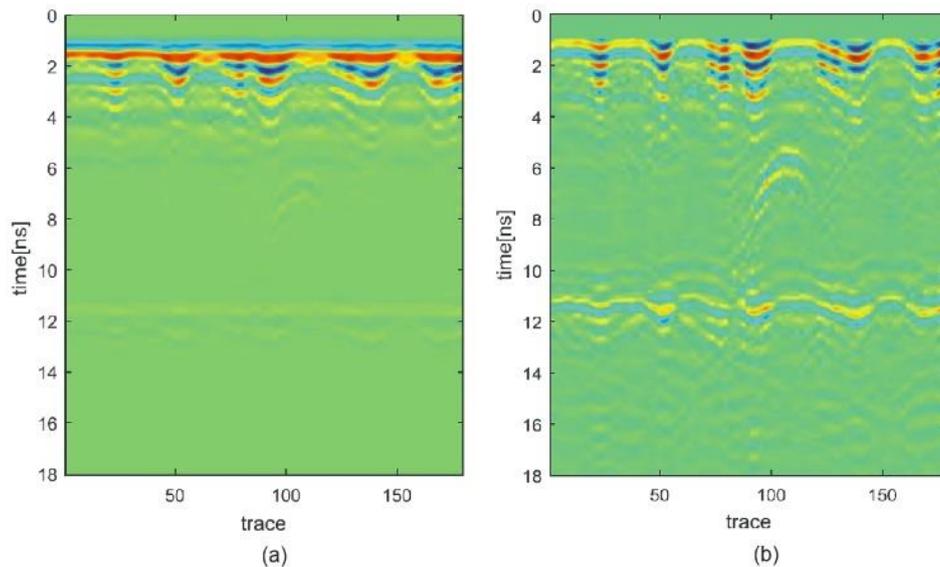
346
 347 **Figure 8.** Tomographic reconstruction of the softwood scenario with time-gating up to 6 ns. The dashed white
 348 circle indicates the actual position of the anomaly. The circles in white solid line along the trunk perimeter
 349 represent the positions of the measurement points.

350 4.1.2. Circular Hardwood Tree

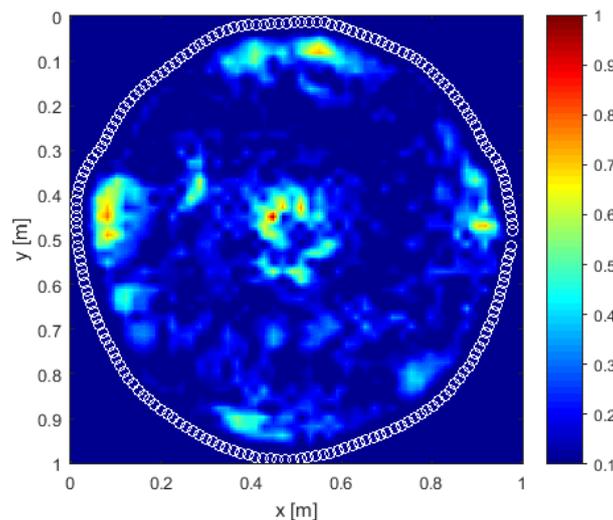
351 The same pre-processing and reconstruction procedures were applied to the circular hardwood
 352 tree scenario shown in Figure 3. In this case, the TSVD threshold was set at -35 dB.

353 In regard to the pre-processing stage, Figure 9 shows a comparison between raw (Figure 9a) and
 354 pre-processed (Figure 9b) data. The use of the proposed preliminary processing stage contributed to
 355 clearly identify an hyperbolic feature located at a two-way travel time value of about 5 ns.

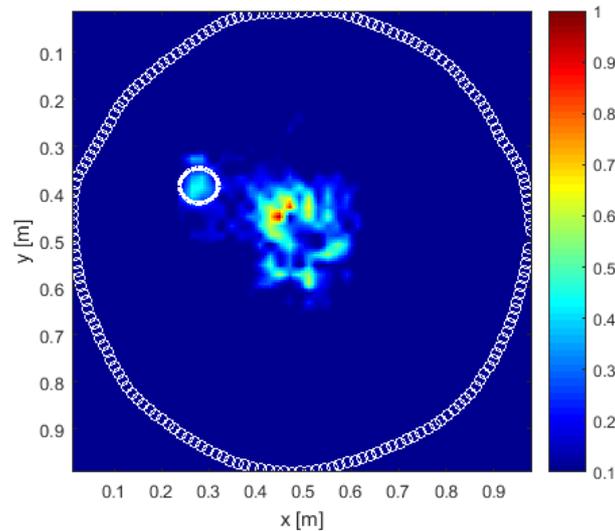
356 As shown in Figure 10, the tomographic reconstruction carried out with a time-gating up to 2 ns
 357 proves the potential of this method to identify the shape of the hardwood. A weak reflection from
 358 the target as well as multiple surface reflections are observed as well. The application of higher time
 359 gating (up to 6 ns) in the pre-processing stage allows the identification of the anomaly and the central
 360 inner area of the trunk to be improved (see Figure 11).



361
 362 **Figure 9.** Radargrams of the simulations for the circular hardwood tree scenario. (a) Raw radargam; (b)
 363 Processed radargram.



364
 365 **Figure 10.** Tomographic reconstruction of the circular hardwood tree scenario with time-gating up to 2 ns. The
 366 circles in white solid line along the trunk perimeter represent the positions of the measurement points.



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Figure 11. Tomographic reconstruction of the circular hardwood tree scenario with time-gating up to 6 ns. The dashed white circle indicates the actual position of the anomaly. The circles in white solid line along the trunk perimeter represent the positions of the measurement points.

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4.1.3. Complex-shaped Hardwood Tree

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The same pre-processing and tomographic reconstruction schemes used for simulated data were applied to the complex-shaped hardwood tree scenario (Figure 4).

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Figure 12 shows a comparison between raw (Figure 12a) and pre-processed (Figure 12b) data. The presence of the anomaly is identified in the processed data output by a hyperbolic feature located in the left-hand side of the radargram, at a two-way travel time value of about 6 ns. However, the presence of several reflections notably complicates the interpretation of the investigated scenario, so motivating the application of the microwave tomographic approach. Such an approach was applied by considering a working frequency band of 800-1800 MHz sampled with 27 frequencies spaced by 38 MHz. The relative dielectric permittivity of the trunk was assumed equal to 17. The TSVD threshold was fixed at -30 dB.

382

383

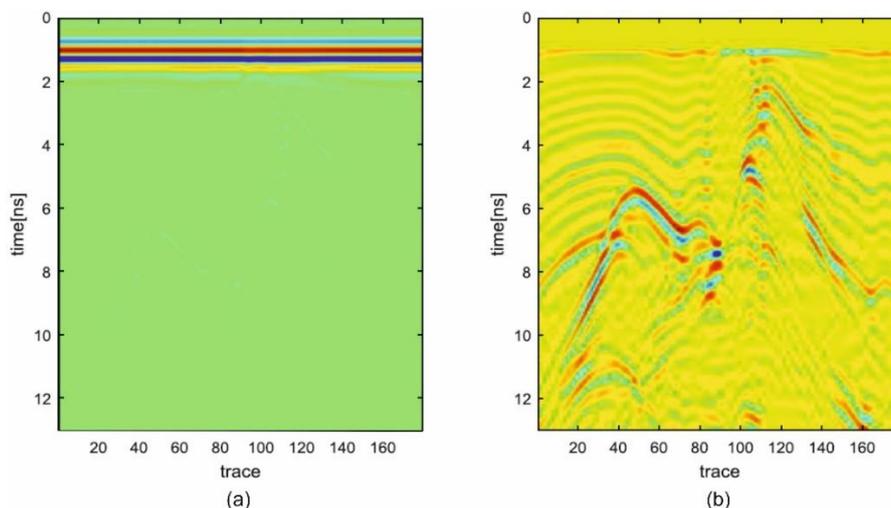
384

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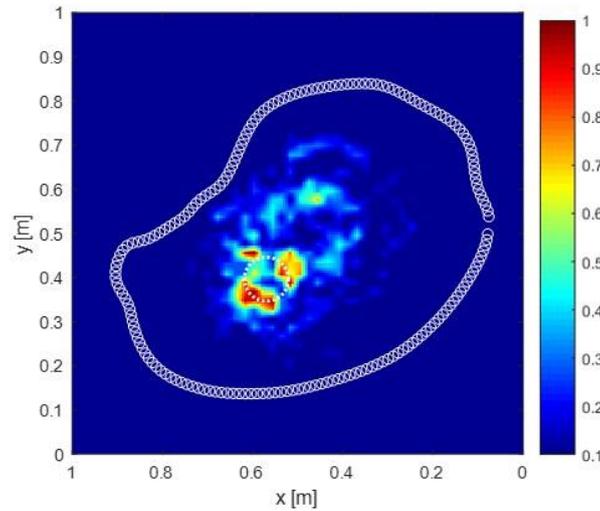
387

The tomographic reconstruction carried out with a time-gating up to 2 ns is reported in Figure 13, proving the viability in identifying the target, the hardwood and the layer surrounding the hardwood. Most notably, the highest reflection spots are located near the target. A further reconstruction was also performed without time gating, as reported in Figure 14. As a result of this, it is observed that the output is very similar, excluding a new reflection pattern partially visible at the interface between the bark and the first internal layer.



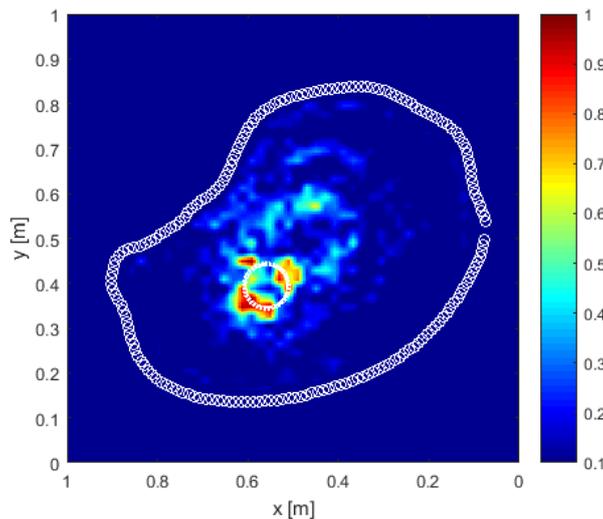
388

389 **Figure 12.** Radargrams of the simulations for the complex-shaped hardwood tree scenario. (a) Raw radargram;
 390 (b) Processed radargram.



391

392 **Figure 13.** Tomographic reconstruction of the complex-shaped hardwood tree scenario with time-gating up to
 393 2 ns. The dashed white circle indicates the actual position of the anomaly. The circles in white solid line
 394 along the trunk perimeter represent the positions of the measurement points.



395

396 **Figure 14.** Tomographic reconstruction of the complex-shaped hardwood tree scenario without the application
 397 of time-gating. The dashed white circle indicates the actual position of the anomaly. The circles in white solid
 398 line along the trunk perimeter represent the positions of the measurement points.

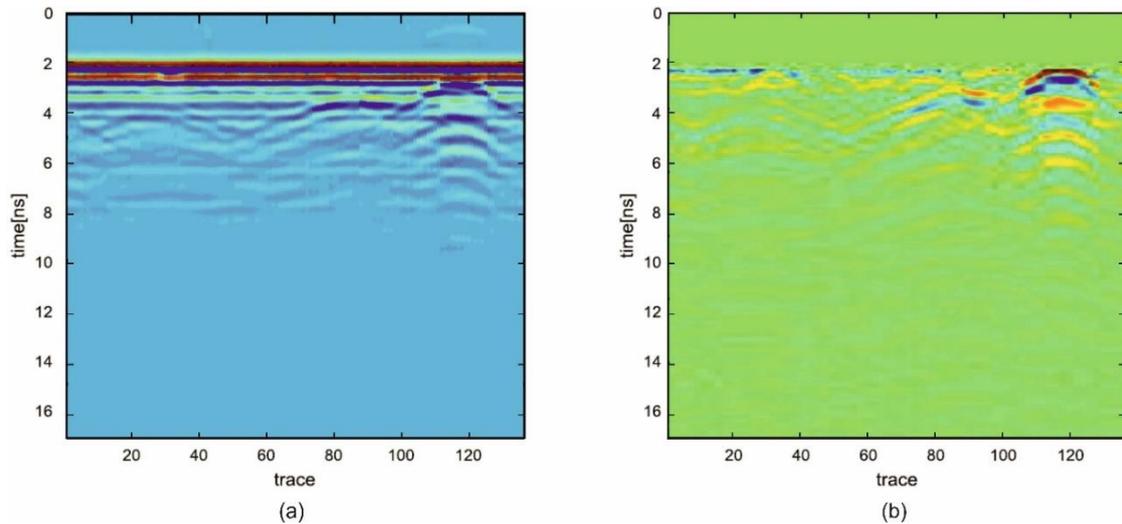
399 4.2. Real Scenario

400 The raw data were pre-processed by setting the zero-time at 1.9 ns. Afterwards, time-gating was
 401 applied up to 2.7 ns and followed by a background removal operation. Figure 15 shows a comparison
 402 between raw (Figure 15a) and pre-processed (Figure 15b) radargrams. The presence of the anomalies
 403 is identified in the processed data output by the three hyperbolic features whose apices are located
 404 approximately around trace 35, 90 and 120 in the radargram.

405 The tomographic approach was then applied considering a working frequency band of 1200-
 406 2300 MHz sampled with 23 frequencies spaced by 50 MHz. The relative dielectric permittivity of the
 407 trunk was estimated equal to 5 by measuring the two-way travel time from the opposite side of the
 408 trunk. The TSVD threshold was fixed at -30 dB.

409 The tomographic reconstruction reported in Figure 16 turns out to be effective in identifying the
 410 three targets. It is worthy of note that the highest reflections are observed towards the bark, whereas
 411 the most internal reflection is more attenuated.

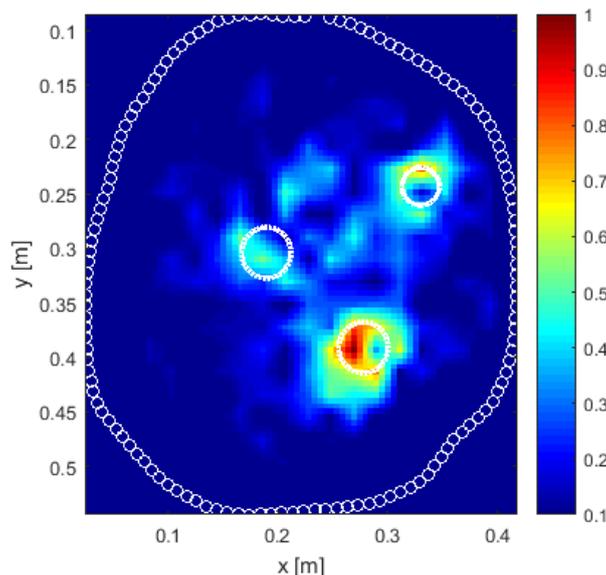
412



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414

Figure 15. Radargrams of the real tree scenario. (a) Raw radargram; (b) Processed radargram.



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Figure 16. Tomographic reconstruction of the real tree scenario. The dashed white circles indicate the actual position of the anomalies. The circles in white solid line along the trunk perimeter represent the positions of the measurement points.

419

5. Conclusion and Future Research

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This paper reports a demonstration of the potential of ground penetrating radar (GPR) and the use of a tomographic inversion approach in detecting decay and cavities in tree trunks. To this effect, a set of Finite Difference Time Domain (FDTD) simulations of different complexity was used and after the method was validated on a real trunk with several decay.

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The radar signals demonstrate that the method is capable of identifying signs of inner reflections at the location point of the anomaly in a tree. Concerning the outputs of the numerical simulations, detection becomes more accurate in round-shaped trunks if more severe time-gating is applied to the signal in the time domain. This is particularly relevant for identifying cavities and decay located

428 towards the inner section of a trunk. In the case of more complex-shaped trunks, the results of the
429 simulations show that an effective detection of the target can be achieved as well.

430 The application of the tomographic inversion approach to a real trunk with several areas of
431 decay was proven effective in identifying all the targets. It was observed that the reflections from
432 targets closer to the bark are stronger than the reflections from targets located more internally in the
433 cross-section.

434 Future research could task itself with the application of the tomographic inversion approach to
435 further complex numerical scenarios, and living tree trunks of different dimensions and species
436 under varying health conditions. A further factor that could be considered in this study is the optimal
437 choice of the operating frequency of the GPR system in the case of living trees, which in turn is crucial
438 in view of the large variability of both the dielectric permittivity and the electrical conductivity of the
439 probed medium.

440

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